

# The Baum-Connes conjecture, localisation of categories and quantum groups

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# Chapter 1

## Noncommutative algebraic topology

### 1.1 What is noncommutative (algebraic) topology?

We can distinguish three stages of noncommutative algebraic topology:

1. K-theory of  $C^*$ -algebras.
2. Topological invariants of  $C^*$ -algebras.
3. Bivariant K-theory - KK-theory.

In this section we will deal with the second point. A topological invariant for  $C^*$ -algebras is a functor  $F$  on the category of  $C^*$ -algebras and  $*$ -morphisms, with certain formal properties. These properties are

- (H) **Homotopy invariance.** If  $f_0, f_1: A \rightarrow B$  are two  $*$ -morphisms, then a homotopy between them is a  $*$ -homomorphism  $f: A \rightarrow C([0, 1], B)$  such that  $\text{ev}_t \circ f = f_t$ . Homotopy invariance states that if  $f_0, f_1$  are homotopic, then  $F(f_0) = F(f_1)$ .
- (E) **Exactness.** For any  $C^*$ -algebra extension

$$I \hookrightarrow E \twoheadrightarrow Q \tag{1.1}$$

the sequence

$$F(I) \rightarrow F(E) \rightarrow F(Q) \tag{1.2}$$

is exact.

Since KK-theory does not have this property we also allow functors that are semi-split exact, that is, a sequence (1.2) is exact only for semi-split extensions. We say that the extension (1.1) is semi-split if it has completely positive contractive section  $s: Q \rightarrow E$ . Recall that a map  $s: Q \rightarrow E$  is positive if and only if  $x \geq 0$  implies  $s(x) \geq 0$ . It is completely positive if and only if  $M_n(s): M_n(Q) \rightarrow M_n(E)$  is positive for all  $n \geq 0$ . A map  $s: Q \rightarrow E$  is called contractive if  $\|s\| \leq 1$ .

**Theorem 1.1.** *The extension  $I \hookrightarrow E \twoheadrightarrow Q$  with  $Q$  nuclear is semi-split.*

**Theorem 1.2** (Stinespring). *If  $s: Q \rightarrow E$  is a completely positive contractive map, then there exists a  $C^*$ -morphism  $\pi: Q \rightarrow B(\mathcal{H})$ , and adjointable contractive isometry  $T: E \rightarrow \mathcal{H}_E$  ( $\mathcal{H}_E$  is a Hilbert  $E$ -module) such that  $s(q) = T^* \pi(q) T$ .*

We say that a functor  $F$  is split-exact if for every split extension

$$I \longrightarrow E \begin{array}{c} \xleftarrow{s} \\ \longrightarrow \end{array} Q \quad (1.3)$$

The sequence

$$F(I) \longrightarrow F(E) \begin{array}{c} \xleftarrow{F(s)} \\ \longrightarrow \end{array} F(Q)$$

is exact, that is  $F(E) \simeq F(I) \oplus F(Q)$ .

K-theory is homotopy invariant, exact and split-exact.

**Proposition 1.3.** *Let  $F$  be a homotopy invariant and (semi-split) exact functor. Then for any (semi-split) extension  $I \twoheadrightarrow E \twoheadrightarrow Q$  there is a natural long exact sequence*

$$\dots \rightarrow F(S^2Q) \rightarrow F(SI) \rightarrow F(SE) \rightarrow F(SQ) \rightarrow F(I) \rightarrow F(E) \rightarrow F(Q) \quad (1.4)$$

where  $SA := C_0((0, 1), A)$  is the suspension functor.

- (M) **Morita equivalence** or **C\*-stability**. The third condition for a topological invariant is Morita equivalence. It is of different nature than homotopy invariance and exactness. It is a special feature of the non-commutative world.

For all C\*-algebras  $A$  the corner embedding

$$A \rightarrow \mathcal{K}(l^2\mathbb{N}) \otimes A$$

induces an isomorphism  $F(A) \simeq F(\mathcal{K} \otimes A)$ .

We say that two C\*-algebras  $A, B$  are Morita equivalent if there exists a two sided Hilbert module  ${}_A\mathcal{H}_B$  over  $A^{op} \otimes B$  such that

$$\begin{aligned} ({}_A\mathcal{H}_B) \otimes_B ({}_B\mathcal{H}_A^*) &\simeq {}_A A_A \\ ({}_B\mathcal{H}_A^*) \otimes_A ({}_A\mathcal{H}_B) &\simeq {}_B B_B \end{aligned}$$

**Theorem 1.4** (Brown–Douglas–Rieffel). *Two separable C\*-algebras  $A, B$  are Morita equivalent,  $A \sim_M B$ , if and only if  $A \otimes \mathcal{K} \simeq B \otimes \mathcal{K}$ .*

**Definition 1.5.** *A topological invariant for C\*-algebras is a functor  $F: C^* - \mathbf{Alg} \rightarrow \mathbf{Ab}$  which is C\*-stable, split exact, semi-split exact and homotopy invariant.*

**Theorem 1.6** (Higson). *If  $F: C^* - \mathbf{Alg} \rightarrow \mathbf{Ab}$  is C\*-stable and split exact then it is homotopy invariant.*

Also if  $F: C^* - \mathbf{Alg} \rightarrow \mathbf{Ab}$  is semi-split exact and homotopy invariant then it is split exact.

Actually, any topological invariant has many more formal properties like Bott periodicity, Pimsner–Voiculescu exact sequence for crossed product by  $\mathbb{Z}$ , Connes–Thom isomorphism for crossed products by  $\mathbb{R}$ , Mayer-Vietoris sequences.

Bott periodicity states that  $F(S^2A) \simeq F(A)$  with a specified isomorphism. To prove it one can use two extensions

$$\mathcal{K} \twoheadrightarrow \mathcal{T} \twoheadrightarrow C(U(1)) \quad (\text{Toeplitz extension})$$

$$\begin{array}{ccc}
C_0((0,1)) \rightarrow C_0((0,1)) \xrightarrow{\text{ev}_1} \mathbb{C} & \text{(cone extension)} \\
\mathcal{K} \twoheadrightarrow \mathcal{T} \twoheadrightarrow C(U(1)) & \\
\uparrow \subset & \uparrow \subset \\
\mathcal{T}_0 \twoheadrightarrow C_0(U(1) \setminus \{1\}) & 
\end{array}$$

From the long exact sequence in proposition (1.4) we get boundary maps

$$F(S^2 A) \rightarrow F(\mathcal{K} \otimes A) \simeq F(A)$$

The theorem is that this natural map is invertible for any topological invariant.

**Corollary 1.7.** *For any topological invariant  $F$ , and any split extension*

$$I \twoheadrightarrow E \twoheadrightarrow Q$$

there is a cyclic six-term exact sequence

$$\begin{array}{ccccc}
F(I) & \twoheadrightarrow & F(E) & \twoheadrightarrow & F(Q) \\
\uparrow & & & & \downarrow \\
F(SQ) & \longleftarrow & F(SE) & \longleftarrow & F(SI)
\end{array}$$

If  $F$  is a topological invariant,  $A$   $C^*$ -algebra, then  $D \mapsto F(A \otimes D)$  is also a topological invariant. Therefore Bott periodicity is equivalent to the fact, that  $F(\mathbb{C}) \simeq F(C_0(\mathbb{R}^2))$  for all topological invariants  $F$ .

### 1.1.1 Kasparov $\mathbf{KK}$ -theory

The reason why topological invariants have these nice properties is bivariant  $K$ -theory (also called  $\mathbf{KK}$ -theory or Kasparov theory). Both functors  $B \mapsto \mathbf{KK}(A, B)$  and  $A \mapsto \mathbf{KK}(A, B)$  are topological invariants.

There is a natural product

$$\begin{aligned}
\mathbf{KK}(A, B) \otimes \mathbf{KK}(B, C) &\rightarrow \mathbf{KK}(A, C) \\
(x, y) &\mapsto x \otimes_B y
\end{aligned}$$

This turns Kasparov theory into a category  $\mathbf{KK}$ .

We can characterize  $\mathbf{KK}$  using the universal property.

**Definition 1.8.**  $C^* - \mathbf{Alg} \rightarrow \mathbf{KK}$  is the universal split exact,  $C^*$ -stable (homotopy) functor.

This means that the functor  $C^* - \mathbf{Alg} \rightarrow \mathbf{KK}$ , which maps a  $*$ -homomorphism  $A \rightarrow B$  into its class in  $\mathbf{KK}(A, B)$ , is split exact, and  $C^*$ -stable. Moreover, for any other functor  $F$  from (separable)  $C^*$ -algebras to some additive category  $\mathbf{C}$  there is a unique factorisation through  $\mathbf{KK}$

$$\begin{array}{ccc}
C^* - \mathbf{Alg} & \longrightarrow & \mathbf{KK} \\
& \searrow F & \downarrow \\
& & \mathbf{C}
\end{array}$$

This abstract point of view explains why  $\mathbf{KK}$ -theory is so important. It is the universal topological invariant. To be useful, we need existence and a concrete description of  $\mathbf{KK}$ .

We will describe cycles for  $A, B$ . Then homotopies will be cycles in  $\mathbf{KK}_0(A, C([0, 1], B))$ . Next we define  $\mathbf{KK}_0(A, B)$  as the set of homotopy classes of cycles. Cycles consist of

- a Hilbert  $B$ -module  $\mathcal{E}$  that is  $\mathbb{Z}/2$ -graded,  $\mathcal{E} = \mathcal{E}^+ \oplus \mathcal{E}^-$
- a  $*$ -homomorphism  $\varphi: A \rightarrow B(\mathcal{E})^{\text{even}}$
- an adjointable operator  $F \in B(\mathcal{E})^{\text{odd}}$

such that

- $F = F^*$  (or  $(F - F^*)\varphi(a) \in \mathcal{K}(\mathcal{E})$  for all  $a \in A$ )
- $F^2 = 1$  (or  $(F^2 - 1)\varphi(a) \in \mathcal{K}(\mathcal{E})$  for all  $a \in A$ )
- $[F, \varphi(a)] \in \mathcal{K}(\mathcal{E})$  for all  $a \in A$ .

Addition is the direct sum.

For the odd case we can take

$$\text{KK}_1(A, B) \simeq \text{KK}_0(A, SB) \simeq \text{KK}_0(SA, B)$$

or more concretely drop  $\mathbb{Z}/2$ -grading in the definition of  $\text{KK}_0$ .

Kasparov uses Clifford algebras to unify  $\text{KK}_0$  and  $\text{KK}_1$  and the extend the definition to the real case. We do not treat the real case here but mention the following result

**Theorem 1.9.** *Let  $A^{\mathbb{R}}$  and  $B^{\mathbb{R}}$  be real  $C^*$ -algebras and let  $A^{\mathbb{C}} = A^{\mathbb{R}} \otimes_{\mathbb{R}} \mathbb{C}$ ,  $B^{\mathbb{C}} = B^{\mathbb{R}} \otimes_{\mathbb{R}} \mathbb{C}$  be their complexifications. Then there is a map*

$$\text{KK}^{\mathbb{R}}(A^{\mathbb{R}}, B^{\mathbb{R}}) \rightarrow \text{KK}^{\mathbb{C}}(A^{\mathbb{C}}, B^{\mathbb{C}}), \quad f^{\mathbb{R}} \mapsto f^{\mathbb{C}}.$$

Moreover  $f^{\mathbb{R}}$  is invertible if and only if  $f^{\mathbb{C}}$  is invertible. In particular  $B^{\mathbb{R}} \sim 0$  if and only if  $B^{\mathbb{C}} \sim 0$ .

### 1.1.2 Connection between abstract and concrete description

Take a cycle  $X = (\mathcal{E}, \varphi, F)$  for  $\text{KK}_1(A, B)$ . Form  $E_X = \mathcal{K}(\mathcal{E}) + \varphi(A)(\frac{1+F}{2})$ . This is a  $C^*$ -algebra because, modulo  $\mathcal{K}(\mathcal{E})$ ,  $P := \frac{1+F}{2}$  is a projection which commutes with  $\varphi(A)$ . By construction there is an extension

$$\mathcal{K}(\mathcal{E}) \mapsto E_X \twoheadrightarrow A'$$

with  $\varphi: A \rightarrow A'$ ,  $\mathcal{K}(\mathcal{E}) \sim_M I \triangleleft B$ . We can assume  $\mathcal{E}$  is full and  $\varphi(A)$  is injective as a map to  $B(\mathcal{E})/\mathcal{K}(\mathcal{E})$ . Even  $\mathcal{E} = l^2\mathbb{N} \otimes B$  is possible by Kasparov's Stabilisation Theorem

$$\mathcal{E} \oplus (l^2\mathbb{N} \otimes B) \simeq l^2\mathbb{N} \otimes B$$

After simplifying using  $\mathcal{K}(l^2\mathbb{N} \otimes B) \simeq \mathcal{K}(l^2\mathbb{N}) \otimes B$  we get a  $C^*$ -extension

$$\mathcal{K} \otimes B \mapsto E_X \twoheadrightarrow A$$

which is semi-split by  $a \mapsto P\varphi(a)P$ .

Conversely, this process can be inverted using Stinespring's Theorem, and any semi-split extension

$$\mathcal{K} \otimes B \mapsto E \twoheadrightarrow A$$

gives a class in  $\text{KK}_1(A, B)$ .

Thus we can describe  $\text{KK}_1(A, B)$  as the set of homotopy classes of semi-split extensions of  $A$  by  $\mathcal{K} \otimes B$ . A deep result of Kasparov replaces homotopy invariance by more rigid equivalence relation: unitary equivalence after adding split extensions. Two extensions are unitarily equivalent if there is a commuting diagram

$$\begin{array}{ccccc} \mathcal{K} \otimes B & \longrightarrow & E_1 & \twoheadrightarrow & A \\ \text{Ad}(u) \downarrow & & \downarrow \simeq & & \parallel \\ \mathcal{K} \otimes B & \longrightarrow & E_2 & \twoheadrightarrow & A \end{array}$$

with  $u \in \mathcal{K} \otimes B$  unitary.

**Corollary 1.10.** *For any topological invariant  $F$  there is a map*

$$\text{KK}_1(Q, I) \otimes F_k(Q) \rightarrow F_{k+1}(I),$$

where  $F_k(A) := F(S^k A)$ .

*Proof.* Use the boundary map from proposition (1.3) for the extension associated to a class in  $\text{KK}_1(Q, I)$ .  $\square$

Similar construction works in even case. We take

$$\mathcal{E} = \mathcal{E}^+ \oplus \mathcal{E}^-, \quad \varphi = \varphi^+ \oplus \varphi^-, \quad F = \begin{pmatrix} 0 & u^* \\ u & 0 \end{pmatrix}$$

with  $u$  unitary.

$$\begin{aligned} \varphi: A &\rightarrow B(\mathcal{E}^+), & \text{Ad}(u) \circ \varphi^-: A &\rightarrow B(\mathcal{E}^+) \\ \varphi^+(a) - \text{Ad}(u)\varphi^-(a) &\in \mathcal{K}(\mathcal{E}^+) \end{aligned}$$

for all  $a \in A$ . From a split extension  $\mathcal{K}(\mathcal{E}^+) + \varphi^+(A)$  we get an extension

$$\mathcal{K}(\mathcal{E}^+) \twoheadrightarrow E \twoheadrightarrow A$$

that splits by  $\varphi^+$  and  $\text{Ad}(u) \circ \varphi^-$ .

Let  $F$  be a topological invariant, then

$$F(E) \simeq F(B) \oplus F(A),$$

$$F(\varphi^+) - F(\text{Ad}(u) \circ \varphi^-): F(A) \rightarrow F(B) \subset F(E).$$

Hence we get a map

$$\text{KK}_0(A, B) \otimes F(A) \rightarrow F(B).$$

Consider two extensions

$$C \twoheadrightarrow E_2 \twoheadrightarrow B, \quad B \twoheadrightarrow E_1 \twoheadrightarrow A$$

These give a map

$$F(A) \rightarrow F(S^{-2}C) \simeq F(C).$$

The miracle of the Kasparov product is that this composite map is described by a class in  $\text{KK}_0(A, C)$ .

**Definition 1.11.** *Operator  $F$  is **Fredholm** if  $\ker(F)$  and  $\text{coker}(F)$  have finite dimension.*

The operator  $F$  in the definition of Kasparov cycles is something like a Fredholm operator. A cycle in  $\text{KK}_0(\mathbb{C}, \mathbb{C})$  consists of a Hilbert space  $\mathcal{H} = \mathcal{H}_+ \oplus \mathcal{H}_-$  and an operator  $F: \mathcal{H}_+ \rightarrow \mathcal{H}_-$ ,  $FF^* - \text{id} \in \mathcal{K}$ ,  $F^*F - \text{id} \in \mathcal{K}$ , so  $F$  is Fredholm.

The index map gives an isomorphism

$$\begin{aligned} \text{Index}: \text{KK}_0(\mathbb{C}, \mathbb{C}) &\xrightarrow{\cong} \mathbb{Z} \\ \text{Index}(F) &= \dim(\ker F) - \dim(\text{coker } F) \end{aligned}$$

In the odd case we have  $\text{KK}_1(\mathbb{C}, \mathbb{C}) = 0$ .

A pair of  $*$ -homomorphisms  $f, g: A \rightarrow B$  with  $(f-g)(A) \subset \mathcal{K}$  ideal in  $B$  gives a morphism  $qA \rightarrow \mathcal{K}$ .

$$\text{KK}(A, B) = [qA, B \otimes \mathcal{K}] \quad (\text{homotopy classes of } *\text{-homomorphisms})$$

$$\begin{array}{ccc} A & & B \\ \sim \uparrow & & \uparrow \sim \\ qA & \longrightarrow & B \otimes \mathcal{K} \\ & & \curvearrowright \\ qA & \longrightarrow & A * \underset{\text{id} * \text{id}}{A} \longrightarrow A \end{array}$$

Here  $qA$  is the target of the universal quasi-homomorphism.

### 1.1.3 Relation with K-theory

KK-theory is very close to K-theory. If some construction gives a map  $K_* A \rightarrow K_* B$  it probably gives a class in  $\text{KK}_*(A, B)$ .

**Theorem 1.12.**  $\text{KK}_*(\mathbb{C}, A) \simeq K_*(A)$ .

The proof requires the concrete description of KK.

Hence there is a canonical map

$$\gamma: \text{KK}_*(A, B) \rightarrow \text{Hom}(K_* A, K_* B).$$

In many cases, this map is injective and has kernel  $\text{Ext}^1(K_* A, K_{*+1} B)$ .

Take  $\alpha \in \text{KK}_1(Q, I)$ ,  $\alpha = [I \rightarrow E \rightarrow Q]$ . Assume  $\gamma(\alpha) = 0$ . There is an exact sequence

$$\begin{array}{ccccc} K_0(I) & \longrightarrow & K_0(E) & \longrightarrow & K_0(Q) \\ \gamma(\alpha) \uparrow & & & & \downarrow \gamma(\alpha) \\ K_1(Q) & \longleftarrow & K_1(E) & \longleftarrow & K_1(I) \end{array}$$

We get an extension of  $\mathbb{Z}/2$ -graded abelian groups.

$$K_*(I) \rightarrow K_*(E) \rightarrow K_*(Q).$$

This defines a natural map

$$\text{KK}_*(A, B) \supset \ker \gamma \rightarrow \text{Ext}^1(K_{*+1}(A), K_*(B)).$$

In many cases this map and  $\gamma$  provide the Universal Coefficient Sequence (1.5)



**Theorem 1.13.** *Let  $\mathbf{B}$  be the smallest category of separable  $C^*$ -algebras closed under suspensions, semi-split extensions, KK-equivalence, tensor products, and containing  $\mathbb{C}$ . Then there exists a natural exact sequence*

$$\mathrm{Ext}^1(K_{*+1}A, K_*B) \rightarrow \mathrm{KK}_*(A, B) \rightarrow \mathrm{Hom}(K_*A, K_*B) \quad (1.5)$$

for  $A, B \in \mathbf{B}$

**Corollary 1.14.** *Let  $X$  and  $Y$  be locally compact spaces. If  $K^*(X \setminus \{x\}) \simeq K^*(Y \setminus \{y\})$  then  $F(C_0(X \setminus \{x\})) \simeq F(C_0(Y \setminus \{y\}))$  for any topological invariant for  $C^*$ -algebras.*

*Proof.* Denote  $\tilde{X} := X \setminus \{x\}$ ,  $\tilde{Y} := Y \setminus \{y\}$ .

$$\alpha: K^*(X \setminus \{x\}) \simeq K^*(C_0(X \setminus \{x\})) \xrightarrow{\simeq} K^*(C_0(Y \setminus \{y\}))$$

By the universal coefficients theorem,  $\alpha$  lifts to  $\hat{\alpha} \in \mathrm{KK}_0(C_0(\tilde{X}), C_0(\tilde{Y}))$ . Because  $\mathrm{Ext}^1 \circ \mathrm{Ext}^1 = 0$  we know that  $\hat{\alpha}$  is invertible. Since KK is universal,  $F(\hat{\alpha})$  is invertible for any topological invariant  $F$ .

There are analogies and contrasts between homotopy theory and noncommutative topology. We will summarize them in a table: □

<b>Homotopy theory</b>	<b>Noncommutative topology</b>
Spaces	$C^*$ -algebras
Stable homotopy category	KK
Stable homotopy groups of spheres	Morphisms from $\mathbb{C}$ to $\mathbb{C}$ in KK
$\pi_*^s(S^0) = \mathrm{Mor}_*(\mathrm{pt}, \mathrm{pt})$	$\mathrm{KK}^*(\mathbb{C}, \mathbb{C}) = \mathbb{Z}[\beta, \beta^{-1}]$ , $\deg(\beta) = 2$
	Bott periodicity
Homology $H_*(-)$	K-theory $K_*(-)$
Adams spectral sequence	Universal coefficients theorem for KK
Always works but complicated	Not always works, but it is easy when it works
Interesting topology - no analysis	Simple topology - interesting analysis

## 1.2 Equivariant theory

In equivariant bivariant Kasparov theory additional symmetries create interesting topology, making tools from homotopy theory more relevant.

What equivariant situations are being considered?

- Group actions (of locally compact groups)
- Bundles of  $C^*$ -algebras  $(A_x)_{x \in X}$  over some space  $X$
- Locally compact groupoids
- locally compact quantum group actions (Baaj-Skandalis)
- $C^*$ -algebras over non-Hausdorff space (Kirchberg)

In each case, there is an equivariant K-theory with similar properties as the nonequivariant one, with a similar concrete description – add equivariance condition – and an universal property.

**Proposition 1.15.** *If  $G$  is a group, then  $\mathrm{KK}^G(\mathbb{C}, \mathbb{C})$  is a graded commutative ring, and the exterior product coincides with composition product. Furthermore  $\mathrm{KK}^G(\mathbb{C}, \mathbb{C})$  acts on  $\mathrm{KK}^G(A, B)$  for all  $A, B \in \mathbf{C}^* - \mathbf{alg}_G$  by exterior product.*

Let  $\mathcal{G}$  be a groupoid, and  $A$  a  $\mathbf{C}^*$ -algebra. Then we say that  $\mathcal{G}$  acts on  $A$ ,  $\mathcal{G} \curvearrowright A$ , if  $A$  is a bundle over  $\mathcal{G}^0$ ,  $\mathcal{G}$  acts fiberwise on this bundle. Continuity of the action is expressed by the existence of a bundle isomorphism  $\alpha: s^*A \rightarrow r^*A$ , where  $r, s$  are the range and source maps of  $\mathcal{G}$ .

$$\mathcal{G}^1 \begin{array}{c} \xrightarrow{r} \\ \xrightarrow{s} \end{array} \mathcal{G}^0, \quad s^*A \xrightarrow{\alpha} r^*A, \quad (s^*A)_y = A_x.$$

$$g: x \rightarrow y \implies \alpha_g: A_x \rightarrow A_y \text{ *-isomorphism}$$

We fix some category of  $\mathbf{C}^*$ -algebras with symmetries, equivariant \*-homomorphisms. We denote it  $\mathbf{C}^* - \mathbf{alg}_G$ . We study functors  $F$  from  $\mathbf{C}^* - \mathbf{alg}_G$  to an additive category, such that if

$$I \longrightarrow E \begin{array}{c} \longleftarrow \\ \longrightarrow \end{array} Q$$

is a split extension in  $\mathbf{C}^* - \mathbf{alg}_G$ , then

$$F(I) \longrightarrow F(E) \begin{array}{c} \longleftarrow \\ \longrightarrow \end{array} F(Q)$$

Split exactness is considered for equivariant \*-homomorphisms in extensions, and the section is supposed to be also equivariant.

Let  $A$  be an object of  $\mathbf{C}^* - \mathbf{alg}_G$  and  $\mathcal{H}$  a  $G$ -equivariant full Hilbert module over  $A$ . Then  $F$  is stable if both maps

$$A \rightarrow \mathcal{K}(\mathcal{H} \oplus A) \leftarrow \mathcal{K}(\mathcal{H})$$

coming from inclusions of Hilbert modules  $A \hookrightarrow \mathcal{H} \oplus A \hookleftarrow \mathcal{H}$  become isomorphisms after applying  $F$

$$F(A) \rightarrow F(\mathcal{K}(\mathcal{H} \oplus A)) \leftarrow F(\mathcal{K}(\mathcal{H}))$$

In the cases mentioned above,  $\mathrm{KK}^G$  is the universal split-exact stable functor on  $\mathbf{C}^* - \mathbf{alg}_G$  (separable), that is, any other functor with this properties factors uniquely through  $\mathrm{KK}^G$ .

$$\begin{array}{ccc} \mathrm{KK}^G(A, B) \times F(A) & \longrightarrow & F(B) \\ \uparrow & \nearrow & \\ \mathrm{Hom}^G(A, B) \times F(A) & & \end{array}$$

### 1.2.1 Tensor products

The following discussion also shows how the universal property of  $\mathrm{KK}$  can be used to construct functors between  $\mathrm{KK}$ -categories and to prove adjointness relations between such functors.

The minimal tensor product of two  $G$ - $\mathbf{C}^*$ -algebras is again a  $G$ - $\mathbf{C}^*$ -algebra if  $G$  is a groupoid. Here we use the diagonal action of the groupoid. This yields a functor

$$\otimes: \mathbf{C}^* - \mathbf{alg}_G \times \mathbf{C}^* - \mathbf{alg}_G \rightarrow \mathbf{C}^* - \mathbf{alg}_G, \quad (A, B) \mapsto A \otimes B.$$

For a group(oid) diagonal action of  $G$  on  $A \otimes B$ , if  $G$  acts on  $A, B$ . This descends to

$$\begin{array}{ccc} \mathbf{C}^* - \mathbf{alg} \times \mathbf{C}^* - \mathbf{alg}_G & \longrightarrow & \mathbf{C}^* - \mathbf{alg}_G \\ \downarrow & & \downarrow \\ \mathrm{KK} \times \mathrm{KK}^G & \longrightarrow & \mathrm{KK}^G \end{array}$$

We will provide the concrete description. Let  $\beta \in \text{KK}^G_*(B_1, B_2)$ ,  $\alpha \in \text{KK}^G(A_1, A_2)$ . The tensor product is given by

$$\begin{aligned}\alpha \otimes \beta &= (\alpha \otimes \text{id}_{B_2}) \circ (\text{id}_{A_1} \otimes \beta) \\ &= (\text{id}_{A_2} \otimes \beta) \circ (\alpha \otimes \text{id}_{B_1}).\end{aligned}$$

$$\begin{array}{ccc} A_1 \otimes B_1 & \xrightarrow{\text{id}_{A_1} \otimes \beta} & A_1 \otimes B_2 \\ \alpha \otimes \text{id}_{B_1} \downarrow & & \downarrow \alpha \otimes \text{id}_{B_2} \\ A_2 \otimes B_1 & \xrightarrow{\text{id}_{A_2} \otimes \beta} & A_2 \otimes B_2 \end{array}$$

In the abstract approach we fix  $A$  and consider functor

$$\begin{aligned}\mathbf{C}^* - \mathbf{alg}_G &\rightarrow \mathbf{C}^* - \mathbf{alg}_G \rightarrow \text{KK}^G \\ B &\mapsto A \otimes B \mapsto A \otimes B\end{aligned}$$

which is split-exact, stable. The functor  $\text{KK}^G \rightarrow \text{KK}^G$  exists by the universal property.

In general, if  $F_1, F_2: \mathbf{C}^* - \mathbf{alg}_G \rightarrow \mathbf{Ab}$  are split exact and stable, and  $\Phi: F_1 \rightarrow F_2$  is a natural transformation, then there exist  $\overline{F}_1, \overline{F}_2: \text{KK}^G \rightarrow \mathbf{Ab}$  and a natural transformation  $\overline{\Phi}: \overline{F}_1 \rightarrow \overline{F}_2$  such that the following diagram commutes for  $\alpha \in \text{KK}^G(A_1, A_2)$

$$\begin{array}{ccc} \overline{F}_1(A_1) & \xrightarrow{\overline{\Phi}_{A_1}} & \overline{F}_2(A_1) \\ \overline{F}_1(\alpha) \downarrow & & \downarrow \overline{F}_2(\alpha) \\ \overline{F}_1(A_2) & \xrightarrow{\overline{\Phi}_{A_2}} & \overline{F}_2(A_2) \end{array}$$

The diagram above commutes for  $\alpha, \beta$  KK-morphisms provided it commutes for  $\alpha, \beta$  equivariant \*-homomorphisms. This is a part of the universal property of  $\text{KK}^G$ .

If  $A, B$  are  $\mathcal{G}$ - $\mathbf{C}^*$ -algebras, then  $A \otimes B$  gives a tensor product in  $\text{KK}^G$ . Descent functor  $\text{KK}^G \rightarrow \text{KK}$  is obtained by taking crossed products on objects and \*-homomorphisms.

The functor

$$A \mapsto G \rtimes_r A$$

is split-exact, stable, so it descends to  $\text{KK}^G$

$$\text{KK}^G(A, B) \rightarrow \text{KK}(G \rtimes_r A, G \rtimes_r B).$$

If  $H \leq G$  is a closed subgroup,  $H \curvearrowright A$ , then  $\text{Ind}_H^G A \curvearrowright G$ , where

$$\text{Ind}_H^G A := \{f \in C_0(G, A) \mid f(gh) = (\alpha_h f)(g), \|f\| \in C_0(G/H)\}.$$

(On the level of spaces the induction is  $\text{Ind}_H^G: X \mapsto G \times_H X$ ). It induces

$$\text{Ind}_H^G: \text{KK}^H \rightarrow \text{KK}^G.$$

The composition

$$\begin{array}{ccc} \mathbf{C}^* - \mathbf{alg}_H & \xrightarrow{\text{Ind}_H^G} & \mathbf{C}^* - \mathbf{alg}_G \xrightarrow{-\rtimes_r G} \mathbf{C}^* - \mathbf{alg} \\ & \searrow & \downarrow \text{M.E.} \\ & & \mathbf{C}^* - \mathbf{alg} \end{array} \quad , \quad \begin{array}{ccc} A & \xrightarrow{\text{Ind}_H^G} & \text{Ind}_H^G A \xrightarrow{-\rtimes_r G} G \rtimes_r \text{Ind}_H^G A \\ & \searrow & \downarrow \text{M.E.} \\ & & H \rtimes_r A \end{array}$$

becomes a natural isomorphism in  $\text{KK}(H \rtimes_r A, G \rtimes_t \text{Ind}_H^G A)$  for  $H$ -equivariant  $*$ -homomorphisms or for  $\text{KK}^H$ -morphisms (equivalent by the universal property of  $\text{KK}^H$ ).

For open  $H \leq G$

$$\text{KK}^G(\text{Ind}_H^G A, B) \simeq \text{KK}^H(A, \text{Res}_H^G B)$$

the following compositions

$$\text{Ind}_H^G \text{Res}_G^H A \simeq C_0(G/H) \otimes A \hookrightarrow \mathcal{K}(l^2(G/H)) \otimes A \sim_{M.E.} A.$$

$$B \mapsto \text{Res}_G^H \text{Ind}_H^G B$$

are natural for  $*$ -homomorphisms, hence  $\text{KK}$ -morphisms.

### 1.3 $\text{KK}$ as triangulated category

The category  $\text{KK}$  is additive, but not abelian. However it can be triangulated. This notion is motivated by examples in homological algebra: derived category of an abelian category, homotopy category of chain complexes over an additive category, homotopy category of spaces.

The additional structure in a triangulated category consists of

- **translation/suspension functor.** In  $\text{KK}^G$ :

$$A[-n] := C_0(\mathbb{R}^n) \otimes A, \quad \text{for } n \geq 0.$$

- **exact triangles**

$$A \rightarrow B \rightarrow C \rightarrow A[1].$$

Merely knowing the  $\text{KK}$ -theory class of  $i, p$  in a  $C^*$ -algebra extension

$$F \xrightarrow{i} E \xrightarrow{p} \twoheadrightarrow Q$$

does not determine the boundary maps. This requires a class in  $\text{KK}_1(Q, I)$ .

**Definition 1.16.** *A diagram*

$$A \xrightarrow{u} B \xrightarrow{v} C \xrightarrow{w} A[1]$$

in  $\text{KK}^G$  is called an exact triangle if there are  $\text{KK}$ -equivalences  $\alpha, \beta, \gamma$  such that the following diagram commutes

$$\begin{array}{ccccccc} A & \xrightarrow{u} & B & \xrightarrow{v} & C & \xrightarrow{w} & A[1] \\ \alpha \downarrow \simeq & & \beta \downarrow \simeq & & \gamma \downarrow \simeq & & \alpha[1] \downarrow \simeq \\ A' & \xrightarrow{[i]} & B' & \xrightarrow{[p]} & C' & \xrightarrow{\delta} & A'[1] \end{array}$$

where  $A' \twoheadrightarrow B' \twoheadrightarrow C'$  is a  $C^*$ -algebra semi-split extension, and  $\delta$  is its class in  $\text{KK}_1(C, A)$ .

**Proposition 1.17.** *With this additional structure  $\text{KK}_G$  is a triangulated category.*

In general the structure of a triangulated category consists of an additive category  $\mathcal{T}$ , an automorphism  $\Sigma: \mathcal{T} \rightarrow \mathcal{T}$ , and a class  $\mathcal{E} \subseteq \text{Triangles}(\mathcal{T})$  of exact triangles.

*Example 1.18.* Homotopy category of chain complexes over  $A$

$$\Sigma(C_n, d_n) = (C_{n-1}, -d_{n-1}), \quad \Sigma(f_n) = f_{n-1} (f_n \text{- chain map})$$

Triangle is exact if it is isomorphic to an exact triangle

$$I \xrightarrow{i} E \begin{array}{c} \xleftarrow{s} \\ \xrightarrow{p} \end{array} Q,$$

where  $I, E, Q$  are chain complexes,  $i, p$  are chain maps,  $s$  is a morphism in  $A$ . Define

$$\delta_s: Q \rightarrow I[1], \quad \delta_s = d^E \circ s - s \circ d^Q$$

Then

$$I \xrightarrow{i} E \xrightarrow{p} Q \xrightarrow{\delta_s} I[1]$$

is an extension triangle. However the diagram

$$\begin{array}{ccc} E & \xleftarrow{s} & Q \\ d^E \downarrow & & \downarrow d^Q \\ E[1] & \xleftarrow{s[1]} & Q[1] \end{array}$$

is not commutative.

It is easier to work with mapping cone triangles instead of extension triangles. Let  $f: A \rightarrow B$  be a  $*$ -homomorphism. Then we define its cone as the algebra

$$C_f := \{(a, b) \in A \oplus C_0([0, 1]) \otimes B \mid f(a) = b(1)\}$$

$$SB \twoheadrightarrow C_f \rightarrow A$$

is a  $C^*$ -algebra semi-split extension.

On the level of spaces, if  $f: X \rightarrow Y$  is a map, then

$$C_f = x \times [0, 1] \amalg Y / (x, 0) \sim (x', 0) \sim (*, t), (x, 1) \sim f(x)$$

$K_*(C_f)$  gives a relative K-theory for  $f$ . The Puppe exact sequence for  $F$  is a long exact sequence

$$\dots \rightarrow F(SC_f) \rightarrow F(SA) \rightarrow F(SB) \rightarrow F(C_f) \rightarrow F(A) \xrightarrow{F(f)} F(B)$$

Long exact sequence, say for  $KK$ , are often established by first checking exactness of the Puppe sequence, then getting other extensions from that.

**Definition 1.19.** A *mapping cone triangle* is a triangle that is isomorphic to

$$SB \rightarrow C_f \rightarrow A \xrightarrow{f} B$$

for some  $f$  in  $KK^G$ .

**Theorem 1.20.** A triangle in  $KK^G$  is exact (isomorphic to an exact triangle) if and only if it is isomorphic to a mapping cone triangle.

*Proof.* Consider extension

$$\begin{array}{ccccccc} SQ & \xrightarrow{\delta} & I & \xrightarrow{i} & E & \xrightarrow{p} & Q \\ \parallel & & \downarrow i & & \parallel & & \parallel \\ SQ & \longrightarrow & C_p & \longrightarrow & E & \longrightarrow & Q \end{array}$$

Exact sequences for KK are established by showing that  $I \hookrightarrow C_p$  is a KK-equivalence if the extension is semi-split.

Cuntz-Skandalis: exact triangles are isomorphic to mapping cone triangles.

Conversely, consider a mapping cylinder for a \*-homomorphims  $f: A \rightarrow B$ , that is

$$Z_f := A \oplus_B B \otimes C([0, 1]),$$

and two extensions

$$\begin{array}{ccccccc} SB & \xrightarrow{\delta} & C_f & \xrightarrow{i} & z_f & \xrightarrow{p} & B \\ \parallel & & \downarrow i & & \uparrow j & & \parallel \\ SB & \longrightarrow & C_f & \longrightarrow & A & \longrightarrow & B \end{array}$$

where  $j: A \rightarrow Z_f$  is a homotopy equivalence. If the triangle

$$C[-1] \rightarrow A \rightarrow B \rightarrow C$$

is exact, then it is isomorphic to

$$SY \rightarrow C_f \rightarrow X \xrightarrow{f} Y.$$

Next we get an extension triangle

$$SX \xrightarrow{-Sf} SY \rightarrow C_f \rightarrow X,$$

so the triangle

$$B[-1] \xrightarrow{-w} C[-1] \xrightarrow{u} A \xrightarrow{v} B$$

is exact. □

## 1.4 Axioms of a triangulated categories

Triangulated category consists of an additive category with suspension automorphism and a class of exact triangles. These are supposed to satisfy the following axioms (TR0-TR4)

(TR0) If a triangle is isomorphic to an exact triangle, then it is exact. Triangles of the form

$$0 \rightarrow A \xrightarrow{\text{id}} A \rightarrow 0$$

are exact.

(TR1) Any morphism  $f: A \rightarrow B$  can be embedded in an exact triangle

$$\Sigma B \rightarrow C \rightarrow A \xrightarrow{f} B$$

(we will see that this triangle is unique up to isomorphism and call  $C$  a cone for  $f$ ).

The best proof of this for KK uses extension triangles. Let  $f \in \text{KK}_0(A, B) \simeq \text{KK}_1(\Sigma A, B) \simeq \text{Ext}(\Sigma A, B)$ . Hence  $f$  generates a semi-split extension

$$\underbrace{B \otimes \mathcal{K}}_{\mathcal{K}(\mathcal{H}_B)} \twoheadrightarrow E \twoheadrightarrow \mathcal{G}A,$$

which yields an extension triangle

$$\begin{array}{ccccccc} \Sigma^2 A & \longrightarrow & \mathcal{K}(\mathcal{H}_B) & \longrightarrow & E & \longrightarrow & \Sigma A \\ \simeq \downarrow \text{Bott} & & \simeq \downarrow \text{M.E.} & & & & \\ A & \xrightarrow{f} & B & & & & \end{array}$$

Now rotate this sequence to bring  $f$  to the right place.

(TR2) The triangle

$$\Sigma B \xrightarrow{u} C \xrightarrow{v} A \xrightarrow{w} B$$

is exact if and only if the triangle

$$\Sigma A \xrightarrow{-\Sigma w} \Sigma B \xrightarrow{-u} C \xrightarrow{-v} A$$

is exact. We can get rid of some minus signs by taking

$$\begin{array}{ccccccc} \Sigma A & \xrightarrow{-\Sigma w} & \Sigma B & \xrightarrow{-u} & C & \xrightarrow{-v} & A \\ \text{id} \downarrow & & \text{id} \downarrow & & -\text{id} \downarrow & & -\text{id} \downarrow \\ \Sigma A & \xrightarrow{-\Sigma w} & \Sigma B & \xrightarrow{-u} & C & \xrightarrow{-v} & A \end{array}$$

By applying three times we get that

$$\Sigma^2 B \xrightarrow{-\Sigma u} \Sigma C \xrightarrow{-\Sigma v} \Sigma A \xrightarrow{-\Sigma w} \Sigma B$$

is exact. The reason for a sign is that the suspension of a mapping cone triangle for  $f$  is the mapping cone triangle for  $\Sigma f$  but this involves a coordinate flip on  $\mathbb{R}^2$  on  $\Sigma^2 B = C_0(\mathbb{R}^2, B)$ , which generates a sign.

**Definition 1.21.** A functor  $F$  from a triangulated category to an abelian category is called homological if

$$F(C) \rightarrow F(A) \rightarrow F(B)$$

is exact for any exact triangle

$$\Sigma B \rightarrow C \rightarrow A \rightarrow B.$$

*Example 1.22.* If  $F$  is a semi-split exact, split exact,  $\mathbf{C}^*$ -stable functor on  $\mathbf{C}^* - \mathbf{alg}$ , then its extension to KK is homological.

**Proposition 1.23.** If  $F$  is homological, then any exact triangle yields a long exact sequence

$$\dots F_n(C) \rightarrow F_n(A) \rightarrow F_n(B) \rightarrow F_{n-1}(C) \rightarrow \dots$$

where  $F_n(A) := F(\Sigma^n A)$ ,  $n \in \mathbb{Z}$ .

*Proof.* Use axiom (TR2). □

(TR3) Consider a commuting diagram with exact rows

$$\begin{array}{ccccccc} \Sigma B & \longrightarrow & C & \longrightarrow & A & \longrightarrow & B \\ \Sigma\beta \downarrow & & \downarrow \exists \gamma & & \downarrow \alpha & & \downarrow \beta \\ \Sigma B' & \longrightarrow & C' & \longrightarrow & A' & \longrightarrow & B' \end{array}$$

There exists  $\gamma: C \rightarrow C'$  making the diagram commutative (but it is not unique).

We will proof (TR3) for KK. We may assume that rows are mapping cone triangles

$$\begin{array}{ccccccc} \Sigma B & \longrightarrow & C_f & \longrightarrow & A & \xrightarrow{f} & B \\ \Sigma\beta \downarrow & & & & \downarrow \alpha & & \downarrow \beta \\ \Sigma B' & \longrightarrow & C'_{f'} & \longrightarrow & A' & \xrightarrow{f'} & B' \end{array}$$

We know that  $\alpha$  is a KK-cycle for  $A \rightarrow A'$ ,  $\beta$  is a KK-cycle for  $B \rightarrow B'$ , and there exists a homotopy  $H$  from  $\beta \circ f$  to  $f' \circ \alpha$  (because the classes  $[\beta \circ f] = [f' \circ \alpha]$  in KK).

Denote

$$\begin{aligned} \alpha &= (\mathcal{H}_A^\alpha, \varphi^\alpha, F^\alpha \in B(\mathcal{H}^\alpha)), \\ \beta &= (\mathcal{H}_B^\beta, \varphi^\beta, F^\beta \in B(\mathcal{H}^\beta)), \\ H &= (\mathcal{H}_{C([0,1], B')}^H, \varphi^H, F^H \in B(\mathcal{H}^H)), \end{aligned}$$

such that

$$\begin{aligned} H|_0 &= \beta \circ f = (\mathcal{H}^\beta, \varphi^\beta \circ f, F^\beta), \\ H|_1 &= f' \circ \alpha = (\mathcal{H}^\alpha \otimes_{f'} B', \varphi^\alpha \otimes \text{id}_{B'}, F^\alpha \otimes \text{id}_{B'}). \end{aligned}$$

Then

$$\mathcal{H}^\beta \otimes C([0, \frac{1}{2}]) \oplus_{\mathcal{H}^\beta \text{ at } \frac{1}{2}} \mathcal{H}^H \oplus_{\mathcal{H}^\alpha \otimes_{f'} B'} \mathcal{H}^\alpha$$

is a mapping cone of  $f'$ . Now  $\varphi^\beta \otimes C([0, \frac{1}{2}])$ ,  $\varphi^H$ ,  $\varphi^\alpha$  glue to  $\varphi^\gamma: A \rightarrow B(\mathcal{H}^\gamma)$ . Similarly for  $F$ .

Many results use only axioms (TR0)-(TR3). The last one, (TR4) will be given at the end. Before that we will prove

**Proposition 1.24.** *Let  $D$  be an object of a category  $\mathcal{T}$ . Then the functor  $A \rightarrow \mathcal{T}(D, A)$  is homological. Dually  $A \mapsto \mathcal{T}(A, B)$  is cohomological for every object  $B$  in  $\mathcal{T}$ .*

*Proof.* Let

$$\Sigma B \rightarrow C \rightarrow A \rightarrow B$$

be an exact triangle in  $\mathcal{T}$ . We have to verify the exactness of

$$\mathcal{T}(D, C) \rightarrow \mathcal{T}(D, A) \rightarrow \mathcal{T}(D, B).$$

We use the fact that in an exact triangle, the composition  $C \rightarrow A \rightarrow B$  is zero. Hence

$$\begin{array}{ccccc} \mathcal{T}(D, C) & \longrightarrow & \mathcal{T}(D, A) & \longrightarrow & \mathcal{T}(D, B) \\ & \searrow & & \nearrow & \\ & & 0 & & \end{array}$$



Now we use (TR3) to complete diagram

$$\begin{array}{ccccccc}
0 & \longrightarrow & D & \xlongequal{\quad} & D & \longrightarrow & 0 \\
0 \downarrow & & \downarrow \hat{f} & & \downarrow & & \downarrow 0 \\
\Sigma B & \longrightarrow & C & \longrightarrow & A & \longrightarrow & B
\end{array}$$

with  $\hat{f}: D \rightarrow C$ . □

*Example 1.25.*  $\mathrm{KK}^G(-, D)$  is homological, and  $\mathrm{KK}^G(D, -)$  is cohomological.

**Lemma 1.26** (Five lemma). *Consider morphism of exact triangles*

$$\begin{array}{ccccccc}
\bullet & \longrightarrow & \bullet & \longrightarrow & \bullet & \longrightarrow & \bullet \\
\Sigma\beta \downarrow & & \gamma \downarrow & & \alpha \downarrow & & \beta \downarrow \\
\bullet & \longrightarrow & \bullet & \longrightarrow & \bullet & \longrightarrow & \bullet
\end{array}$$

If two of  $\alpha, \beta, \gamma$  are invertible, then so is the third.

*Proof.* Assume  $\alpha, \beta$  are invertible. Then  $\mathcal{T}(D, \alpha)$ ,  $\mathcal{T}(D, \beta)$ , and  $\mathcal{T}(D, \Sigma\alpha)$ ,  $\mathcal{T}(D, \Sigma\beta)$  are invertible. We can use exact sequences from the proposition (1.24) and write a diagram

$$\begin{array}{ccccccccc}
\mathcal{T}(D, \Sigma A) & \longrightarrow & \mathcal{T}(D, \Sigma\beta) & \longrightarrow & \mathcal{T}(D, C) & \longrightarrow & \mathcal{T}(D, A) & \longrightarrow & \mathcal{T}(D, B) \\
\mathcal{T}(D, \Sigma\alpha) \downarrow \simeq & & \mathcal{T}(D, \Sigma\beta) \downarrow \simeq & & \mathcal{T}(D, \gamma) \downarrow & & \mathcal{T}(D, \alpha) \downarrow \simeq & & \mathcal{T}(D, \beta) \downarrow \simeq \\
\mathcal{T}(D, \mathcal{G}A') & \longrightarrow & \mathcal{T}(D, \Sigma B') & \longrightarrow & \mathcal{T}(D, C') & \longrightarrow & \mathcal{T}(D, A') & \longrightarrow & \mathcal{T}(D, B')
\end{array}$$

Rows are exact chain complexes, so the five lemma yields  $\mathcal{T}(D, \gamma)$  invertible. □

**Proposition 1.27.** *Let  $f: A \rightarrow B$  be a morphism. There is up to isomorphism a unique exact triangle*

$$\Sigma B \rightarrow C \rightarrow A \xrightarrow{f} B$$

*Proof.* Existence comes from (TR1). From the (TR3) we get  $\gamma$  in the following diagram

$$\begin{array}{ccccccc}
\Sigma B & \longrightarrow & C & \longrightarrow & A & \longrightarrow & B \\
\parallel & & \downarrow \gamma & & \parallel & & \parallel \\
\Sigma B & \longrightarrow & C' & \longrightarrow & A & \longrightarrow & B
\end{array}$$

From the five lemma (1.26) we get that  $\gamma$  is invertible, which gives uniqueness. □

**Lemma 1.28.** *Let*

$$\Sigma B \xrightarrow{u} C \xrightarrow{v} A \xrightarrow{w} B$$

*be an exact triangle. Then*

1.  $B = 0$  if and only if  $v$  is invertible
2.  $u = 0$  if and only if  $C \rightarrow A \rightarrow B$  is a split extension ( $A \simeq C \oplus B$ )

*Proof.* 1. If  $v$  is invertible, then

$$0 \rightarrow C \xrightarrow{v} A \rightarrow 0$$

is an exact triangle by (TR0) and

$$\begin{array}{ccccccc} 0 & \longrightarrow & C & \xrightarrow{v} & A & \longrightarrow & 0 \\ \parallel & & \downarrow \simeq & & \parallel & & \parallel \\ 0 & \longrightarrow & A & \xlongequal{\quad} & A & \longrightarrow & 0 \end{array}$$

For the converse we use long exact sequence for  $\mathcal{T}(D, -)$ . We have  $\mathcal{T}(D, B) = 0$  if and only if  $\mathcal{T}(D, v)$  invertible. Then we use the Yoneda lemma.

2. If  $A \rightarrow B$  is split epimorphism, then  $B \rightarrow \Sigma^{-1}C$  vanishes because  $A \rightarrow B \rightarrow \Sigma^{-1}C$  vanishes.

Assume  $u = 0$ . We use exactness of

$$\mathcal{T}(B, A) \rightarrow \mathcal{T}(B, B) \rightarrow \mathcal{T}(B, \Sigma^{-1}C)$$

to get  $s: B \rightarrow A$

$$s \mapsto \text{id}_B \mapsto 0$$

which gives a section for  $w: A \rightarrow B$ ,  $w \circ s = \text{id}_B$ .

Exactness of

$$\dots \xrightarrow{0} \mathcal{T}(D, C) \rightarrow \mathcal{T}(D, A) \rightarrow \mathcal{T}(D, B) \xrightarrow{0} \dots$$

implies that  $\mathcal{T}(D, v)$  and  $\mathcal{T}(D, s)$  give isomorphism

$$\mathcal{T}(D, C) \oplus \mathcal{T}(D, B) \rightarrow \mathcal{T}(D, A)$$

for all  $D$ , so  $(s, v)$  give isomorphism  $C \oplus B \xrightarrow{\simeq} A$ . Given  $B, C$  embed  $B \oplus C \rightarrow B$  in an exact triangle

$$\Sigma B \rightarrow D \rightarrow B \oplus C \rightarrow B$$

Since  $B \oplus C \xrightarrow{w} B$  is an epimorphism we have  $u = 0$ . From the long exact sequence

$$\dots \xrightarrow{0} \mathcal{T}(X, D) \rightarrow \mathcal{T}(X, B \oplus C) \rightarrow \mathcal{T}(X, B) \xrightarrow{0} \dots$$

we get  $\mathcal{T}(X, D) \simeq \mathcal{T}(X, C)$  for all  $X \in \mathcal{T}$ , so  $D \simeq C$ . □

**Proposition 1.29.** *If*

$$\Sigma B_i \rightarrow C_i \rightarrow A_i \rightarrow B_i$$

*are exact triangles for all  $i \in I$ , and direct sums exist, then*

$$\bigoplus_{i \in I} \Sigma B_i \rightarrow \bigoplus_{i \in I} C_i \rightarrow \bigoplus_{i \in I} A_i \rightarrow \bigoplus_{i \in I} B_i$$

*is exact. The same holds for products.*

**Definition 1.30.** *A square*

$$\begin{array}{ccc} X & \xrightarrow{\alpha} & Y \\ \beta \downarrow & & \downarrow \beta' \\ X' & \xrightarrow{\alpha'} & Y' \end{array}$$

is called **homotopy Cartesian** with differential  $\gamma: \Sigma Y' \rightarrow X$  if

$$\Sigma Y' \xrightarrow{\gamma} X \xrightarrow{\begin{pmatrix} \alpha \\ \beta \end{pmatrix}} Y \oplus X' \xrightarrow{\beta', -\alpha'} Y'$$

is exact.

Given  $\alpha, \beta$  in the definition we get  $\alpha', \beta', \gamma'$  unique up to isomorphism by embedding  $\begin{pmatrix} \alpha \\ \beta \end{pmatrix}$  in an exact triangle (homotopy pushout). Dually, given  $\alpha', \beta'$  there are  $\alpha, \beta, \gamma$  unique up to isomorphism (homotopy pullback).

**Definition 1.31.** Let  $(A_n, \alpha_n^{n+1}: A_n \rightarrow A_{n+1})_{n \in \mathbb{N}}$  be an inductive system in a triangulated category. We define its **homotopy colimit**  $\text{holim}_{\rightarrow} (A_n, \alpha_n^{n+1}: A_n \rightarrow A_{n+1})_{n \in \mathbb{N}}$  as the desuspended cone of the map

$$\bigoplus_{n \in \mathbb{N}} A_n \xrightarrow{\text{id}-S} \bigoplus_{n \in \mathbb{N}} A_n$$

$$S|_{A_n} = \alpha_n^{n+1}: A_n \rightarrow A_{n+1}$$

It is unique up to isomorphism but not functorial.

$$\bigoplus_{n \in \mathbb{N}} A_n \xrightarrow{\text{id}-S} \bigoplus_{n \in \mathbb{N}} A_n \rightarrow \text{holim}_{\rightarrow} (A_n, \alpha_n^{n+1}) \rightarrow \bigoplus_{n \in \mathbb{N}} \Sigma^{-1} A_n$$

**Proposition 1.32.** Let  $F: \mathcal{T} \rightarrow \mathbf{Ab}$  be homological and commuting with  $\oplus$ , then

$$F(\text{holim}_{\rightarrow} A_n) = \varinjlim F(A_n)$$

If  $\tilde{F}: \mathcal{T} \rightarrow \mathbf{Ab}^{op}$  is contravariant cohomological and  $\tilde{F}(\bigoplus A_n) = \prod \tilde{F}(A_n)$ , then there is an exact sequence

$$\varprojlim^1 \tilde{F}(A_n) \rightarrow \tilde{F}(\text{holim}_{\rightarrow} A_n) \rightarrow \varprojlim \tilde{F}(A_n)$$

*Proof.* Apply  $F$  to the exact triangle defining  $\text{holim}_{\rightarrow}$

$$\bigoplus F_n(A_m) \xrightarrow{\text{id}-S} \bigoplus F_n(A_m) \rightarrow F_n(\text{holim}_{\rightarrow} A_n) \rightarrow \bigoplus F_{n-1}(A_m) \rightarrow \bigoplus F_{n-1}(A_m) \rightarrow \dots$$

$$\text{coker}(\text{id} - S) = \varinjlim F_n(A_m), \quad \ker(\text{id} - S) = 0.$$

□

**Fact 1.33.** If  $A \rightarrow B \rightarrow C \rightarrow D \rightarrow E$  is exact, then

$$\text{coker}(A \rightarrow B) \rightarrow C \rightarrow \ker(D \rightarrow E)$$

is an extension.

*Example 1.34.* Let  $e: A \rightarrow A$  be an idempotent morphism. Then  $\text{holim}_{\rightarrow} (A, e: A \rightarrow A)$ ,  $A \xrightarrow{e} A \xrightarrow{e} A \xrightarrow{e} \dots$  is a range object for  $e$  and  $A \simeq eA \oplus (1-e)A$ .

There are two questions concerning C\*-algebras:

1. Let

$$\begin{array}{ccc} X & \xrightarrow{\alpha} & Y \\ \beta \downarrow & & \downarrow \beta' \\ X' & \xrightarrow{\alpha'} & Y' \end{array}$$

be a pullback diagram of  $C^*$ -algebras, so that

$$X = \{(x', y) \in X' \times Y \mid \alpha'(x') = \beta'(y)\}.$$

When is this image in  $KK$  homotopy Cartesian?

2. Let  $(A_n, \alpha_n)$  be an inductive system of  $C^*$ -algebras. Is  $\varinjlim (A_n, \alpha_n)$  also a homotopy colimit?

Ad 1. Compare  $X$  to the homotopy pullback

$$H = \{(x', y', y) \in X' \times C(I, Y) \times Y \mid \alpha'(x') = y'(0), \beta'(y) = y'(1)\}$$

$H$  is a part of an extension

$$\Sigma Y' \twoheadrightarrow H \twoheadrightarrow X' \oplus Y$$

which is semisplit. Its class in  $KK_1(X' \oplus Y, \Sigma Y) \simeq KK_0(X' \oplus Y, Y')$  is  $(\beta', -\alpha')$ , so  $H$  is a homotopy pullback.

$$\begin{array}{ccccc} \Sigma Y' & \twoheadrightarrow & H & \twoheadrightarrow & X' \oplus Y \\ \parallel & & & & \uparrow \\ \Sigma Y' & \twoheadrightarrow & C_{\alpha'} & \twoheadrightarrow & X' \\ & & & & \uparrow \\ \Sigma Y' & \twoheadrightarrow & H & \twoheadrightarrow & X' \oplus Y \\ \parallel & & & & \uparrow \\ \Sigma Y' & \twoheadrightarrow & C_{\beta'} & \twoheadrightarrow & Y' \end{array}$$

**Definition 1.35.** *The pullback square is admissible if  $X \rightarrow H$  is a  $KK$ -equivalence.*

**Proposition 1.36.** *If  $\alpha'$  is a semisplit epimorphism then so is  $\alpha$ , and the pullback square is admissible. Thus we get a long exact sequence*

$$\dots \rightarrow F_n(X) \rightarrow F_n(X') \oplus F_n(Y) \rightarrow F_n(Y') \rightarrow \dots$$

for any semisplit-exact  $C^*$ -stable homotopy functor.

*Proof.* If  $\alpha'$  is semisplit epimorphism, then  $\alpha$  is a semisplit epimorphism.

$$\begin{array}{ccccc} C_{\alpha} & \xrightarrow{\alpha} & H & \twoheadrightarrow & Y \\ \uparrow & & \uparrow & & \parallel \\ K & \twoheadrightarrow & X & \twoheadrightarrow & Y \\ \parallel & & \downarrow & & \downarrow \\ X & \twoheadrightarrow & X' & \xrightarrow{\alpha'} & Y' \end{array}$$

$$\begin{array}{ccccc}
C_\alpha & \longrightarrow & Z_{\alpha'} & \longrightarrow & Y' \\
\uparrow & & \uparrow & & \parallel \\
X & \longrightarrow & X' & \xrightarrow{\alpha'} & Y'
\end{array}$$

The map  $X' \rightarrow Z_{\alpha'}$  is a homotopy equivalence, and  $K \rightarrow C_{\alpha'}$  is a KK-equivalence because the extension  $K \rightarrow X' \rightarrow Y'$  is semisplit. Now use five lemma in KK to get that  $X \rightarrow H$  is a KK-equivalence.  $\square$

Ad 2. If all  $A_n$  are nuclear, then  $\varinjlim(A_n, \alpha_n)$  is a homotopy colimit.

There is a fourth axiom of triangulated categories which is about exactness properties of cones of maps.

(TR4)

$$\begin{array}{ccccccc}
X & \xrightarrow{\alpha_1} & Y & \xrightarrow{\alpha_2} & Z & \xrightarrow{\alpha_3} & \Sigma X \\
\parallel & & \downarrow \beta_1 & & \downarrow \delta_1 & & \parallel \\
X & \xrightarrow{\gamma_1} & Z & \xrightarrow{\gamma_2} & V & \xrightarrow{\gamma_3} & \Sigma X \\
& & \downarrow \beta_2 & & \downarrow \delta_2 & & \downarrow \Sigma \alpha_1 \\
& & W & \xlongequal{\quad} & W & \xrightarrow{\beta_3} & \Sigma Y \\
& & \downarrow \beta_3 & & \downarrow \delta_3 & & \\
& & \Sigma Y & \xrightarrow{\Sigma \alpha_2} & \Sigma Z & & 
\end{array}$$

Given solid arrows so that  $(\alpha_1, \alpha_2, \alpha_3)$ ,  $(\beta_1, \beta_2, \beta_3)$ ,  $(\gamma_1, \gamma_2, \gamma_3)$  are exact triangles, we can find exact triangle  $(\delta_1, \delta_2, \delta_3)$  making the diagram commute.

We should warn the reader that the arrows are reversed here compared to the previous convention.

There are equivalent versions of the axiom (TR4):

(TR4') Every pair of maps

$$\begin{array}{ccc}
X & \xrightarrow{\alpha} & Y \\
\downarrow & & \\
X' & & 
\end{array}$$

can be completed to a morphism of exact triangles

$$\begin{array}{ccccccc}
X & \longrightarrow & Y & \longrightarrow & Z & \longrightarrow & \Sigma X \\
\downarrow & & \downarrow & & \downarrow & & \downarrow \\
X' & \longrightarrow & Y' & \longrightarrow & Z & \longrightarrow & \Sigma X'
\end{array}$$

such that the first square is homotopy Cartesian with differential  $Y' \rightarrow \Sigma X'$ .

(TR4'') Given a homotopy Cartesian square

$$\begin{array}{ccc}
X & \longrightarrow & Y \\
\downarrow & & \downarrow \\
X' & \longrightarrow & Y'
\end{array}$$

and differential  $\delta: Y' \rightarrow \Sigma X$ , it can be completed to a morphism of exact triangles

$$\begin{array}{ccccccc}
 X & \longrightarrow & Y & \longrightarrow & Z & \longrightarrow & \Sigma X \\
 \downarrow & & \downarrow & & \downarrow \delta & \nearrow & \downarrow \\
 X' & \longrightarrow & Y' & \longrightarrow & Z & \longrightarrow & \Sigma X'
 \end{array}$$

**Proposition 1.37.** *The axioms  $(TR_4)$ ,  $(TR_4')$ ,  $(TR_4'')$  are equivalent.*

## 1.5 Localisation of triangulated categories

Roughly speaking localisation enlarges a ring (or a category) by adding inversions of certain ring elements (or morphisms). However strange things can happen here due to non-commutativity. Actually in all examples we are going to study the localisation is just a quotient of the original category.

The motivating example is the derived category of an abelian category, which is defined as a localisation of its homotopy category of chain complexes. For any additive category  $\mathbf{A}$ , the homotopy category of chain complexes in  $\mathbf{A}$  is a triangulated category. The suspension is a shift here.

Mapping cones for chain maps behave as in homotopy theory. If  $f: K \rightarrow L$  is a chain map, then

$$K \xrightarrow{f} L \rightarrow C_f \rightarrow K[1]$$

is a mapping cone triangle. For  $C^*$ -algebras the contravariance of the functor  $\mathbf{Spaces} \rightarrow C^* - \mathbf{alg}$ ,  $X \mapsto C(X)$  causes confusion about direction of arrows.

If  $F: \mathbf{A} \rightarrow \mathbf{A}'$  is additive functor, then the induced functor

$$\mathrm{Ho}(F): \mathrm{Ho}(\mathbf{A}) \rightarrow \mathrm{Ho}(\mathbf{A}')$$

is exact - preserves suspensions and exact triangles.

*Example 1.38.* Let  $\Sigma: \mathcal{T} \rightarrow \mathcal{T}$  be a suspension functor, and

$$A \xrightarrow{u} B \xrightarrow{v} C \xrightarrow{w} A[1]$$

an exact triangle. The triangle

$$A[1] \xrightarrow{-u[1]} B[1] \xrightarrow{-v[1]} C \xrightarrow{-w[1]} A[2]$$

could be non-exact. To correct it we use an isomorphism

$$\Sigma(A[1]) \xrightarrow{-\mathrm{id}} (\Sigma A)[1]$$

Passage to the derived category introduces homological algebra. The quasi-isomorphisms class, that is maps that induce an invertible maps on homology, is the class of morphisms which should be inverted in derived category.

*Example 1.39.* The following map is a quasi-isomorphism

$$\begin{array}{ccccccc}
 0 & \longrightarrow & \mathbb{Z} & \xrightarrow{\cdot 2} & \mathbb{Z} & \longrightarrow & 0 \\
 & & & & \downarrow & & \\
 0 & \longrightarrow & 0 & \longrightarrow & \mathbb{Z}/2 & \longrightarrow & 0
 \end{array}$$

**Definition 1.40.** The localisation of a category  $\mathbf{C}$  in a family of morphisms  $S$  is a category  $\mathbf{C}[S^{-1}]$  together with a functor  $F: \mathbf{C} \rightarrow \mathbf{C}[S^{-1}]$  such that

1.  $F(s)$  is invertible for all  $s \in S$
2.  $F$  is universal among functors with this property, that is if  $G: \mathbf{C} \rightarrow \mathbf{C}'$  is another functor with  $G(s)$  invertible for all  $s \in S$ , then there is a unique factorisation

$$\begin{array}{ccc} \mathbf{C} & \xrightarrow{F} & \mathbf{C}[S^{-1}] \\ & \searrow G & \swarrow \exists! \\ & \mathbf{C} & \end{array}$$

In good cases there are some "commutation relations". We can introduce also a calculus of fractions. The pair

$$\begin{array}{ccc} & \bullet & \\ s \swarrow & & \searrow f \\ A & \simeq & B \end{array} \quad \text{can be rewritten as} \quad \begin{array}{ccc} & \bullet & \\ g \swarrow & & \searrow f \\ A & & B \end{array}$$

In good cases:

- For all  $f \in \mathbf{C}$ ,  $s \in S$  there exist  $g, t$  such that  $tf = gs \implies fs^{-1} = t^{-1}g$
- $S \circ S \subseteq S$  - composition of morphisms in  $S$  is in  $S$ .
- $s \cdot t \in S \implies t \in S$  - cancellation law.

In triangulated categories it is easier to specify which objects should become zero. Indeed for an exact triangle

$$A \xrightarrow{f} B \rightarrow C \rightarrow A[1]$$

if  $G$  is an exact functor, then  $G(f)$  invertible implies  $G(C) \simeq 0$ .

**Definition 1.41.** A class  $\mathcal{N}$  of objects in a triangulated category  $\mathcal{T}$  is called **thick** if it satisfies the following conditions

1.  $0 \in \mathcal{N}$ ,
2. If  $A \oplus B \in \mathcal{N}$  then  $A, B \in \mathcal{N}$ ,
3. If the triangle  $A \rightarrow B \rightarrow C \rightarrow A[1]$  is exact, and  $A, B \in \mathcal{N}$ , then  $C \in \mathcal{N}$ .

Notice that the object kernel  $\{A \in \mathcal{T} \mid G(A) \simeq 0\}$  of an exact functor satisfies this.

**Definition 1.42.** Given a thick subcategory  $\mathcal{N} \in \mathcal{T}$  an  $\mathcal{N}$ -**equivalence** is a morphism in  $\mathcal{T}$  which cone belongs to  $\mathcal{N}$ .

Denote

$$\mathcal{T}/\mathcal{N} := \mathcal{T}[(\mathcal{N}\text{-equivalences})^{-1}]$$

**Theorem 1.43.** Given a thick subcategory  $\mathcal{N}$  in a (small) triangulated category  $\mathcal{T}$ , the  $\mathcal{N}$ -equivalences have a calculus of fractions,  $\mathcal{T}/\mathcal{N}$  is again a triangulated category, and  $\mathcal{T} \rightarrow \mathcal{T}/\mathcal{N}$  is an exact functor.

**Definition 1.44.** *Left orthogonal complement of a class of objects  $\mathcal{N}$  in  $\mathcal{T}$*

$$\mathcal{N}^\perp := \{P \in \mathcal{T} \mid \mathcal{T}(P, N) = 0 \forall N \in \mathcal{N}\}$$

**Definition 1.45.** *Two thick classes of objects  $\mathcal{P}, \mathcal{N}$  in  $\mathcal{T}$  are called **complementary** if*

- $\mathcal{P} \subseteq \mathcal{N}^\perp$
- For all  $A \in \mathcal{T}$  there is an exact triangle

$$P \rightarrow A \rightarrow N \rightarrow P[1], \quad P \in \mathcal{P}, N \in \mathcal{N}.$$

**Theorem 1.46.** *Let  $(\mathcal{P}, \mathcal{N})$  be complementary. Then*

1.  $\mathcal{P} = \mathcal{N}^\perp, \mathcal{N} = \mathcal{P}^\perp$
2. the exact triangle  $P \rightarrow A \rightarrow N \rightarrow P[1]$  with  $P \in \mathcal{P}, N \in \mathcal{N}$  is unique up to canonical isomorphism and functorial in  $\mathcal{A}$
3. the functors  $\mathcal{T} \rightarrow \mathcal{P}, A \mapsto P, \mathcal{T} \rightarrow \mathcal{N}, A \mapsto N$  are exact.
4.  $\mathcal{P} \rightarrow \mathcal{T}$  to  $\mathcal{T}/\mathcal{N}$  and  $\mathcal{N} \rightarrow \mathcal{T} \rightarrow \mathcal{T}/\mathcal{P}$  are equivalences of categories.

*Example 1.47.* Take  $\text{Ho}(\mathcal{A})$ ,  $\mathcal{A}$  abelian,  $\mathcal{N} = \{\text{exact complexes}\}$ . If  $P \in \mathcal{A}$  is projective, then homotopy classes of chain maps  $P \rightarrow C_\bullet$  (there is an inclusion  $\mathcal{A} \hookrightarrow \text{Ho}(\mathcal{A})$ ) are in bijection with maps  $P \rightarrow \text{Ho}(C_\bullet)$ .

$$\begin{array}{ccccc} C_1 & \xrightarrow{d_1} & C_0 & \xrightarrow{d_0} & C_{-1} \\ & & \uparrow f & & \\ 0 & \longrightarrow & P & \longrightarrow & 0 \end{array}$$

Notice that  $\mathcal{N}^\perp$  is always thick and closed under direct sums. Subcategories with both properties are called localising.

*Example 1.48.* Let  $P_0, P_1$  be projective in  $\mathcal{A}$ , and  $f: P_1 \rightarrow P_0$ . Then its cone

$$C_f := (\dots \rightarrow 0 \rightarrow \underbrace{P_1}_0 \xrightarrow{f} \underbrace{P_0}_{-1} \rightarrow 0 \rightarrow \dots)$$

**Theorem 1.49** (Boekstadt-Neemann). *Suppose that  $\mathcal{A}$  is abelian category with enough projectives and countable direct sums. Let  $\mathcal{N} \subseteq \text{Ho}(\mathcal{A})$  be the full subcategory of exact chain complexes, and let  $\mathcal{P}$  be the localising subcategory generated by the projective objects of  $\mathcal{A} \hookrightarrow \text{Ho}(\mathcal{A})$ . Then  $(\mathcal{P}, \mathcal{N})$  are complementary.*

The functor  $P: \text{Ho}(\mathcal{A}) \rightarrow \mathcal{P}$  replaces a module by a projective resolution of the module

$$P(M) = (\dots \rightarrow \underbrace{P_2}_3 \rightarrow \underbrace{P_1}_2 \rightarrow \underbrace{P_0}_1 \rightarrow \underbrace{M}_0 \rightarrow 0 \rightarrow \dots)$$

*Example 1.50.* Let  $\mathcal{T} = \text{KK}$ ,  $\mathcal{N} = \{A \in \text{KK} \mid K_*(A) = 0\}$ . Then  $\mathbb{C} \in \mathcal{N}^\perp$  because  $\text{KK}_*(\mathbb{C}, A) = K_*(A) = 0$  for  $A \in \mathcal{N}$ . Let  $\mathcal{B}$  be the localising subcategory generated by  $\mathbb{C}$ .

*Theorem 1.51.*  $(\mathcal{B}, \mathcal{N})$  are complementary.



$P: \mathbf{KK} \rightarrow \mathcal{B}$  replaces a separable  $C^*$ -algebra by one in the bootstrap class with the same  $K$ -theory.

Let  $(\mathcal{P}, \mathcal{N})$  be complementary subcategories. Then

1.  $\mathcal{P} = \mathcal{N}^\perp$ . From the assumption  $\mathcal{P} \subseteq \mathcal{N}^\perp$ . Take  $A \in \mathcal{N}^\perp$  and embed it into an exact triangle

$$\underbrace{P}_{\in \mathcal{P}} \rightarrow A \xrightarrow{0} \underbrace{N}_{\in \mathcal{N}} \rightarrow P[1]$$

There is a splitting  $A \rightarrow P$ , so  $A$  is a direct summand of  $P$ , hence  $A \in \mathcal{P}$ , because  $\mathcal{P}$  is thick.

2. Let  $A, A' \in \mathcal{T}$ ,  $f: A \rightarrow A'$ . Then there is a map of exact triangles

$$\begin{array}{ccccccc} P & \longrightarrow & A & \longrightarrow & N & \longrightarrow & P[1] \\ & & \downarrow f & & & & \\ P' & \longrightarrow & A' & \longrightarrow & N' & \longrightarrow & P'[1] \end{array}$$

with  $P, P' \in \mathcal{P}$ ,  $N, N' \in \mathcal{N}$ .

We use long exact sequence

$$\dots \rightarrow \underbrace{\mathcal{T}(P, N')}_{=0} \rightarrow \mathcal{T}(P, P') \xrightarrow{\cong} \mathcal{T}_0(P, A') \rightarrow \underbrace{\mathcal{T}(P, N')}_{=0} \rightarrow \dots$$

to get  $P \xrightarrow{P_f} P'$  in the diagram

$$\begin{array}{ccccccc} P & \longrightarrow & A & \longrightarrow & N & \longrightarrow & P[1] \\ \downarrow P_f & & \downarrow f & & \downarrow & & \downarrow \Sigma P_f \\ P' & \longrightarrow & A' & \longrightarrow & N' & \longrightarrow & P'[1] \end{array}$$

Then use (TR3) to extend  $(f, P_f)$  to a morphism of exact triangles by  $N \xrightarrow{N_f} N'$ , which is unique making the diagram

$$\begin{array}{ccc} A & \longrightarrow & N \\ f \downarrow & & \downarrow N_f \\ A' & \longrightarrow & N' \end{array}$$

commute.

3.  $\mathcal{P}, \mathcal{N}$  are exact.

From (TR1) there is  $X$  in the exact triangle

$$P_A \rightarrow P_B \rightarrow X \rightarrow P_A[1]$$

From (TR3) we can find  $X \xrightarrow{f} C$  in the diagram

$$\begin{array}{ccccccc}
P_A & \longrightarrow & P_B & \longrightarrow & X & \longrightarrow & P_A[1] \\
\downarrow \pi_A & & \downarrow \pi_B & & \downarrow f & & \downarrow \\
A & \longrightarrow & B & \longrightarrow & C & \longrightarrow & A[1] \\
\downarrow & & \downarrow & & \downarrow & & \downarrow \\
N_A & \longrightarrow & N_B & \longrightarrow & \text{Cone}(f) & \longrightarrow & N_A[1] \\
\downarrow & & \downarrow & & \downarrow & & \downarrow \\
P_A[1] & \longrightarrow & P_B[1] & \longrightarrow & X[1] & \longrightarrow & P_A[1]
\end{array}$$

Thus  $X = P_C$  and  $\text{Cone}(f) = N_C$  and  $f$  must be the canonical map  $P_C \rightarrow C$ .

$\mathcal{T}_*(Q, \pi_A)$  and  $\mathcal{T}_*(Q, \pi_B)$  are invertible because  $N_A \in \mathcal{N}$ ,  $N_B \in \mathcal{N}$ . Now we use the five lemma for

$$\begin{array}{ccccccccc}
\mathcal{T}(Q, P_A) & \longrightarrow & \mathcal{T}(Q, P_B) & \longrightarrow & \mathcal{T}(Q, X) & \longrightarrow & \mathcal{T}(Q, P_A[1]) & \longrightarrow & \mathcal{T}(Q, P_B[1]) \\
\downarrow \simeq & & \downarrow \simeq & & & & \downarrow \simeq & & \downarrow \simeq \\
\mathcal{T}(Q, A) & \longrightarrow & \mathcal{T}(Q, B) & \longrightarrow & \mathcal{T}(Q, C) & \longrightarrow & \mathcal{T}(Q, A[1]) & \longrightarrow & \mathcal{T}(Q, B[1])
\end{array}$$

There is an isomorphism  $P_A[1] \simeq P_{A[1]}$ .

For an exact triangle

$$P \xrightarrow{u} A \xrightarrow{v} N \xrightarrow{w} P[1]$$

the triangle

$$P[1] \xrightarrow{u} A[1] \xrightarrow{v} N[1] \xrightarrow{-w} P[2]$$

is exact.

We have seen along the way that  $\mathcal{T}(Q, P_A) \simeq \mathcal{T}(Q, A)$  for all  $Q \in \mathcal{P}$ , which means that the functor  $P: \mathcal{T} \rightarrow \mathcal{P}$  is right adjoint to the embedding  $\mathcal{P} \hookrightarrow \mathcal{T}$ .

Define  $\mathcal{T}'$  as the category with the same objects as  $\mathcal{T}$  and  $\mathcal{T}'(A, B) := \mathcal{T}(P_A, P_B)$ . Let  $F: \mathcal{T} \rightarrow \mathcal{T}'$  be the functor that is the identity on objects and  $P$  on morphisms. This satisfies the universal property of  $\mathcal{T}[(\mathcal{N} - \text{equivalences})^{-1}]$ . Notice that  $P_A \simeq A$  if  $A \in \mathcal{P}$ . Also  $P_A \rightarrow A$  is an  $\mathcal{N}$ -equivalence.

If the triangle

$$A \xrightarrow{P_u} B \xrightarrow{P_v} C \xrightarrow{P_w} A[1]$$

is exact in  $\mathcal{T}'$ , then the triangle

$$P_A \xrightarrow{P_u} P_B \xrightarrow{P_v} P_C \xrightarrow{P_w} P_A[1]$$

is exact in  $\mathcal{T}$ .

$P$  maps  $\mathcal{N}$ -equivalences to isomorphisms because  $P(A) = 0$  for  $A \in \mathcal{N}$ . If  $G$  maps  $\mathcal{N}$ -equivalences to isomorphisms we get

$$\begin{array}{ccc}
G(P_A) & \longrightarrow & G(P_B) \\
\downarrow \simeq & & \downarrow \simeq \\
G(A) & \longrightarrow & G(B)
\end{array}$$

so  $\mathcal{T}'(A, B)$  gives a map  $G(A) \rightarrow G(B)$ .

Let  $\mathcal{T}$  be triangulated and monoidal, and let  $\mathcal{P}, \mathcal{N}$  be thick subcategories with  $\mathcal{P} \otimes \mathcal{T} \subseteq \mathcal{P}$ ,  $\mathcal{N} \otimes \mathcal{P} \subseteq \mathcal{N}$ . If there is an exact triangle

$$P \rightarrow \mathbf{1} \rightarrow N \rightarrow P[1],$$

where  $\mathbf{1}$  is the tensor unit,  $P \in \mathcal{P}$ ,  $N \in \mathcal{N}$ , and  $\mathcal{P} \subseteq \mathcal{N}^\perp$ , then  $(\mathcal{P}, \mathcal{N})$  are complementary. Also for an arbitrary  $A$  the triangle

$$P \otimes A \rightarrow \mathbf{1} \otimes A \rightarrow N \otimes A \rightarrow P \otimes A[1],$$

is exact.

We expect that  $\mathrm{KK}^{\mathcal{G}}$  has a (symmetric) monoidal structure also if  $\mathcal{G}$  is a quantum group.

*Example 1.52.* Let  $G$  be finite group,  $A, B$  algebras with  $G$ -coaction (grading). Then  $A \otimes B$  carries a diagonal coaction

$$(A \otimes B)_g = \bigoplus_{h \in G} A_h \otimes B_{h^{-1}g}$$

We want to equip  $A \otimes B$  with a multiplication that is equivariant for the canonical coaction of  $G$  on  $A \otimes B$ . The usual product does not work, because if  $a \in A_h$ ,  $b \in B_g$ , then  $a \cdot b = b \cdot a \in (A \otimes B)_{hg}$  but we need  $b \cdot a \in (A \otimes B)_{gh}$ . We must therefore impose a commutation relation that is non-trivial. We define

$$b_g \cdot a_h := \alpha_g(a_h) \cdot b_g, \quad \text{for } a_h \in A_h, b_g \in B_g,$$

where  $\alpha_g: A \rightarrow A$  for  $g \in G$  is some linear map. Associativity dictates that  $\alpha_g(a_1 \cdot a_2) = \alpha_g(a_1)\alpha_g(a_2)$ , and  $\alpha_{g_1}\alpha_{g_2} = \alpha_{g_1g_2}$ . It is natural to require also  $\alpha_1 = \mathrm{id}_A$ , so that  $\alpha$  is an action of  $G$  on  $A$  by algebra automorphisms. Finally covariance dictates that  $\alpha_g(A_h) \subseteq A_{ghg^{-1}}$  for all  $g, h \in G$ .

The extra structure  $\alpha$  should always exist on a stabilisation  $E_A := \mathrm{End}(A \otimes \mathbb{C}[G])$  with the coaction of  $G$  induced by the tensor product coaction on  $A \otimes \mathbb{C}[G]$ .  $A_h \otimes |\delta_g\rangle\langle\delta_l|$  maps  $(A \otimes \mathbb{C}[G])_x$  to  $A_{xl^{-1}h} \otimes \mathbb{C}[G]_g \subseteq (A \otimes \mathbb{C}[G])_{xl^{-1}hg}$ , hence

$$(E_A)_g = \sum_{x, y, z \in G, x^{-1}yz=g} A_y \otimes |\delta_z\rangle\langle\delta_x|$$

Let  $G$  act on  $A \otimes \mathbb{C}[G]$  by the regular representation. This induces an action  $\alpha: G \times E_A \rightarrow E_A$  by conjugation. We check that if  $x^{-1}yz = h$ , then

$$\alpha_g(A_y \otimes |\delta_z\rangle\langle\delta_x|) = A_y \otimes |\delta_{zg^{-1}}\rangle\langle\delta_{xg^{-1}}| \in (E_A)_{gx^{-1}yzg^{-1}} = (E_A)_{ghg^{-1}}$$

Thus  $E_A \otimes B$  carries a canonical algebra structure.

Even in homological algebra, in  $\mathrm{Ho}(R - \mathbf{Mod})$  it is not obvious that the exact chain complexes are part of a complementary pair.

$$\mathrm{Der}(R - \mathbf{Mod}) := \mathrm{Ho}(R - \mathbf{Mod}) / (\text{exact chain complexes})$$

Recall  $(\mathcal{L}, \mathcal{N})$  is complementary if

- $\mathrm{Hom}(\mathcal{L}, \mathcal{N}) = 0$

- For all  $A \in \mathcal{T}$  there exist an exact triangle

$$L \rightarrow A \rightarrow N \rightarrow L[1]$$

With  $L \in \mathcal{L}$ ,  $N \in \mathcal{N}$ .

We will explain a general method for doing homological algebra in a triangulated categories that also, eventually solves this problem.

Assume we want to understand a triangulated category  $\mathcal{T}$ . As a probe to explore it, we use some homological functor  $F : \mathcal{T} \rightarrow \mathcal{A}$ , where  $\mathcal{A}$  is some abelian category.

*Examples 1.53.*

- $\mathcal{T} = \text{Ho}(\mathcal{A})$ ,  $\mathcal{A}$  an abelian category, and  $F$  is a homology functor  $\text{Ho}(\mathcal{A}) \rightarrow \mathcal{A}^{\mathbb{Z}}$ .
- $\mathcal{T} = \text{KK}$ ,  $F = K_* : \text{KK} \rightarrow \mathbf{Ab}^{\mathbb{Z}/2}$ .
- $\mathcal{T} = \text{KK}^{(C, \Delta)}$ , where  $(C, \Delta)$  is a quantum group,  $F = K_* : \text{KK} \rightarrow \mathbf{Ab}^{\mathbb{Z}/2}$ .

In the examples above, the target category has its own translation (suspension) automorphism, and  $F$  intertwines these translation automorphisms, we call  $F$  stable if this happens.

Actually, all the relevant information about  $F$  is contained in its morphism-kernel

$$(\ker F)(A, B) := \{\varphi : A \rightarrow B \mid F(\varphi) = 0\}$$

This is a finer invariant than the object kernel.  $\ker F$  is called a homological ideal. Using homological ideal we can carry over various notions from homological algebra to our category  $\mathcal{T}$ .

**Definition 1.54.** Let  $(C_n, d_n)$  be a chain complex in  $\mathcal{T}$ . We call it  $\ker F$ -exact in degree  $n$  if

$$F(C_{n+1}) \rightarrow F(C_n) \rightarrow F(C_{n-1})$$

is exact at  $F(C_n)$

Here  $F$  is exact, but it depends only on  $\ker F$ , so we call it  $\ker F$ -exact.

**Definition 1.55.** An object  $A \in \mathcal{T}$  is  $\ker F$ -projective if the functor  $\mathcal{T}(A, -)$  maps  $\ker F$ -exact chain complexes in  $\mathcal{T}$  to exact chain complexes.

Denote  $\mathcal{J} := \ker F$ .

**Lemma 1.56.** The following statements are equivalent

1. an object  $A \in \mathcal{T}$  is  $\mathcal{J}$ -projective
2. for all  $f \in \mathcal{J}(B, C)$  the map  $\mathcal{T}(A, B) \xrightarrow{f_*} \mathcal{T}(A, C)$  vanishes
3. for all  $C \in \mathcal{T}$   $\mathcal{J}(A, C) = 0$

**Definition 1.57.** A projective resolution of  $A \in \mathcal{T}$  is a  $\mathcal{J}$ -exact chain complex

$$\dots \rightarrow P_2 \rightarrow P_1 \rightarrow P_0 \rightarrow A \rightarrow 0 \rightarrow \dots$$

with  $P_i$   $\mathcal{J}$ -projective.

Now we can ask the following questions:

- What are the projective objects in examples?
- Are there many of them? That is does every object have a  $\mathcal{J}$ -projective resolution?

We use (partially defined) left adjoints to decide this. Let  $F: \mathcal{T} \rightarrow \mathcal{A}$  be stable homological with  $\ker F = \mathcal{J}$ . Its left adjoint  $F^\perp$  is defined on  $B \in \mathcal{A}$  if there is  $B' := F^\perp(B)$  with  $\mathcal{T}(B', D) \simeq \mathcal{A}(B, F(D))$  for all  $D \in \mathcal{T}$ , natural in  $D$ . This defines a functor on a subcategory of  $\mathcal{A}$ .

The functor  $\mathcal{T}(F^\perp(B), -)$  factors as follows

$$\mathcal{T} \xrightarrow{F} \mathcal{A} \xrightarrow{\mathcal{A}(B, -)} \mathbf{Ab}$$

$$D \mapsto F(D) \mapsto \mathcal{A}(B, F(D))$$

and therefore vanishes on  $\mathcal{J} = \ker F$ .

*Examples 1.58.* 1. Let  $\mathcal{T} = \mathrm{Ho}(\mathcal{A})$ ,  $F = H_*: \mathrm{Ho}(\mathcal{A}) \rightarrow \mathcal{A}^{\mathbb{Z}}$ . Assume that  $\mathcal{A}$  has enough projectives. Recall that if  $P \in \mathcal{A}$  is projective, then  $\mathcal{T}(P, C_\bullet) = \mathcal{A}(P, H_*(C_\bullet))$ . Thus  $H_*^\perp$  is defined on projective objects of  $\mathcal{A}$  or  $\mathcal{A}^{\mathbb{Z}}$  and it produces a chain complex with vanishing boundary map.

2. Let  $\mathcal{T} = \mathrm{KK}$ ,  $F = K_*: \mathrm{KK} \rightarrow \mathbf{Ab}^{\mathbb{Z}/2}$ . Because

$$\mathrm{KK}(\mathbb{C}, A) = K_*(A) = \mathrm{Hom}(\mathbb{Z}, K_*(A))$$

we have

$$K_*^\perp(\underbrace{\mathbb{Z}[0]}_{\mathbb{Z} \text{ in degree } 0}) = \mathbb{C}$$

$$K_*^\perp(\mathbb{Z}[1]) = \mathbb{C}[1] = C_0(\mathbb{R})$$

Left adjoints commute with direct sums, hence  $K_*^\perp$  is defined on free  $\mathbb{Z}/2$  graded abelian groups.

3. Let  $\mathcal{T} = \mathrm{KK}^{\mathbb{Z}}$  be an equivariant KK-theory for integers, and  $F: \mathrm{KK}^{\mathbb{Z}} \rightarrow \mathbf{Ab}^{\mathbb{Z}/2}$ ,  $F(A, \alpha) = K_*(A)$ . If  $A \in \mathrm{KK}$ ,  $b \in \mathrm{KK}^{\mathbb{Z}}$  then

$$\mathrm{KK}^{\mathbb{Z}}(C_0(\mathbb{Z}) \otimes A, B) = \mathrm{KK}(A, B)$$

More generally, if  $H \subseteq G$  is an open subgroup, then

$$\mathrm{KK}^G(\mathrm{Ind}_H^G A, B) \simeq \mathrm{KK}^H(A, \mathrm{Res}_G^H B)$$

Here we had  $G = \mathbb{Z}$ ,  $H = \{1\}$ .

Since  $(F \circ G)^\perp = G^\perp \circ F^\perp$ .  $F^\perp$  is defined on all free  $\mathbb{Z}/2$ -graded abelian groups, and given by

$$F^\perp(\mathbb{Z}[0]) = C_0(G), \quad (G = \mathbb{Z})$$

**Proposition 1.59.** *Let  $F: \mathcal{T} \rightarrow \mathcal{A}$  be a stable homological functor whose left adjoint is defined on all projective objects of an abelian category  $\mathcal{A}$ . If  $\mathcal{A}$  has enough projectives, then there are enough  $\ker F$ -projective objects in  $\mathcal{T}$ , and any  $\ker F$ -projective object is a retract of  $F^\perp(B)$  for some projective object  $B \in \mathcal{A}$ .*

*Proof.* Let  $D \in \mathcal{T}$ , we need  $B \in \mathcal{A}$  projective and a morphism  $\pi \in \mathcal{T}(F^+(B), D)$  such that  $F(\pi)$  is an epimorphism. This is the beginning of a recursive construction of a projective resolution. We have

$$\begin{aligned} \mathcal{T}(F^+(B), D) &\simeq \mathcal{A}(B, F(D)) \\ \rho^* &\leftarrow \rho \end{aligned}$$

We claim that  $F(\rho^*)$  is an epimorphism. There is a commutative diagram

$$\begin{array}{ccc} FF^+(B) & \xrightarrow{F(\rho^*)} & F(D) \\ & \swarrow \varepsilon_B & \nearrow \rho \\ & B & \end{array}$$

where  $\varepsilon: \text{Id} \rightarrow FF^+$  is a unit of adjointness. □

Once we have  $\mathcal{J}$ -projective resolution, we get  $\mathcal{J}$ -derived functors. The question is how to compute them?

There are three conditions:

1.  $F \circ F^+ = \text{id}_{\text{Proj}_{\mathcal{A}}}$
2.  $\text{Proj}_{\mathcal{J}} \xrightarrow{F} \text{Proj}_{\mathcal{A}}$
- 3.

$$\left\{ \begin{array}{l} \mathcal{J}\text{-projective resolutions of } D \in \mathcal{T} \\ \text{up to isomorphism} \end{array} \right\} \xrightarrow{\simeq} \left\{ \begin{array}{l} \text{projective resolutions of } F(D) \\ \text{up to isomorphism} \end{array} \right\}$$

*Example 1.60.* Let  $D \in \text{KK}$ , and there is a free resolution of its K-theory

$$\dots \rightarrow 0 \rightarrow P_1 \xrightarrow{d_1} P_0 \xrightarrow{d_0} K_*(D) \rightarrow 0$$

Then

$$\text{KK}(K_*^+(P_1), K_*^+(P_0)) = \text{Hom}_{\mathbf{Ab}^{\mathbb{Z}/2}}(P_1, P_0)$$

By (2) we can lift  $d_1$  to  $\widehat{d}_1: K_*^+(P_1) \rightarrow K_*^+(P_0)$

$$\begin{aligned} \text{KK}(K_*^+(P_0), D) &\simeq \text{KK}(P_0, K_*(D)) \\ \widehat{d}_0 &\mapsto d_0 \end{aligned}$$

Then

$$0 \rightarrow K_*^+(P_1) \rightarrow K_*^+(P_0) \rightarrow 0 \rightarrow 0$$

is an  $\mathcal{J}$ -projective resolution,  $\mathcal{J} = \ker(K_*)$ . Both  $K_*^+(P_0)$  and  $K_*^+(P_1)$  are direct sums of  $\mathbb{C}$  and  $C_0(\mathbb{R})$ , and

$$K_*(K_*^+(P_j)) = P_j$$

Hence we have lifted a projective resolution in  $\mathbf{Ab}^{\mathbb{Z}/2}$  to one in  $\text{KK}$ .

In the nice case where (2) and hence (1) and (3) hold, the derived functors with respect to  $\mathcal{J}$  are the same as derived functors in the abelian category  $\mathcal{A}$  because resolutions are the same.

**Proposition 1.61.** *Assuming (1), any homological functor,  $H: \mathcal{T} \rightarrow \mathcal{C}$  induces a right-exact functor  $\overline{H}: \mathcal{A} \rightarrow \mathcal{C}$ , and  $\mathbb{L}_p^j H = \mathbb{L}_p^j \overline{H} \circ F$*

$$\mathrm{Ext}_{(\mathcal{T}, \mathcal{J})}^n(D, E) \simeq \mathrm{Ext}_{\mathcal{A}}^n(F(D), F(E))$$

*Example 1.62.* Because

$$\mathrm{Ext}_{(\mathrm{KK}, \ker(K_*))}^n(D, E) = \mathrm{Ext}_{\mathbf{Ab}^{\mathbb{Z}/2}}^n(K_*(D), K_*(E))$$

for all  $n \geq 1$ , we have

$$\mathrm{Ext}_{(\mathrm{KK}, \ker(K_*))}^0 = \mathrm{Hom}, \quad \mathrm{Ext}_{(\mathrm{KK}, \ker(K_*))}^n = 0$$

There is a canonical map

$$\mathcal{T}(D, E)/\mathcal{J}(D, E) \twoheadrightarrow \mathrm{Ext}_{(\mathcal{T}, \mathcal{J})}^0(D, E)$$

The general feature is that  $\mathcal{J}$  acts by 0 on all derived functors.

**Definition 1.63.** *Let  $D \in \mathcal{T}$ ,  $(P_n, \partial_n)$  be an  $\mathcal{J}$ -projective resolution of  $D$ . Then  $\mathrm{Ext}_{(\mathcal{T}, \mathcal{J})}^n(D, E)$  is the  $n$ -th cohomology of*

$$\dots \leftarrow s\mathcal{T}(P_n, E) \leftarrow \mathcal{T}(P_{n-1}, E) \leftarrow \dots \leftarrow \mathcal{T}(P_0, E) \leftarrow 0$$

For example

$$\begin{array}{ccccccc} \mathrm{Ext}_{\mathcal{T}, \mathcal{J}}^0 & = & \ker(\mathcal{T}(P_0, E) \rightarrow \mathcal{T}(P_1, E)) \\ P_1 & \longrightarrow & P_0 & \longrightarrow & D & \longrightarrow & 0 \\ & & & \searrow & \downarrow & & \\ & & & & E & & \end{array}$$

Assume we want to understand a triangulated category  $\mathcal{T}$ , that may have nothing to do with algebra, using the tools from homological algebra. We have been able to define projective resolutions and thus derived functors. How to achieve  $F \circ F^\perp = \mathrm{id}$ ? Is there abelian category that describes the derived functors?

**Definition 1.64.** *Let  $\mathcal{J} \subseteq \mathcal{T}$  be a homological ideal. A stable homological functor  $F: \mathcal{T} \rightarrow \mathcal{A}$  with  $\ker F = \mathcal{J}$  is called universal (for  $\mathcal{J}$ ) if any other stable homological functor  $H: \mathcal{T} \rightarrow \mathcal{A}'$  with  $\ker H \supseteq \mathcal{J}$  factors through  $F$  uniquely up to equivalence.*

**Theorem 1.65.** *If the left adjoint  $F^\perp$  is defined on all projective objects and  $F \circ F^\perp = \mathrm{id}_{\mathrm{Proj}_{\mathcal{A}}}$  then  $F$  is universal for  $\ker F$ .*

*Conversely, if  $\ker F$  has enough projectives, and  $F$  is universal, then  $F^\perp$  is defined on all projective objects and  $F \circ F^\perp = \mathrm{id}_{\mathrm{Proj}_{\mathcal{A}}}$ .*

*Proof.* Assume we have a functor  $H: \mathcal{T} \rightarrow \mathcal{C}$

$$\begin{array}{ccc} \mathcal{T} & \xrightarrow{F} & \mathcal{A} \\ & \searrow H & \downarrow \overline{H} \\ & & \mathcal{C} \end{array}$$

We want to prove that there is a unique  $\overline{H}: \mathcal{A} \rightarrow \mathcal{C}$ . There is a following sequence of functors

$$\mathcal{A} \rightarrow \mathrm{Ho}(\mathrm{Proj}_{\mathcal{A}}) \simeq \mathrm{Ho}(\mathrm{Proj}_{\mathcal{J}}) \subseteq \mathrm{Ho}(\mathcal{T}) \xrightarrow{H} \mathrm{Ho}(\mathcal{C}) \xrightarrow{H_0} \mathcal{C}$$

First functor is taking the projective resolution, on objects  $B \mapsto (P_n, \alpha_n)$ . □

*Example 1.66.* The functor

$$\begin{aligned} \mathrm{KK}^{\mathbb{Z}} &\rightarrow \mathbf{Ab}^{\mathbb{Z}/2} \\ (D, \alpha) &\mapsto \mathrm{K}_*(D) \end{aligned}$$

is not universal. The universal functor  $\tilde{F}$  here is defined on all projective objects and satisfies  $\tilde{F} \circ \tilde{F}^\dagger = \mathrm{id}_{\mathrm{Proj}_{\mathbf{Ab}^{\mathbb{Z}/2}}}$ . Notice that the  $\mathbb{Z}$ -action on  $D$  induces an action on  $\mathrm{K}_*(D)$ . We enrich  $F$  to a functor

$$\begin{aligned} \tilde{F}: \mathrm{KK}^{\mathbb{Z}} &\rightarrow \mathbf{Mod}(\mathbb{Z}[\mathbb{Z}])^{\mathbb{Z}/2} \\ \tilde{F}(D) &:= \mathrm{KK}_*(\mathbb{C}, D) = \mathrm{KK}^{\mathbb{Z}}(C_0(\mathbb{Z}), (D, \alpha)) \end{aligned}$$

Then  $\ker \tilde{F}$  and  $\tilde{F}$  is universal. Furthermore

$$\mathrm{Hom}_{\mathbb{Z}[\mathbb{Z}]}(\mathbb{Z}[\mathbb{Z}], \tilde{F}(D)) = \tilde{F}(D)$$

Thus  $\tilde{F}(\mathbb{Z}[\mathbb{Z}]) = C_0(\mathbb{Z})$  and  $\tilde{F} \circ \tilde{F}^\dagger(\mathbb{Z}[\mathbb{Z}]) = \mathbb{Z}[\mathbb{Z}]$ .

*Example 1.67.* Take the homology functor

$$F = \mathrm{H}_*: (R - \mathbf{Mod}) \rightarrow \mathbf{Ab}^{\mathbb{Z}}$$

Passing from  $F$  to the universal functor for  $\ker F$  reconstructs  $\mathrm{H}_*: \mathrm{Ho}(R - \mathbf{Mod}) \rightarrow (R - \mathbf{Mod})^{\mathbb{Z}}$ . The left adjoint  $\mathrm{H}_*^\dagger$  is defined on projective modules, and  $\mathrm{H}_* \circ \mathrm{H}_*^\dagger = \mathrm{id}$ .

*Example 1.68.* Let  $(C, \Delta)$  be a discrete quantum group,  $\mathcal{T} = \mathrm{KK}^{(C, \Delta)}$ ,  $F(A, \Delta_A) = \mathrm{K}_*(A)$  for a separable  $C^*$ -algebra with coaction  $\Delta_A: A \rightarrow \mathcal{M}(A \otimes C)$ .

$F$  is a poor invariant - it forgets too much. Say  $C = C^*(G)$  for finite  $G$ . Then

$$\mathrm{KK}^{(C, \Delta)}(C \otimes A, B) \simeq \mathrm{KK}(A, B)$$

The left adjoint  $F^\dagger$  is defined on free abelian groups. From Baaj-Skandalis duality

$$\mathrm{KK}^{(C, \Delta)}(A, B) = \mathrm{KK}^{(\widehat{C}, \widehat{\Delta})}(A \rtimes \widehat{C}, B \rtimes \widehat{C})$$

$$A \rtimes \widehat{C} \rtimes C \simeq A \otimes \mathcal{K}(\mathcal{H}_C) \sim A$$

There turns out to be a canonical  $\mathrm{Rep}(\widehat{C})$ -module structure on  $\mathrm{K}_*(A \rtimes C) =: \mathrm{K}_*^{\widehat{C}}(A)$ .

In Baaj-Skandalis duality example

$$\mathrm{KK}_*^{\mathbb{Z}}(A, B) \simeq \mathrm{KK}^{\mathrm{U}(1)}(A \rtimes \mathbb{Z}, B \rtimes \mathbb{Z})$$

Let  $\mathcal{T}$  be a triangulated category (with direct sums), and  $F: \mathcal{T} \rightarrow \mathcal{A}$  be a stable homological functor into some abelian category (commuting with direct sums). The left adjoint of  $F$  is defined on all projective objects in  $\mathcal{A}$ .

*Examples 1.69.*

- $\mathcal{T} = \mathrm{Ho}(\tilde{\mathcal{A}})$ ,  $F: \mathcal{T} \rightarrow \tilde{\mathcal{A}}^{\mathbb{Z}}$ ,  $F(C_\bullet) = \mathrm{H}_*(C_\bullet)$
- $\mathcal{T} = \mathrm{KK}$ ,  $F: \mathrm{KK} \rightarrow \mathbf{Ab}^{\mathbb{Z}/2}$ ,  $F(B) = \mathrm{K}_*(B)$
- $\mathcal{T} = \mathrm{KK}^{\mathbb{Z}}$ ,  $F: \mathrm{KK} \rightarrow \mathbf{Ab}^{\mathbb{Z}/2}$ ,  $F(B, \beta) = \mathrm{K}_*(B)$ ,  $F^\dagger(\mathbb{Z}) = C_0(\mathbb{Z})$  with free action of  $\mathbb{Z}$



Let  $\mathcal{L}$  be the smallest subcategory of  $\mathcal{T}$  that is thick, contains all  $\ker F$ -projective objects, and is closed under direct sums. Let  $\mathcal{N} = \{A \in \mathcal{T} \mid F(A) = 0\}$ . Then if  $L \in \mathcal{L}$ ,  $N \in \mathcal{N}$  we have  $\mathcal{T}(L, N) = 0$  because it holds if  $L$  is  $\ker F$ -projective, and  $\{A \mid \mathcal{T}(A, N) = 0\}$  is localising. For  $\mathcal{L}, \mathcal{N}$  to be complementary, we need that any  $B \in \mathcal{T}$  can be embedded in an exact triangle

$$L \rightarrow B \rightarrow N \rightarrow L[1], \quad L \in \mathcal{L}, N \in \mathcal{N}$$

**Theorem 1.70.** *If  $F: \mathcal{T} \rightarrow \mathcal{A}$  commutes with direct sums and  $\mathcal{T}$  has enough  $\ker F$ -projectives, then  $(\mathcal{L}, \mathcal{N})$  are complementary.*

*Example 1.71.* For  $K_*$  on  $KK$

$$\begin{aligned} \mathcal{L} &= \langle \mathbb{C} \rangle \\ \mathcal{N} &= \{B \in KK \mid K_*(B) = 0\} \end{aligned}$$

*Example 1.72.* For  $K_*$  on  $KK^{\mathbb{Z}}$

$$\mathcal{L} = \langle C_0(\mathbb{Z}) \rangle = \{(B, \beta) \in KK^{\mathbb{Z}} \mid B \text{ is the bootstrap class}\}$$

The inclusion  $\subset$  is obvious, and  $\supset$  is closely related to the Pimsner-Voiculescu sequence and the Baum-Connes conjecture for  $\mathbb{Z}$ . We will give a sketch of the proof.

Take  $(B, \beta) \in KK^{\mathbb{Z}}$ . Look at the extension

$$C_0(\mathbb{R}, B) \hookrightarrow C_0(\mathbb{R} \cup \{+\infty\}, B) \rightarrow B$$

Here we have an action of  $\mathbb{Z}$  on  $\mathbb{R}$  by translation. This extension does not have an equivariant completely positive section. But an argument by Baaaj-Skandalis shows that it yields an extension triangle nevertheless.

$$C_0(\mathbb{Z} \times (0, 1)) \hookrightarrow C_0(\mathbb{R}, B) \rightarrow C_0(\mathbb{Z}, B)$$

If  $B \in \langle \mathbb{C} \rangle$ , then  $C_0(\mathbb{Z}, B)$  and  $C_0(\mathbb{Z} \times (0, 1))$  belong to  $\langle C_0(\mathbb{Z}) \rangle$ , hence so does  $C_0(\mathbb{R}, B)$ .

*Theorem 1.73.*  $C_0((-\infty, \infty], B) \simeq 0$  in  $KK^{\mathbb{Z}}$  with diagonal action.

This is where the work has to be done. More generally, if  $(B, \beta) \in KK^{\mathbb{Z}}$  satisfies  $B \simeq 0$  in  $KK$ , then  $(B, \beta) \simeq 0$  in  $KK^{\mathbb{Z}}$ . Equivalently if  $f \in KK^{\mathbb{Z}}(B_1, B_2)$  is invertible in  $KK(B_1, B_2)$ , then  $f$  is invertible in  $KK^{\mathbb{Z}}$ .

More generally we can replace  $\mathbb{Z}$  by any torsion-free (that is without compact subgroups) a-T-menable locally compact group. It is implied by the proof of the Baum-Connes conjecture by Higson and Kasparov.

The full proof of the fact that  $(\mathcal{L}, \mathcal{N})$  are complementary is in Ralf Meyer, "Homological algebra in triangulated category", part II. We will prove a weaker fact, that is  $(\mathcal{N}^{\Gamma}, \mathcal{N})$  are complementary. The proof uses phantom tower (maps in  $\ker F$  are called phantom maps).

**Definition 1.74.** *Let  $B \in \mathcal{T}$ . Phantom tower is a diagram of the form*

$$\begin{array}{ccccccc} B \simeq N_0 & \xrightarrow{\iota_0^1} & N_1 & \xrightarrow{\iota_1^2} & N_2 & \xrightarrow{\iota_2^3} & N_3 & \xrightarrow{\iota_3^4} & \dots \\ & \searrow & \swarrow & \searrow & \swarrow & \searrow & \swarrow & \searrow & \\ & & P_0 & \longleftarrow & P_1 & \longleftarrow & P_2 & \longleftarrow & P_3 \end{array} \tag{1.6}$$

where all  $P_n$  are  $\ker F$ -projective,  $i_n^{n+1} \in \ker F$ , and all triangles

$$\begin{array}{ccc} N_n & \xrightarrow{i_n^{n+1}} & N_{n+1} \\ & \searrow & \swarrow \\ & P_n & \end{array}$$

are exact. This means that the maps  $N_{n+1} \rightarrow P_{n-1}$  are of degree 1, that is actually  $N_{n+1} \rightarrow P_{n-1}[1]$ . The bottom row

$$P_0 \leftarrow P_1 \leftarrow P_2 \leftarrow P_3 \leftarrow \dots$$

is a chain complex with differential of degree 1.

**Proposition 1.75.** *Given a phantom tower (1.6), the complex*

$$B \leftarrow P_0 \leftarrow P_1 \leftarrow P_2 \leftarrow P_3 \leftarrow \dots$$

is a projective resolution. Conversely, any projective resolution embeds uniquely in a phantom tower.

*Proof.* The sequence

$$B \leftarrow P_0 \leftarrow P_1 \leftarrow P_2 \leftarrow P_3 \leftarrow \dots$$

is  $\ker F$ -exact. We know that

$$F_{*+1}(N_{j+1}) \twoheadrightarrow F_*(P_j) \twoheadrightarrow F_*(N_j)$$

is a short exact sequence because  $F(i_j^{j+1}) = 0$ . The Yoneda product of these extensions is the chain complex

$$F(B) \leftarrow F(P_0) \leftarrow F(P_1) \leftarrow \dots$$

This is exact as a Yoneda product of extensions. Now take a projective resolution

$$B \leftarrow P_0 \leftarrow P_1 \leftarrow P_2 \leftarrow P_3 \leftarrow \dots$$

Recursively construct  $N_j$  starting with  $N_0 = B$ . Now embed  $N_j \leftarrow P_j$  in an exact triangle  $P_j \rightarrow N_j \xrightarrow{i_j^{j+1}} N_{j+1} \rightarrow P_j[1]$ .

Induction assumption tells that  $N_j \leftarrow P_j$  is  $\ker F$ -epimorphism, that is  $F(P_j) \rightarrow F(N_j)$  is an epimorphism. Then  $F(i_j^{j+1}) = 0$  because  $F$  is homological. Now we must lift the boundary map  $P_{j+1} \rightarrow P_j[1]$  to a map  $P_{j+1} \rightarrow N_{j+1}$ . and check that then it is  $\ker F$ -epimorphism.

In the sequence

$$\mathcal{T}(P_{j+1}, N_j) \rightarrow \mathcal{T}(P_{j+1}, N_{j+1}) \rightarrow \mathcal{T}(P_{j+1}, P_{j+1}[1]) \rightarrow \mathcal{T}(P_{j+1}, N_j[1])$$

the first map is zero, because  $P_{j+1}$  is projective and  $i_j^{j+1}$  is phantom.

Because the composition

$$P_{j+1} \rightarrow P_j[1] \rightarrow P_{j-1}[2]$$

vanishes, the boundary map goes to 0 in  $\mathcal{T}(P_{j+1}, N_j[1])$ , hence comes from  $\mathcal{T}(P_{j+1}, N_{j+1})$ .

Now routine check that it is an epimorphism.  $\square$

Now we will prove that for any  $B \in \mathcal{T}$  there is  $N \in \mathcal{N}$  and a map  $f: B \rightarrow N$  such that

$$\mathcal{T}_*(N, M) \rightarrow \mathcal{T}_*(B, M)$$

is invertible for all  $M \in \mathcal{N}$ . Then  $B \mapsto N$  is a functor  $\mathcal{T} \rightarrow \mathcal{N}$  that is left adjoint to the embedding functor  $\mathcal{N} \rightarrow \mathcal{T}$ . We let  $N$  to be the homotopy direct limit of the phantom tower.

$$\bigoplus_j N_j \xrightarrow{\text{id}-S} \bigoplus_j N_j \rightarrow \text{holim} \underline{N_j} \rightarrow \bigoplus_j N_j[1], \quad S = \bigoplus_j i_j^{j+1}$$

Since  $F$  commutes with direct sums, and  $i_j^{j+1} \in \ker F$ ,  $F(S) = 0$ . Therefore  $F(\text{id}-S) = F(\text{id})$  is invertible so that  $F(\text{holim} \underline{N_j}) = 0$ .

Let  $M \in \mathcal{N}$ . Then  $\mathcal{T}_*(P_j, M) = 0$  because  $P_j$  is  $\ker F$ -projective. Therefore  $i_j^{j+1}$  induces an isomorphism

$$\mathcal{T}_*(N_{j+1}, M) \xrightarrow{\cong} \mathcal{T}_*(N_j, M)$$

There is an extension

$$\begin{array}{ccc} \lim^1 \mathcal{T}_* \dashrightarrow \mathcal{T}_*(\text{holim} \underline{N_j}, M) \dashrightarrow \lim \mathcal{T}_*(N_j, M) & & \\ \parallel & & \parallel \\ 0 & & \mathcal{T}_*(N_0, M) = \mathcal{T}_*(B, M) \end{array}$$

## 1.6 Index maps in K-theory and K-homology

Consider the following extension of  $C^*$ -algebras

$$F \xrightarrow{i} E \xrightarrow{p} Q$$

There are long exact sequences in K-theory and in K-homology:

$$\begin{array}{ccccc} K_0(I) & \longrightarrow & K_0(E) & \longrightarrow & K_0(Q) \\ \uparrow \partial & & & & \downarrow \partial \\ K_1(Q) & \longleftarrow & K_1(E) & \longleftarrow & K_1(I) \end{array} \quad (1.7)$$

$$\begin{array}{ccccc} K^0(Q) & \longrightarrow & K^0(E) & \longrightarrow & K^0(I) \\ \uparrow \delta & & & & \downarrow \delta \\ K^1(I) & \longleftarrow & K^1(E) & \longleftarrow & K^1(Q) \end{array} \quad (1.8)$$

and we have pairings between K-theory and K-homology. We will prove that

$$-\langle \partial(x), y \rangle = \langle x, \delta(y) \rangle, \quad x \in K_1(Q), y \in K^0(I) \quad (1.9)$$

We will use only formal properties of the boundary maps.

**Theorem 1.76.** *Let*

$$\begin{aligned} \partial: K_1(Q) &\rightarrow K_0(I) \\ \delta: K^0(I) &\rightarrow K^1(Q) \end{aligned}$$

be natural for morphisms of extensions. Then there is  $\varepsilon \in \{\pm 1\}$  such that

$$\langle \partial(x), y \rangle = \varepsilon \langle x, \delta(y) \rangle$$

for all extensions and all  $x \in K_1(Q)$ ,  $y \in K^0(I)$ .

*Remark 1.77.* The sign  $\varepsilon$  is fixed by looking at the extension

$$\mathcal{K} \twoheadrightarrow \mathcal{T} \twoheadrightarrow C(S^1)$$

and the generators of  $K_1(C(S^1)) = \mathbb{Z}$ ,  $K^0(\mathcal{K}) = \mathbb{Z}$ .

$$[\mathcal{K} \twoheadrightarrow \mathcal{T} \twoheadrightarrow C(S^1)] \in K^1(C(S^1)) \simeq \text{Hom}(K_1(C(S^1))) \simeq \mathbb{Z}$$

$$[\mathcal{K} \twoheadrightarrow \mathcal{T} \twoheadrightarrow C(S^1)] \mapsto -1 \in \mathbb{Z}$$

Even more, up to sign there is only one natural boundary map.

**Theorem 1.78.** *Let  $\partial: K_{*+1}(Q) \rightarrow K_*(I)$  be a natural boundary map. Then there is  $\varepsilon \in \{\pm 1\}$  such that for all extensions  $\varepsilon \cdot \partial$  is the composition*

$$K_{*+1}(Q) \simeq \text{KK}_{*+1}(\mathbb{C}, Q) \rightarrow \text{KK}_*(\mathbb{C}, I) \simeq K_*(I)$$

where the middle map is the Kasparov product with the class of the extension in  $\text{KK}_1(Q, I)$ . The same holds in  $K$ -homology.

## 1.7 Mayer-Vietoris sequences

Consider the category of pullback diagrams

$$\begin{array}{ccccc} F & \longrightarrow & A & \twoheadrightarrow & B \\ \parallel & & \downarrow & & \downarrow \\ F & \longrightarrow & A' & \twoheadrightarrow & B \end{array}$$

A natural Mayer-Vietoris sequence is a functor from this category to the category of exact chain complexes, whose entries are  $K_*(A)$ ,  $K_*(A') \oplus K_*(B)$ ,  $K_*(B')$ .

**Theorem 1.79.** *Let  $d: K_*(B') \rightarrow K_{*+1}(A)$  be a boundary map in a natural Mayer-Vietoris sequence. Then there is a sign  $\varepsilon_* \in \{\pm 1\}$  such that for any pullback diagram  $\varepsilon \cdot d$  is the composition*

$$\begin{array}{ccc} K_*(B') & \xrightarrow{\delta} & K_*(\ker(A' \rightarrow B')) \\ & & \parallel \\ K_*(A) & \longleftarrow & K_*(\ker(A \rightarrow B)) \end{array}$$

*Remark 1.80.* To fix sign, one can look at pullback

$$\begin{array}{ccc} C_0((0, 1)) & \longrightarrow & 0 \\ \downarrow & & \downarrow \\ C_0((0, 1]) & \longrightarrow & \mathbb{C} \end{array}$$

or its suspension.

Let  $F$  be a homological functor on separable  $C^*$ -algebras, and let  $d: F_1(B') \rightarrow F_0(A)$  be a natural transformation on pullback diagrams

$$\begin{array}{ccc} A & \longrightarrow & B \\ \downarrow & & \downarrow \\ A' & \longrightarrow & B' \end{array}$$

We compare a given structure to simpler one

$$\begin{array}{ccccc} \ker p' & \longrightarrow & 0 & \rightarrow & A & \longrightarrow & B \\ \downarrow & & \downarrow & & \downarrow & & \downarrow \\ A' & \xrightarrow{p'} & B' & & A' & \longrightarrow & B' \end{array}$$

$$\begin{array}{ccc} F(B') & \xrightarrow{d_2} & F(\ker p') \\ \parallel & & \downarrow F(\text{can}) \\ F(B') & \xrightarrow{d_1} & F(?) \end{array}$$

As a consequence, a natural transformation for pullback diagrams reduces to a natural transformation  $E_1(Q) \rightarrow F_0(I)$  for extensions

$$F \xrightarrow{i} E \xrightarrow{p} Q$$

Next we compare this extension with mapping cylinder extension

$$\begin{array}{ccccc} F & \xrightarrow{i} & E & \xrightarrow{p} & Q \\ \downarrow & & \downarrow & & \parallel \\ C_p & \longrightarrow & Z_p & \longrightarrow & Q \end{array}$$

where

$$Z_p := \{(e, q) \in E \oplus C([0, 1], Q) \mid p(e) = q(1)\}$$

Now there are

$$\begin{array}{ccc} F_1(Q) & \xrightarrow{d_3} & F_0(I) \\ \parallel & & \downarrow F(\text{can}) \\ F_1(Q) & \xrightarrow{d_4} & F_0(C_p) \end{array} \quad , \quad d_3 = F_0(\text{can})^{-1} \circ d_4$$

If  $p$  has a completely positive contractive section, then  $F_0(I) \xrightarrow{\cong} F_0(C_p)$ . Actually if  $F$  is exact, this is true without completely positive contractive section. Then the class of the extension in  $\text{KK}_1(Q, I)$  is the product of

$$C_0((0, 1)) \otimes Q \hookrightarrow C_p \xleftarrow{\cong} I$$

The map  $I \hookrightarrow C_p$  has to be an  $E$ -equivalence because it is part of an extension

$$F \longrightarrow C_p \longrightarrow C_0((0, 1], Q)$$

and  $C_0((0, 1], Q)$  is contractible.

Next we consider

$$\begin{array}{ccccc} C_p & \longrightarrow & Z_p & \twoheadrightarrow & Q \\ \uparrow & & \uparrow & & \parallel \\ SQ & \longrightarrow & C_0([0, 1], Q) & \twoheadrightarrow & Q \end{array}$$

and

$$\begin{array}{ccc} F_1(Q) & \xrightarrow{d_4} & F_0(C_p) \\ \parallel & & \uparrow F_0(\text{can}) \\ F_1(Q) & \xrightarrow{d_5} & F_0(SQ) \end{array}, \quad d_5 = F_0(\text{can})^{-1} \circ d_4$$

This is  $d_5$  composed with the class of the extension  $I \twoheadrightarrow E \twoheadrightarrow Q$  in  $\text{KK}_0(SQ, I)$  or rather  $E_0(SQ, I)$  if there is no completely positive contractive section.

Now assume  $F_* = K_*$ . We want to get rid of  $Q$ . Now the boundary map for the cone extension of  $Q$  is a natural transformation  $K_1(Q) \rightarrow K_0(SQ)$ . We have naturality of \*-homomorphisms to begin with, but this implies naturality of  $\text{KK}_0$ -morphisms. Any  $x \in K_1(Q)$  is of the form  $\tilde{x}_*(g)$ , where  $g \in K_1(C_0(\mathbb{R}))$  is the canonical generator, and  $\tilde{x} \in \text{KK}_0(C_0(\mathbb{R}), Q)$ .

$$\begin{aligned} K_1(Q) &\simeq \text{KK}_0(C_0(\mathbb{R}), Q) \\ x &\mapsto \tilde{x} \end{aligned}$$

$$\begin{array}{ccc} x & & \\ \uparrow & & \\ g & & \\ & K_1(Q) \xrightarrow{d} K_0(SQ) & \\ & \uparrow \tilde{x} & \uparrow \tilde{x} \\ & K_1(C_0(\mathbb{R})) \xrightarrow{d} K_0(SC_0(\mathbb{R})) & \end{array}$$

We conclude that  $d(x) = (\tilde{x})_*(d(g))$ , so  $d$  is fixed completely once we know  $d(g) \in K_0(C_0(\mathbb{R}^2)) = \mathbb{Z}$ . If we use an exact sequence

$$\underbrace{K_1(C_0([0, 1]), C_0(\mathbb{R}))}_{=0} \rightarrow \underbrace{K_1(C_0(\mathbb{R}))}_{\simeq \mathbb{Z}} \xrightarrow{\cong} K_0(C_0(\mathbb{R}^2)) \rightarrow 0$$

we conclude that  $d(g)$  has to be a generator of  $K_0(C_0(\mathbb{R}^2)) \simeq \mathbb{Z}$ , and

$$K_1(Q) \simeq \text{KK}_0(C_0(\mathbb{R}), Q) \simeq \text{KK}_0(\mathbb{C}, C_0(\mathbb{R}, Q)) \simeq K_0(C_0(\mathbb{R}, Q))$$

$$x \mapsto \tilde{x}$$

We fix natural isomorphisms

$$K_1(Q) \simeq \text{KK}_0(C_0(\mathbb{R}), Q) \simeq \text{KK}_0(\mathbb{C}, C_0(\mathbb{R}) \otimes Q) \simeq K_1(SQ)$$

which are unique up to sign. Then  $d$  is this isomorphism up to sign.

For the boundary map  $K_0(Q) \rightarrow K_1(SQ)$  the same thing happens, but replacing  $g$  by the generator of  $K_0(\mathbb{C})$ .

Let  $x \in K_1(Q)$ ,  $y \in K^0(I)$ .

$$\mathbb{C} \rightarrow Q \xrightarrow{[E]} I$$

Using Kasparov product  $\circ$  we write

$$\begin{aligned}\partial(x) &= \varepsilon_\partial[E] \circ x \\ \delta(y) &= \varepsilon_\delta y \circ [E] \\ \langle x, \delta y \rangle &= \delta(y) \circ x = \varepsilon_\delta(y) \circ [E] \circ x \in \mathrm{KK}_0(\mathbb{C}, \mathbb{C}) \simeq \mathbb{Z} \\ \langle \partial(x), y \rangle &= y \circ \partial(x) = \varepsilon_\partial(y) \circ [E] \circ x\end{aligned}$$

## 1.8 Localisation of functors

Assume we have a triangulated category  $\mathcal{T}$  with  $\oplus$ , a localising subcategory  $\mathcal{N}$  and a class of objects  $\mathcal{P}$  such that  $(\langle \mathcal{P} \rangle, \mathcal{N})$  is complementary. For example we can take  $\mathcal{T} = \mathrm{KK}$ ,  $\mathcal{N} = \{B \in \mathrm{KK} \mid K_*(B) = 0\}$ ,  $\mathcal{P} = \{\mathbb{C}\}$ . Furthermore, let  $F: \mathcal{T} \rightarrow \mathcal{A}$  be a homological functor commuting with  $\oplus$ . Recall that there are functors

$$P: \mathcal{T} \rightarrow \langle \mathcal{P} \rangle, \quad N: \mathcal{T} \rightarrow \mathcal{N}$$

and natural exact triangles

$$P(B) \rightarrow B \rightarrow N(B) \rightarrow P(B)[1]$$

**Definition 1.81.** *The localisation of functor  $F$  at  $\mathcal{N}$ , denoted  $\mathbb{L}F$ , is a functor*

$$F \circ P: \mathcal{T} \rightarrow \mathcal{A}$$

We may also view this as a functor on  $\mathcal{T}/\mathcal{N}$ . There is a natural transformation  $\mathbb{L}F \rightarrow F$ .

**Proposition 1.82.**  *$\mathbb{L}F \rightarrow F$  is universal among natural transformations  $G \rightarrow F$  with  $G$  homological and  $G/\mathcal{N} = 0$*

$$\begin{array}{ccc} G & \longrightarrow & F \\ & \searrow & \uparrow \\ & & \mathbb{L}F \end{array}$$

*Proof.* There is an isomorphism

$$G(P(B)) \xrightarrow{\cong} G(B)$$

and a map

$$G(P(B)) \rightarrow F(P(B)) = \mathbb{L}F(B)$$

□

Roughly speaking,  $\mathbb{L}F$  is the best approximation to  $F$  that vanishes on  $\mathcal{N}$ .

**Corollary 1.83.** *If  $\mathbb{L}F \rightarrow F$  is invertible, then  $F|_{\mathcal{N}} = 0$ .*

**Proposition 1.84.** *Let  $G, F$  be homological, commuting with  $\oplus$ ,  $G/\mathcal{N} = 0$ , and let  $\Phi: G \rightarrow F$  be a natural transformation. Then if  $\Phi_B$  is invertible for all  $B \in \mathcal{P}$ , then  $\Phi$  induces a natural isomorphism  $G \simeq \mathbb{L}F$ .*

*Proof.* We get a transformation  $\Psi: G \rightarrow \mathbb{L}F$  by the previous proposition.  $\Psi$  is invertible on  $\mathcal{P}$  because  $\mathbb{L}F(B) \simeq F(B)$  for  $B \in \mathcal{P}$ . Since  $G$  and  $\mathbb{L}F$  are homological and commuting with  $\oplus$ , the class of objects where  $\Psi$  is invertible is localising. Hence contains  $\mathcal{P}$ . It also contains  $\mathcal{N}$  because  $G$  and  $\mathbb{L}F$  vanish on  $\mathcal{N}$ . Thus it contains  $\mathcal{T}$ . □

Usually we do not expect the map  $\mathbb{L}F \rightarrow F$  to be an isomorphism. But sometimes in noncommutative topology this happens for rather deep reason. For example the Baum-Connes assembly map is of this form for suitable choice of  $\mathcal{N}$  and  $F(B) = K_*(G \rtimes_r B)$ .

Let  $\mathcal{T} = \text{KK}^G$ ,  $G$  locally compact group. How to chose  $\mathcal{N}$ ? In the group case the following choice is most useful

$$B \in \mathcal{N} \text{ if and only if } \text{Res}_G^H(B) \simeq 0 \text{ in } \text{KK}^H, \text{ for all compact subgroups } H \leq G$$

This definition contains the insight that the K-theory for crossed products by compact groups has to be computes by hand, whereas those for non-compact groups often reduce to compact groups.

**Theorem 1.85.** *Let  $\mathcal{T} = \text{KK}^G$  for a Lie group  $G$ , and  $F(B) = K_*(G \rtimes_r B)$ ,  $\mathcal{N}$  as above. Then the natural transformation  $\mathbb{L}F \rightarrow F$  is naturally isomorphic to the Baum-Connes assembly map with coefficients.*

*Proof.* The domain of the Baum-Connes map

$$K_*^{\text{top}}(G, B) = \varinjlim_{X \subseteq \text{EG}, X \text{ } G\text{-compact}} \text{KK}^G(C_0(X), B)$$

has two properties

- it vanishes for  $B \in \mathcal{N}$

$$\text{KK}^G(C_0(X), B) \rightarrow \text{KK}(G \rtimes_r C_0(X), G \rtimes_r B) \rightarrow K_*(G \rtimes_r B)$$

- the Baum-Connes assembly map is invertible

□

**Definition 1.86.** *A  $G$ -algebra is called proper Hausdorff if there is a proper  $G$ -space  $X$  and a continuous  $G$ -map  $\text{Prim}(A) \rightarrow X$  (equivalently  $C_0(X) \rightarrow A$  is central).*

## 1.9 Towards an analogue of the Baum-connes conjecture for quantum groups

The main question is: what are good choices for  $\mathcal{P}$ ,  $\mathcal{N}$ ? We must choose  $\mathcal{N}$ ,  $\mathcal{P}$  so that the resulting assembly map is invertible for "nice" quantum groups. first approach is to use restriction functors to all compact quantum subgroups.

**Definition 1.87.** *A **quantum group** is a  $C^*$ -algebra  $A$  with a comultiplication  $\Delta: A \rightarrow A \otimes A$  satisfying certain properties.*

*A quantum group is **compact** if  $A$  is unital.*

*Example 1.88.* Right now, we had only two examples: groups and their duals

1.  $A = C_0(G)$

$$\Delta: C_0(G) \rightarrow C_0(G \times G), \quad (\Delta f)(x, y) = f(xy).$$

2.  $A = C_r^*(G),$

$$\Delta: C_r^*(G) \rightarrow M(C_r^*(G) \otimes C_r^*(G)), \quad \Delta \left( \int_G f(t) \lambda_t dt \right) = \int_G f(t) \lambda_t \otimes \lambda_t dt.$$



Group actions on  $C^*$ -algebras become coactions of  $(A, \Delta)$

$$\delta_B: B \rightarrow M(B \otimes A)$$

coassociative plus technical conditions.

*Example 1.89.*

1. Group actions as usual.
2. Grading by  $G$ .

**Definition 1.90.** *A closed quantum subgroup of  $(A, \Delta)$  is a quotient  $A/I$  to which  $\Delta$  descends.*

*Example 1.91.*

1. Closed quantum subgroups of  $C_0(G)$  are  $C_0(H)$  for  $H \leq G$  closed subgroup.
2. Closed quantum subgroups of  $C_r^*(G)$  are too few. The candidates are  $C_r^*(G/N)$ , where  $N \leq G$  is a closed normal subgroup.

Many locally compact groups such as  $\mathrm{GL}_2(\mathbb{Q}_p)$  have many open subgroups but no open normal subgroup.

**Definition 1.92.** *A quantum homogeneous space for  $(A, \Delta)$  is a  $C^*$ -subalgebra  $B$  of  $M(A)$  that is a left  $\Delta$ -coideal ( $\Delta(B) \subseteq M(B \otimes A)$ ). It is proper if  $B \subsetneq A$ .*

*Example 1.93.*

1.  $B = C_0(G/H)$ ,  $H \subseteq G$  closed subgroup.
2.  $C_r^*(H)$ , for any closed subgroup of  $H \subseteq G$  is even a two-sided Cl-coideal. Proper homogeneous spaces are open subgroups here.

Let us look at  $C_r^*(G)$  when  $G$  is a compact Lie group. Then the following conditions are equivalent

1.  $G$  is connected.
2.  $G$  has no open subgroups.
3.  $C_r^*(G)$  has no non-trivial proper homogeneous spaces.

But  $G = \mathrm{SO}(3)$  creates a problem because it has projective representations.  $G$  acts on  $M_2(\mathbb{C})$  because of the representation of  $\widetilde{\mathrm{SO}(3)}$  on  $\mathbb{C}^2$ .  $G$  coacts on  $G \rtimes_r M_2(\mathbb{C})$ .

What are particularly simple actions of a quantum group?

$$C_0(G/H) \rtimes_r G \sim_{M.E.} C^*(H) \simeq \bigoplus_{\pi \in \widehat{G}} M_{d_\pi}(\mathbb{C})$$

A necessary condition for a torsion coefficient algebra is that the crossed product  $B \rtimes_r A$  be a sum of matrix algebras (compact operators).

**Theorem 1.94.** *Let  $G$  be a locally compact group.*

$$\mathcal{P} := \{C_0(G/H) \mid H \leq G, \text{ compact}\}$$

$$\widetilde{\mathcal{N}} = \mathcal{P}^\perp := \{B \mid \mathrm{KK}^G(P, B) = 0 \text{ for all } P \in \mathcal{P}\}$$

*The localisation of  $\mathrm{K}_*(G \rtimes B)$  at  $\widetilde{\mathcal{N}}$  and  $\mathcal{N}$  agree with the domain of the Baum-Connes assembly map*

$$\mathcal{N} = \{B \mid \mathrm{Res}_G^H B \simeq 0 \text{ for all compact } H \leq G\}.$$

## 1.10 Quantum groups

**Definition 1.95.** A *quantum group* is a  $C^*$ -algebra  $A$  with a comultiplication  $\Delta \in \text{Mor}(A, A \otimes A)$  such that

$$\overline{\Delta(A)(A \otimes A)} = A \otimes A$$

$$\Delta: \begin{array}{ccc} A & \longrightarrow & M(A \otimes A) \\ \downarrow & \nearrow & \\ A & & \end{array}$$

$$\otimes = \otimes_{\min}, \quad 1_A \in M(A)$$

and for all  $a, b \in A$

$$\Delta(a)(1 \otimes b) \in A \otimes A$$

$$(a \otimes 1)\Delta(b) \in A \otimes A$$

$\text{span}\{\Delta(a)(1 \otimes b) \mid a, b \in A\}$  is dense in  $A \otimes A$

$\text{span}\{(a \otimes 1)\Delta(b) \mid a, b \in A\}$  is dense in  $A \otimes A$

in the compact case, that is when  $1_A \in A$  we have

$$(\Delta \circ \text{id}) \circ \Delta = (\text{id} \otimes \Delta) \circ \Delta$$

$$\begin{array}{ccc} A & \xrightarrow{\Delta} & M(A \otimes A) \\ \downarrow \Delta & & \downarrow \text{id} \otimes \Delta \\ M(A \otimes A) & \xrightarrow{\Delta \otimes \text{id}} & M(A \otimes A \otimes A) \end{array}$$

**Theorem 1.96.** There is a unique state  $h$  on  $A$  such that

$$(\text{id} \otimes h)\Delta(A) = h(A)1_A = (h \otimes \text{id})\Delta(A)$$

Let  $G$  be a locally compact quantum group,  $A = C_0(G)$ ,  $(\Delta f)(x, y) = f(xy)$ . Here  $\Delta \in \text{Mor}(A, A \otimes A)$  is induced by the group multiplication  $\mu: G \times G \rightarrow G$ . Multiplication  $\mu$  is associative if  $\Delta$  is coassociative. The conditions

$\text{span}\{\Delta(a)(1 \otimes b) \mid a, b \in A\}$  is dense in  $A \otimes A$

$\text{span}\{(a \otimes 1)\Delta(b) \mid a, b \in A\}$  is dense in  $A \otimes A$

can be written as

$$\exists x \quad \mu(xy) = \mu(xz) \implies y = z$$

$$\exists x \quad \mu(yx) = \mu(zx) \implies y = z$$

On a group Haar measure  $h$  satisfies

$$\int_G f(st)dh(s) = \int_G f(s)dh(s)$$

**Definition 1.97.** A function  $h: A_+ \rightarrow [0, \infty]$  such that  $h(a+b) = h(a) + h(b)$ ,  $h(\lambda a) = \lambda h(a)$  for  $\lambda \geq 0$  is called a *weight*.

We define

$$\begin{aligned}\mathcal{N}_h &:= \{a \mid h(a^*a) < \infty\} \quad (L^2) \\ \mathcal{M}_h &:= \text{span}\{a \geq 0 \mid h(a) < \infty\} \\ &= \text{span}\{a^*b \mid a, b \in \mathcal{N}_h\}\end{aligned}$$

Then  $\overline{\mathcal{M}_h} = A$  ( $h$  locally finite), and  $(\text{id} \otimes h)\Delta(a) = h(a)1_A$  ( $h$  lower semicontinuous).

Let  $\varphi \in A^*$ ,  $a \in A$ . Then

$$\varphi * a := (\text{id} \otimes \varphi)\Delta(a).$$

In particular, for  $\varphi = \delta_t$

$$(\varphi * a)(s) = a(st).$$

Right invariance of  $h$  means that

$$h(\varphi * a) = h(a)\varphi(1_A)$$

for all  $\varphi \in A_+^*$  and all  $a \geq 0$ .

We say that  $h$  is strictly faithful if

$$h(a^*a) = 0 \implies a = 0.$$

There exists  $\kappa$  - closed densely defined map  $A \rightarrow A$ , such that

$$\kappa = R \circ \tau_{i/2},$$

where  $R$  is an antiautomorphism, and  $\tau_{i/2}$  is an analytic extension of a 1-parameter group  $(\tau_t)_{t \in \mathbb{R}}$  of automorphisms of  $A$ . There exists  $\lambda > 0$  such that  $h \circ \tau_t = \lambda^t h$ .

For all  $\varphi \in A^*$ ,  $\varphi \circ \kappa \in A$  and all  $a, b \in \mathcal{N}_h$

$$h((\varphi * a^*)b) = h(a^*((\varphi \circ \kappa) * b))$$

Strong right invariance means that

$$\mu(\kappa \otimes \text{id})\Delta(a) = \varepsilon(1)1_A = \mu(\text{id} \otimes \kappa)\Delta(a)$$

The maps

$$\begin{aligned}\Phi: a \otimes b &\mapsto \Delta(a)(1_A \otimes b) \\ \Psi: r \otimes s &\mapsto (\text{id} \otimes \kappa)(\Delta(r))(1 \otimes s)\end{aligned}$$

are inverse to each other.

We can embed  $A$  in a Hilbert space  $\mathcal{H}$  and extend  $\Phi, \Psi$  to

$$\begin{aligned}W: \mathcal{H} \otimes \mathcal{H} &\rightarrow \mathcal{H} \\ V: \mathcal{H} \otimes \mathcal{H} &\rightarrow \mathcal{H}\end{aligned}$$

Strong right invariance means that  $W^* = V$

$$\langle W(a \otimes b), c \otimes d \rangle = \langle a \otimes b, V(c \otimes d) \rangle.$$

## 1.11 The Baum-Connes conjecture

Let  $G$  be a torsion-free group, that is without compact subgroups. The Baum-Connes conjecture with coefficients for  $G$  means that  $K_*(G \rtimes_r A) = 0$  whenever  $K_*(A) = 0$ . If  $G$  has torsion, then the statement is: if  $K_*(A \rtimes_r H) = 0$  for all  $H \leq G$  compact, then  $K_*(A \rtimes_r G) = 0$ .

**Theorem 1.98** (Higson-Kasparov). *The Baum-Connes conjecture with coefficients holds for all amenable groups.*

In particular it holds if  $G = \mathbb{Z}^n$  for some  $n \in \mathbb{N}$ .

Let

$$\begin{aligned}\mathcal{N} &:= \{A \in \text{KK}^G \mid K_*(A \rtimes H) = 0 \text{ for all compact } H \leq G\} \\ \mathcal{N}^\perp &:= \{A \in \text{KK}^G \mid \text{KK}^G(A, B) = 0 \text{ for all } B \in \mathcal{N}\}\end{aligned}$$

for a discrete  $G$ . Then  $(\mathcal{N}^\perp, \mathcal{N})$  are complementary.