R. Lechner

J. Kepler University, Linz

October, 2015

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2 One parameter





Overview

1 Operators with large diagonal

2 One parameter

3 Two parameters

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• Let X be a Banach space and $T: X \to X$ a linear operator.

Find conditions on X and T such that the identity on X factors through T, i.e.



- The problem has finite dimensional (quantitative) and infinite dimensional (qualitative) aspects.
- Classical examples include: ℓ^p (Pelczynski), ℓ^{∞} (Lindenstrauss), L^1 (Enflo-Starbird), L^p (Johnson-Maurey-Schechtman-Tzafriri), ℓ^p_n (Bourgain-Tzafriri).
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- Suppose that X has an unconditional basis (b_n)_{n∈N}, and let b^{*}_n ∈ X^{*} be the nth coordinate functional.
- We say that T has large diagonal (relative to (b_n)) if $\inf_{n \in \mathbb{N}} |\langle Tb_n, b_n^* \rangle| > 0.$
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Answer:

Theorem (N.J. Laustsen, P.F.X. Müller, R. L.)

There is an operator T on a Banach space X with an unconditional basis such that T has large diagonal, but the identity operator on X does not factor through T.

Main ingredients for the proof:

- X is the space X_G (Gowers) with an unconditional basis.
- Fredholm theory.

Theorem (N.J. Laustsen, P.F.X. Müller, R. L.)

The identity on mixed-norm Hardy spaces $H^{p}(H^{q})$, $1 \leq p, q < \infty$ factors through any operator T with large diagonal relative to the bi-parameter Haar basis.

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Overview







- $\mathscr{D}=\{[\frac{k-1}{2^n},\frac{k}{2^n}[:\,k\geq 0,n\geq 0\}$ denotes the dyadic intervals on the unit interval,
- h_I the L^{∞} -normalized Haar function, $I \in \mathscr{D}$.
- Let $f = \sum_{I \in \mathscr{D}} a_I h_I$ be a finite linear combination,
- then the square function $\mathbb{S}(f)$ of f is given by

$$\mathbb{S}(f) = \left(\sum_{I \in \mathscr{D}} a_I^2 h_I^2\right)^{1/2}.$$

• The norm of the one-parameter Hardy space $H^p,\, 1\leq p<\infty$ is defined by

$$||f||_{H^p} = ||\mathbb{S}(f)||_{L^p} = \left(\int_0^1 \left(\sum_{I\in\mathscr{D}} a_I^2 h_I^2(x)\right)^{p/2} \mathrm{d}x\right)^{1/p}.$$

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Theorem

Let $1 , <math>\delta > 0$ and $T : H^p \to H^p$ be a linear operator with large diagonal, i.e. $\langle Th_I, h_I \rangle \geq \delta |I|$. Then we have



where the constant C > 0 is universal.

By Gamlen-Gaudet construction (\mathscr{B}_I) and a random choice of signs ε_I there exists a block basis $b_I^{(\varepsilon)} = \sum_{K \in \mathscr{B}_I} \varepsilon_K h_K$ of the Haar system such that

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The Gamlen-Gaudet construction (1973)



Figure: On the left side: construction of b_I . On the right side: the corresponding index intervals I.

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- First, the operator T is preconditioned by multiplying the Haar system with highly oscillating Rademacher functions.
- This gives that $\langle Tb_{I}^{(\varepsilon)}, b_{J}^{(\varepsilon)} \rangle \approx 0$, if $I \neq J$.
- The second part consists of choosing signs ε_I such that $\langle Tb_I^{(\varepsilon)}, b_I^{(\varepsilon)} \rangle \geq \delta \|b_I^{(\varepsilon)}\|_2^2$.
- The block basis $\{b_I^{(arepsilon)}:\,I\in\mathscr{D}\}$ is equivalent to the Haar system.
- The orthogonal projection $Qf = \sum_{I \in \mathscr{D}} \frac{\langle f, b_I^{(\varepsilon)} \rangle}{\|b_I^{(\varepsilon)}\|_2^2} b_I^{(\varepsilon)}$ is bounded on H^p (Gamlen-Gaudet).

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- This gives that $\langle Tb_{I}^{(\varepsilon)}, b_{J}^{(\varepsilon)}\rangle\approx 0,$ if $I\neq J.$
- The second part consists of choosing signs ε_I such that $\langle Tb_I^{(\varepsilon)}, b_I^{(\varepsilon)} \rangle \geq \delta \|b_I^{(\varepsilon)}\|_2^2.$
- The block basis $\{b_I^{(arepsilon)}: I \in \mathscr{D}\}$ is equivalent to the Haar system.
- The orthogonal projection $Qf = \sum_{I \in \mathscr{D}} \frac{\langle f, b_I^{(\varepsilon)} \rangle}{\|b_I^{(\varepsilon)}\|_2^2} b_I^{(\varepsilon)}$ is bounded on H^p (Gamlen-Gaudet).

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Overview

1 Operators with large diagonal

2 One parameter





- $\mathscr{R}=\{I\times J\,:\,I,J\in\mathscr{D}\}$ denotes the dyadic rectangles on the unit square,
- $h_{I \times J}(x, y) = h_I(x)h_J(y)$ the L^{∞} -normalized tensor product Haar function, $I \times J \in \mathscr{R}$.
- Let $f = \sum_{I \times J \in \mathscr{R}} a_{I \times J} h_{I \times J}$ be a finite linear combination,
- then the square function $\mathbb{S}(f)$ of f is given by

$$\mathbb{S}(f) = \Big(\sum_{I \times J \in \mathscr{R}} a_{I \times J}^2 h_{I \times J}^2\Big)^{1/2}.$$

- we define the norm of the mixed-norm Hardy spaces $H^p(H^q),$ $1\leq p,q<\infty$ by

$$\|f\|_{H^{p}(H^{q})} = \left(\int_{0}^{1} \left(\int_{0}^{1} \left(\sum_{I\in\mathscr{D}} a_{I\times J}^{2} h_{I\times J}^{2}(x,y)\right)^{q/2} \mathrm{d}y\right)^{p/q} \mathrm{d}x\right)^{1/p}.$$

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Capon (1982): $H^p(H^q)$ is primary (augmented) The first result on factorization in mixed-norm spaces is the following theorem of Capon.

Theorem

Let $1 < p, q < \infty$ or p = q = 1. For any operator T the identity on $H^p(H^q)$ factors through H = T or H = Id - T, i.e.

$$\begin{array}{c|c} H^{p}(H^{q}) & \xrightarrow{\mathrm{Id}} & H^{p}(H^{q}) \\ E & & \uparrow \\ F & & \uparrow \\ H^{p}(H^{q}) & \xrightarrow{} & H^{p}(H^{q}) \end{array} \\ \end{array}$$

Mixed-norm spaces require specific bi-parameter techniques. Capon invents a specific bi-parameter Gamlen-Gaudet selection process. It gives a block basis $b_{I \times J} = \sum_{K \times L \in \mathscr{B}_{I \times J}} h_{K \times L}$ such that

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where the constant C > 0 is universal.

By Capon's bi-parameter construction $(\mathscr{B}_{I\times J})$ and a random choice of signs $\varepsilon_{I\times J}$, there exists a block basis $b_{I\times J}^{(\varepsilon)} = \sum_{K\in\mathscr{B}_{I\times J}} \varepsilon_{K\times L} h_{K\times L}$ of the Haar system such that

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Figure: Order of the first 49 rectangles.

- If $I \in \mathscr{D}$, then $\widetilde{I} \in \mathscr{D}$ is such that $\widetilde{I} \supset I$ and $|\widetilde{I}| = 2|I|$.
- $\mathbb{O}_{\triangleleft}(I \times J)$ is the order number of $I \times J$.
- $\mathbb{O}_{\triangleleft}(\widetilde{I} \times J) < \mathbb{O}_{\triangleleft}(I \times J)$
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- E.g., let $I = J = [0, \frac{1}{2}]$, then
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- E.g., let $I = J = [0, \frac{1}{2}]$, then
 - $\mathcal{O}_{\triangleleft}(I \times J) = 5$,

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Figure: Order of the first 49 rectangles.

- If $I \in \mathscr{D}$, then $\widetilde{I} \in \mathscr{D}$ is such that $\widetilde{I} \supset I$ and $|\widetilde{I}| = 2|I|$.
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$\mathsf{Proof} - \mathsf{case} \ J = [0, 1]$



Figure: Darkgray=past, lightgray=present, white=future. • $K_0 \times [0,1] \in \mathscr{B}_{\widetilde{I} \times [0,1]}$

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Proof – case $J \neq [0, 1]$, I = [0, 1]



Figure: Darkgray=past, lightgray=present, white=future. • $[0,1] \times L_0 \in \mathscr{B}_{[0,1] \times \widetilde{J}}$ • $[0,1] \times L \in \mathscr{F}_m$

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• \mathscr{F}_m is determined by the inductive construction.

- We define $f_m^{(\varepsilon)} = \sum_{K \times L \in \mathscr{F}_m} \varepsilon_{K \times L} h_{K \times L}$.
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- T is almost diagonal relative to $(b_{I \times J}^{(\varepsilon)})$ with large diagonal entries
- The projection $Qf = \sum_i \frac{\langle f, b_i^{(\varepsilon)} \rangle}{\|b_i^{(\varepsilon)}\|_2^2} b_i^{(\varepsilon)}$ is bounded on $H^p(H^q)$.



$$\operatorname{span} \{ b_i^{(\varepsilon)} \} \xrightarrow{I} \operatorname{span} \{ b_i^{(\varepsilon)} \} \\
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 $||J|| ||V|| \le C/\delta$, and C > 0 is a universal constant.

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$$\begin{array}{c} \operatorname{span}\{b_i^{(\varepsilon)}\} & \xrightarrow{I} \operatorname{span}\{b_i^{(\varepsilon)}\} \\ Jy = y & & \uparrow V \\ H^p(H^q) & \xrightarrow{T} H^p(H^q) \end{array}$$

 $||J|| ||V|| \le C/\delta$, and C > 0 is a universal constant.

- Define $Bh_{I\times J} = b_{I\times J}^{(\varepsilon)}$, then $||B|| ||B^{-1}|| \le 1$.
- $Tb_{I \times J}^{(\varepsilon)} = \alpha_{I \times J} b_{I \times J}^{(\varepsilon)} + \text{small error, } \alpha_{I \times J} \ge \delta.$
- T is almost diagonal relative to $(b_{I \times J}^{(\varepsilon)})$ with large diagonal entries

• The projection
$$Qf = \sum_i \frac{\langle f, b_i^{(\varepsilon)} \rangle}{\|b_i^{(\varepsilon)}\|_2^2} b_i^{(\varepsilon)}$$
 is bounded on $H^p(H^q)$.



 $||J|| ||V|| \le C/\delta$, and C > 0 is a universal constant.

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