# How to prove with zero knowledge

Zero-knowledge proof primer part II

Michał Zając Clearmatics Ltd / University of Tartu

**clearmotics û** UNIVERSITY OF TARTU

#### **Recall**

Interactive proof is **complete** if an honest verifier *V* accepts a proof from an honest prover *P* 

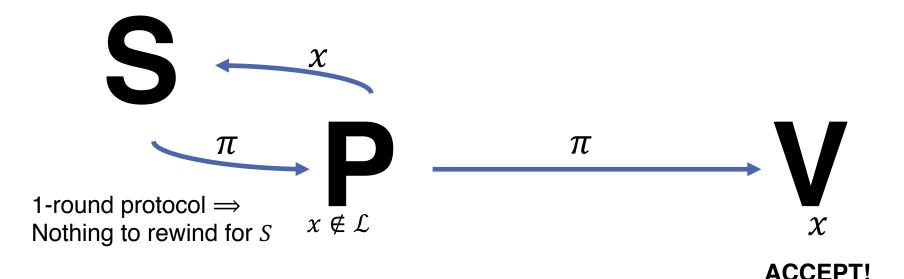
Interactive proof is **sound** if no dishonest prover *P*<sup>\*</sup> can make verifier *V* accept (with non negl. prob.)

Interactive proof is **zero-knowledge** if there exists a **simulator** *S* that for every  $x \in \mathcal{L}$  can produce a transcript of proof between honest prover *P* and some verifier *V*<sup>\*</sup>

#### Non-interactive zero knowledge

### Protocol is **zero-knowledge** if $\forall V^* \exists S^V \forall x$ : $S^V(x) \approx (P, V^*)(x)$

#### Assume we have a NIZK protocol $\Pi$ , can it be sound?



#### Non-interactive zero knowledge

### Protocol is **zero-knowledge** if $\forall V^* \exists S^V \forall x$ : $S^V(x) = (P, V^*)(x)$

Non-interactive negligible-sound ZK proof is **impossible** in the standard model

### Thank you!

#### Non-interactive zero knowledge

Protocol is **zero-knowledge** if  $\forall V^* \exists S^V \forall x$ :  $S^V(x) = (P, V^*)(x)$ 

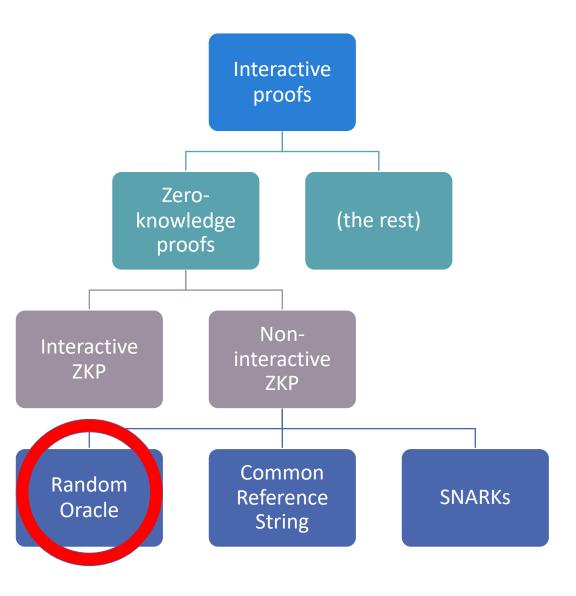
Non-interactive negligible-sound ZK proof is **impossible** in the standard model

Now: Consider non-standard models and get around the impossibility result

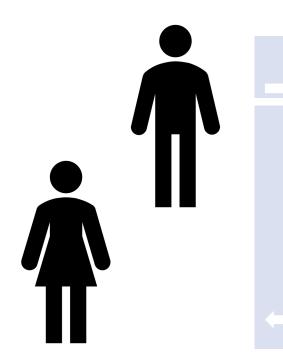
Random Oracle Model

Common Reference String Model

# Random Oracle Model

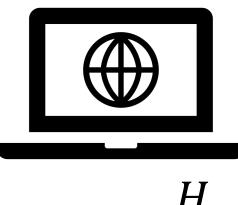


### **Random Oracle**



$$a \in \{0,1\}^k$$

If a not queried before:  $b \in_r \{0,1\}^n, H(a) = b$  **Return** b, record (a,b)If for a **there** is (a,b), **Return** b k,n



*H* responses are random (impossible to predict) Infeasible to find *x* and *x'* such that H(x) = H(x')

#### **Random Oracle - instantiation**



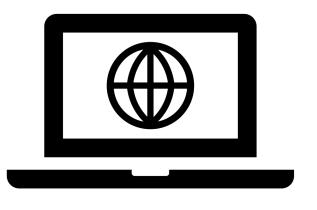
 $\begin{array}{l} H_{n,k} \colon \{0,1\}^k \to \{0,1\}^n \\ \text{From a set } \mathcal{F}_{n,k} \text{ of all functions from} \\ \{0,1\}^k \text{ to } \{0,1\}^n \text{ pick a random} \\ \textbf{function } f \\ H = f \end{array}$ 

# $\mathcal{F}$ contains $(2^n)^{2^k}$ elements

#### **Problems:**

- How to pick efficiently from such a vast set?
- With a great probability *f* is infeasible to describe (we cannot describe it in polynomial time)

#### **Random Oracle - instantiation**

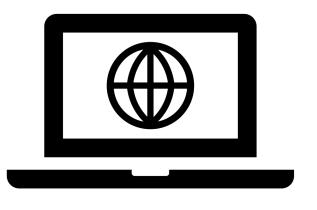


$$\begin{split} H_{k,n}: \{0,1\}^k &\to \{0,1\}^n\\ \text{From a set } \mathcal{F}_{k,n} \text{ of all functions from}\\ \{0,1\}^k \text{ to } \{0,1\}^n \text{ pick a random}\\ \textbf{function } f\\ H &= f \end{split}$$

No collection of deterministic function can instantiate a random oracle 😕

There are protocols secure in ROM, that become insecure if the oracle is instantiated by any function

#### **Random Oracle - instantiation**



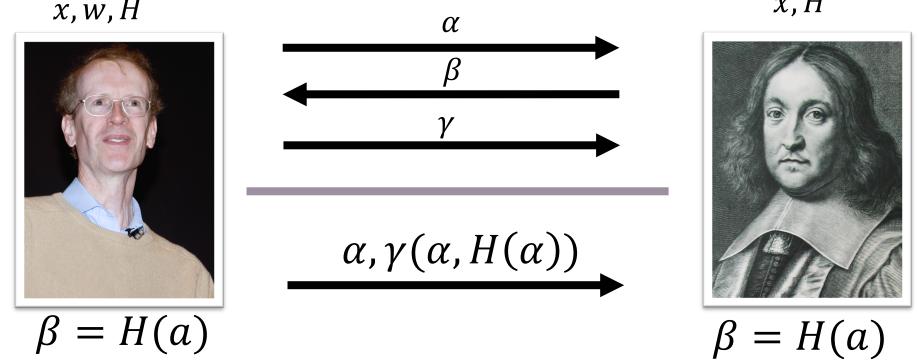
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#### But security proof with a random oracle is better than no proof at all

In practice random oracle is substituted by a **hash function** like sha256, sha-3, previously md-5

### **Fiat-Shamir transformation**

x, H



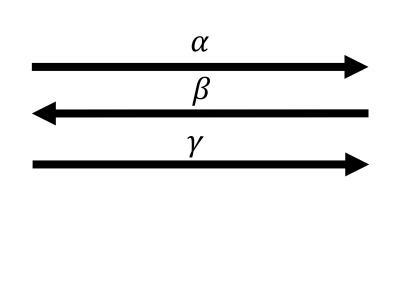
#### Instead of waiting for Pierre's input, Andrew computes challenges himself

**Requirements:** public-coin, constant-round argument

### Public-coin vs private-coin

*x*,*w* 







# **Public coin** - *V* picks randomly his challenges and sends it

#### Private coin - V may not reveal his randomness

#### Public-coin vs private-coin

#### **Public coin:**

- Graph Hamiltonicity
- Graph isomorphism
- Schnorr identification
   scheme

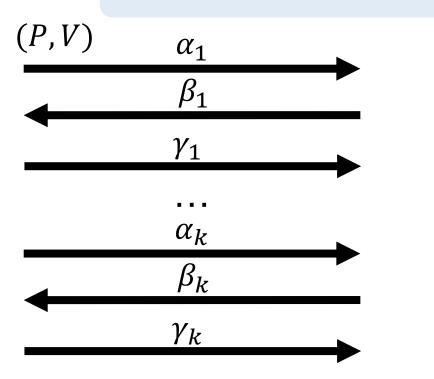
#### Private coin:

- Graph Nonisomorphism
- Any proof where *V* sends a commitment

#### **Constant number of rounds**

There exists multi-round protocol that is secure when executed interactively, but not secure with FS applied

Take any constant-sound protocol and execute it sequentially



 $(P_{FS}, V_{FS})$  $\alpha_1, \beta_1, \gamma(\alpha_1, H(\alpha_1))$ 

**Note:** Probability of finding good  $\beta$  is constant

 $\alpha_k, \beta_k, \gamma(\alpha_k, H(\alpha_k))$ 

# **Fiat-Shamir transformation - soundness**



 $\alpha, \gamma(\alpha, H(\alpha))$ 

 $\beta = H(\alpha),$ 

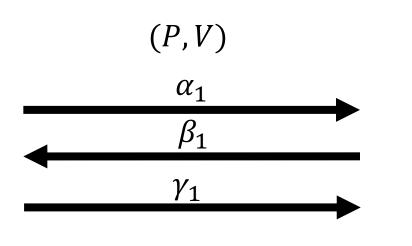


#### Soundness (intuition):

1. Only very few  $H(\alpha_i)$  suits Andrew 2. It is hard to Andrew to pick  $\alpha_i$  such that he knows  $\alpha_{i+1}(\alpha_1, H(\alpha_1) \dots, \alpha_i, H(\alpha_i))$ unless  $x \in \mathcal{L}$ 

### **Fiat-Shamir security**

Let (P, V) be an interactive, constant-round, public-coin, sound proving system. Then  $(P_{FS}, V_{FS})$  is sound as well



 $(P_{FS}, V_{FS})$ 

 $\alpha_1, \beta_1, \gamma(\alpha_1, H(\alpha_1))$ 

### **Useful fact:**

Suppose *X*, *Y* are jointly distributed RVs s.t.  $\Pr[A(X,Y) = 1] \ge \epsilon$ Then, for at least  $\frac{\epsilon}{2}$  fraction of *x*-s it holds that  $(*)\Pr_{Y|x}[A(x,Y) = 1] \ge \frac{\epsilon}{2}$ .

**Proof** Markov's inequality. Suppose not. Call *x* good if (\*) holds, then  $Pr[A(X,Y)] = Pr[X \ good] Pr[A(X,Y)|X \ good] +$  $+ Pr[X \ bad] Pr[A(X,Y)|X \ bad] < \frac{\epsilon}{2} \cdot 1 + 1 \cdot \frac{\epsilon}{2} = \epsilon$ 

Suppose  $\exists x \notin \mathcal{L}$  and  $P_{FS}^*$  that runs in time *T* and makes  $V_{FS}$  accept *x* with probability  $\geq \epsilon$ 

Construct  $P^*$  such that *V* accepts *x* with probability  $poly(\epsilon, \frac{1}{T})$ Denote oracle queries by  $Q_1, ..., Q_T$ , wlog all  $Q_i$  distinct and  $\alpha \in \{Q_1, ..., Q_T\}$ **CLAIM**:  $\exists i^* \in [T]$  s.t.  $P_{FS}^*$  wins with prob.  $\frac{\epsilon}{T}$  conditioned on  $Q_{i^*} = \alpha$ **PROOF:** by contradiction

#### **Forking lemma**

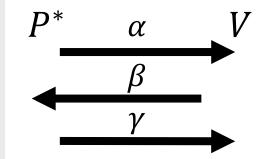
For  $\frac{\epsilon}{2T}$  fraction of  $(q_1, ..., q_{i^*})$  it holds that  $P_{FS}^*$  wins w.p.  $\frac{\epsilon}{2T}$  conditioned on  $Q_{i^*} = \alpha$  and  $Q_i = q_i$  for all  $i \le i^*$ 

#### **PROOF:** by the useful fact

#### **Breaking soundness**

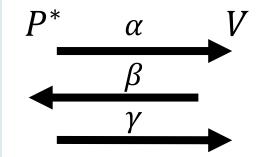
- Start running  $P_{FS}^*$  up to its  $i^*$  query using random answers
- Let  $\alpha = Q_{i^*}$  be the  $i^*$ -th query. Send  $\alpha$  and get  $\beta$
- Continue running P<sup>\*</sup><sub>FS</sub> while answering Q<sup>\*</sup><sub>i</sub> with β and other queries uniformly at random
- Eventually  $P_{FS}^*$  outputs  $(\alpha', \beta', \gamma')$

• If 
$$\alpha = \alpha', \beta = \beta'$$
 send  $\gamma = \gamma'$ 



### Use Forking lemma

- For  $\frac{\epsilon}{2T}$  fraction of  $(q_1, \dots, q_{i^*})$  it holds that  $P_{FS}^*$  wins w.p.  $\frac{\epsilon}{2T}$  conditioned on  $Q_{i^*} = \alpha$  and  $Q_i = q_i$  for  $i \le i^*$ .
- Wp  $\frac{\epsilon}{2T}$  over choice of  $(Q_1, ..., Q_{i^*})$  it holds that wp  $\frac{\epsilon}{2T}$  over all remaining coin tosses  $P_{FS}^*$  wins and  $\alpha' = \alpha$
- Hence,  $P^*$  wins with probability  $\left(\frac{\epsilon}{2T}\right)^2$ , which is non-negligible



#### Fiat-Shamir transformation - zk

 $\alpha$ ,  $H(\alpha)$ ,  $\gamma(\alpha, H(\alpha))$ 

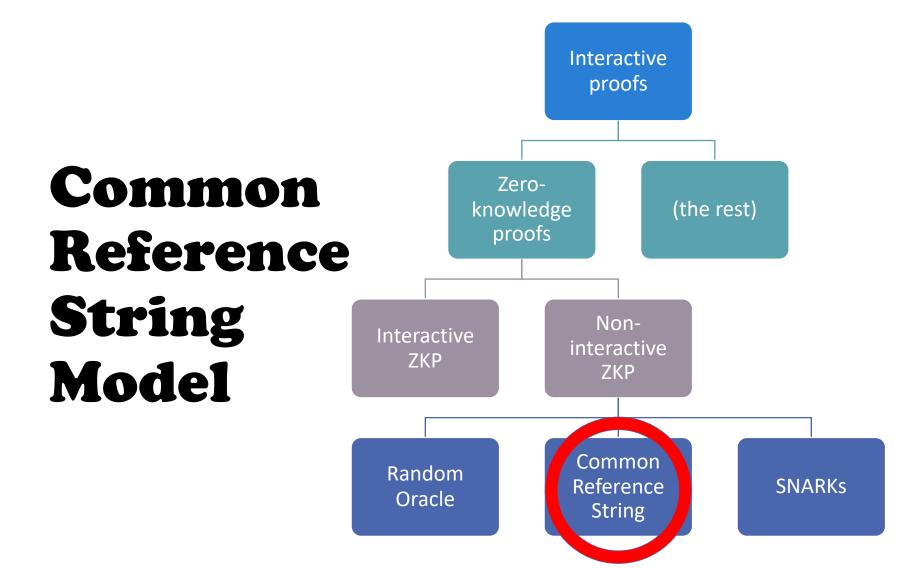
#### Zero knowledge

S equipped with a superpower: S can change H

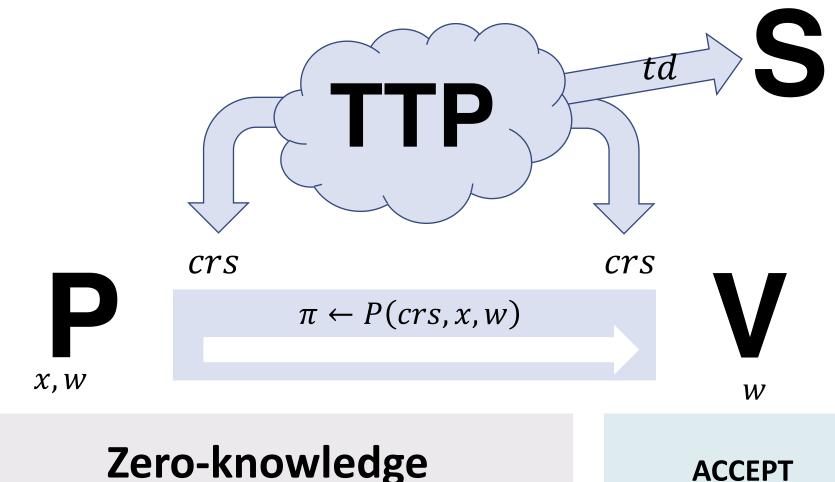
#### Simulation (intuition)

Pick  $\alpha$ , Compute  $\beta$  such that *S* knows how to answer  $\gamma(\alpha, \beta)$ **Set**  $H(\alpha) = \beta$ Send  $\alpha, \beta, \gamma$ 

$$\Pr_{R,H} \left[ S^{V^*}(x) = a \right] = \Pr_{R,H} \left[ (P, V^*) (x) = a \right]$$
(picking random oracle is part of the randomness)



#### **Common Reference String Model**



 $P(crs, x, w) \approx S(crs, td, x)$ 

**ACCEPT** *if* V(crs, x) = 1

#### Non-interactive proofs – problems

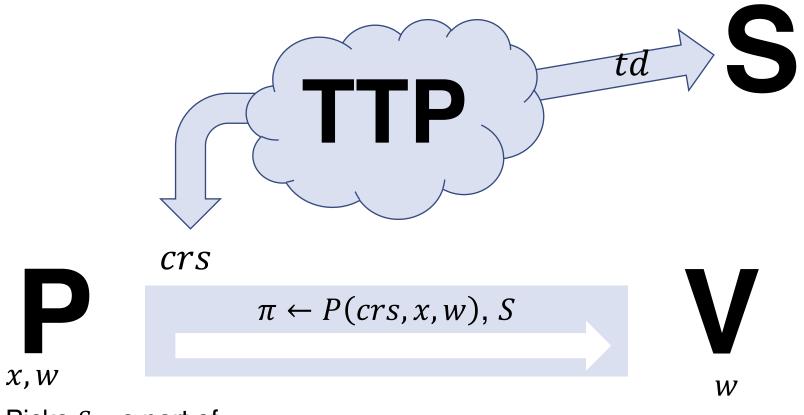
Till 2006 only theoretical result

Jens Groth, Rafail Ostrovsky, Amit Sahai Perfect Non-interactive Zero Knowledge for NP

Jens Groth, Amit Sahai Efficient Non-interactive Proof Systems for Bilinear Groups

For long statements proofs are still very long But we want to proof long statements!

### Hidden Bits Model



Picks S – a part of the CRS that will be disclosed to V

#### Feige-Lapidot-Shamir Paradigm

How to get NIZK?

Fiat-Lapidor-Shamir Paradigm

Get a NIZK in a Hidden Bits Model

Compile to the CRS model

#### Feige-Lapidot-Shamir Paradigm

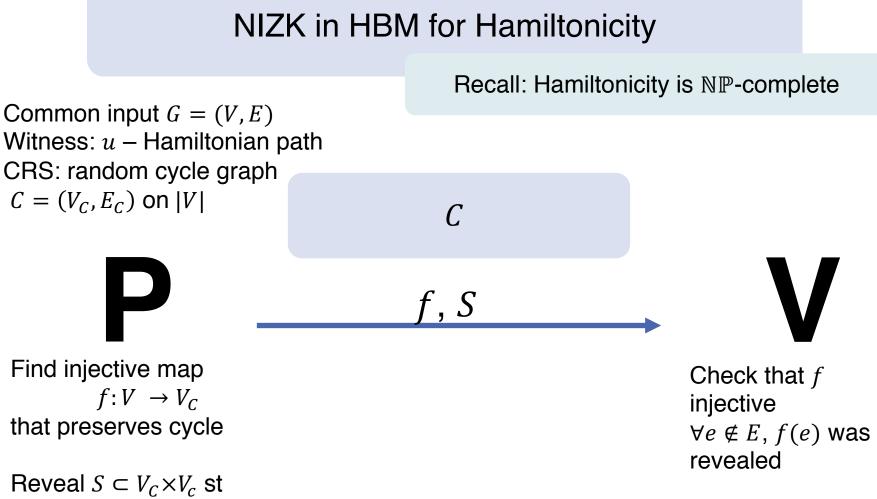
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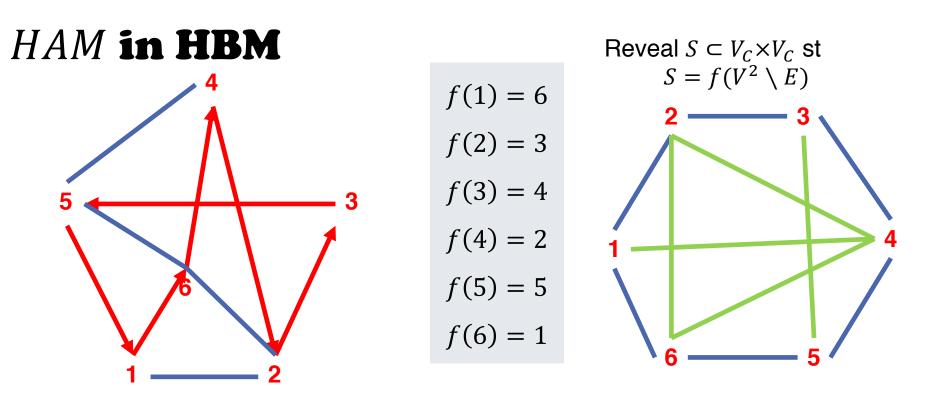
Get a NIZK in a Hidden Bits Model

Compile to the CRS model

### NIZK in Hidden Bits Model



 $S = f(V^2 \setminus E)$ 



#### **Perfect soundness**

Assume *V* accepts: *f* is injective, all non edges *E* revealed Consider *E'* the inverse of the cycle edges of *C* (e.g  $(f^{-1}(6), f^{-1}(1)))$ *1.*  $E' \subset E$  (i.e. contains only actual edges) *2.* E' forms a Hamiltonian cycle (as an inverse of a Ham. cycle) Thus *G* is Hamiltonian

### HAM in HBM

#### Zero knowledge

Simulator picks random injective *f* to [n]Output  $(f, S, CRS_S)$ , where  $S = f(V^2 \setminus E)$  and  $CRS_S = 0..00$ 

**Claim 1**: for every fixed choice of *f* the simulated view is identical to the real **Claim 2**: mapping in the real execution is a random injective function

#### Feige-Lapidot-Shamir Paradigm

How to get NIZK?

Fiat-Lapidor-Shamir Paradigm

Get a NIZK in a Hidden Bits Model

Compile to the CRS model

### From HBM to CRS

#### **Idealised trapdoor permutation**

 $p_k : \{0,1\}^n \to \{0,1\}^n$ 

- Given  $p_k$  it is easy to compute  $p_k(x)$  for every x
  - Given  $y = p_k(x)$  infeasible to compute x
- There exists trapdoor  $\tau$  that given  $y, \tau$  easy to compute  $x = p_k^{-1}(y)$

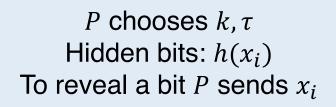
#### Hardcore bit

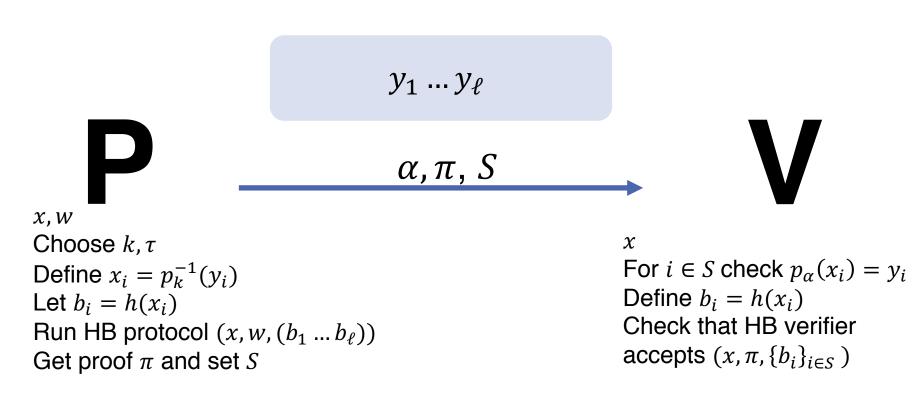
There exists function  $h: \{0,1\}^n \to \{0,1\}$  st given  $p_k(x)$  infeasible to compute bit h(x)

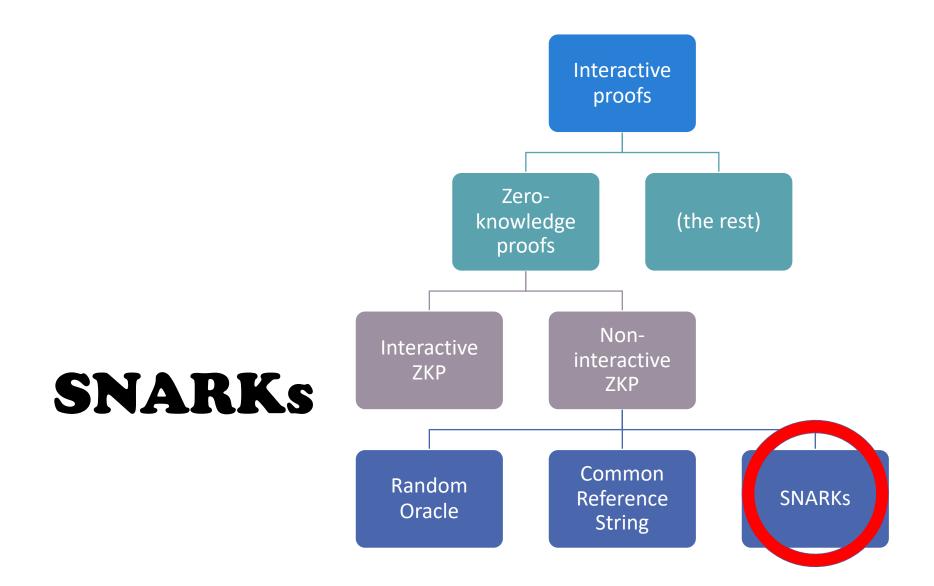
Perfect trapdoor permutations **do not exist**! But we have public-key encryption schemes (RSA, ElGamal, etc.)  $(k - public \text{ key}, \tau - \text{ secret key})$ 

#### From HBM to CRS - bird's eye

*CRS* consists of  $y_1, ..., y_\ell \in \{0, 1\}^n$ 







### **SNARKs**

#### **Proofs vs Arguments**

We call protocol (P, V) **proof** if it holds for all possible  $P^*$ (no one can cheat V)

We call protocol (P,V) argument if no PPT P\* can cheat V

NIZK  $\Pi = (K, P, V, S)$ 

additional element responsible for CRS generation

• S given explicitly- one symulator for all verifiers

Compare:  $\forall x \ \forall V^* \exists S$   $S^{V^*}(x)$  $= (P, V^*)(x)$ 

 $\pi \leftarrow P(crs, x, w)$ If  $|\pi|$  sublinear compared to |x| and |w| we call  $\Pi$  **succinct** 

**SNARK** – Succinct Non-interactive ARgument of Knowledge

## Verifiable computation

The client may send P once and evaluate it on many inputs



sends a program P along with its (public) input x

responds with the output y = P(x, x') along with a proof  $\pi$  that the result is correct

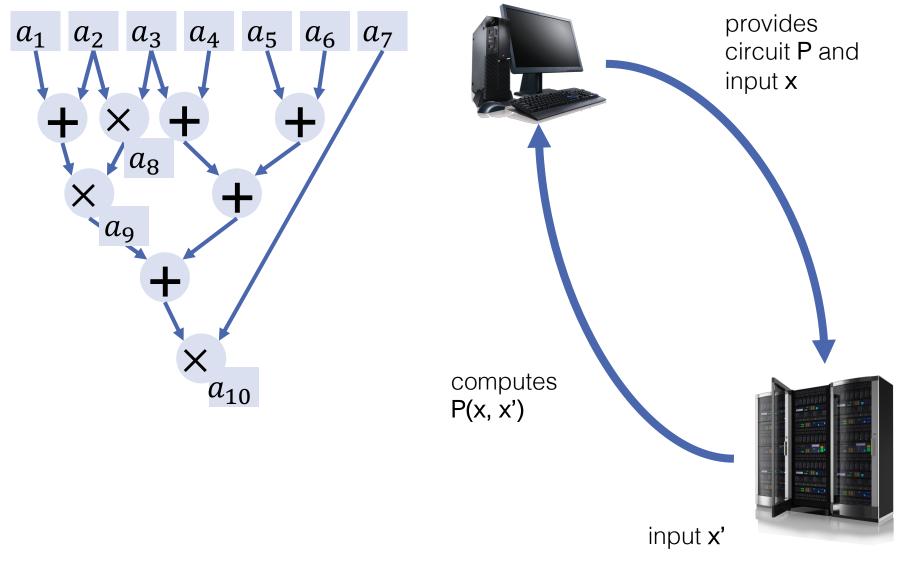


- program P that needs a lot of computational power

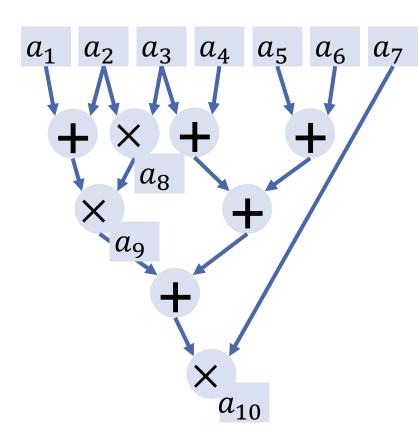
- input x

- has required power
- additional input x'

#### Program as a circuit



### How to prove correctness



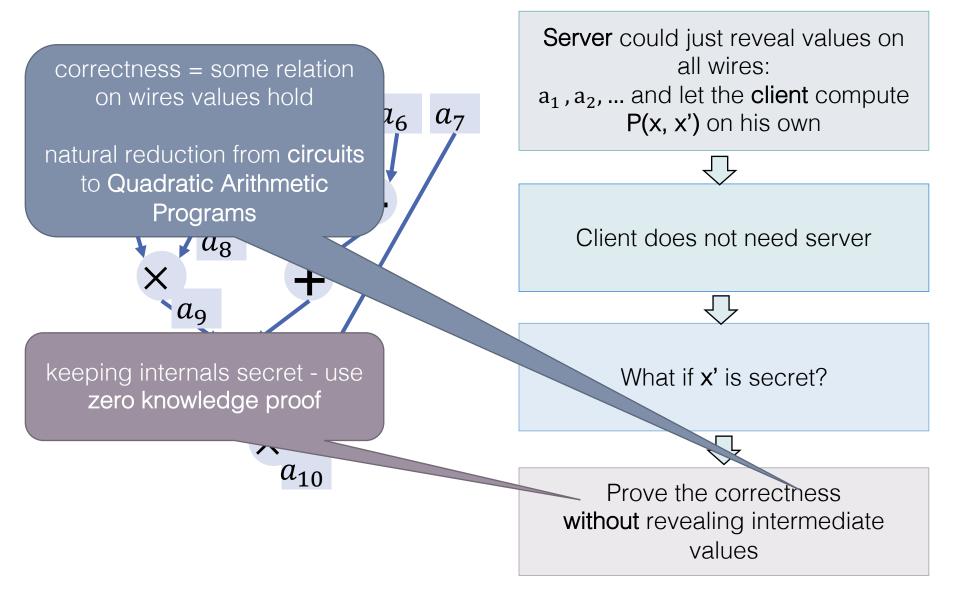
Server could just reveal values on all wires: a<sub>1</sub>, a<sub>2</sub>, ... and let the client compute P(x, x') on his own

Client does not need server

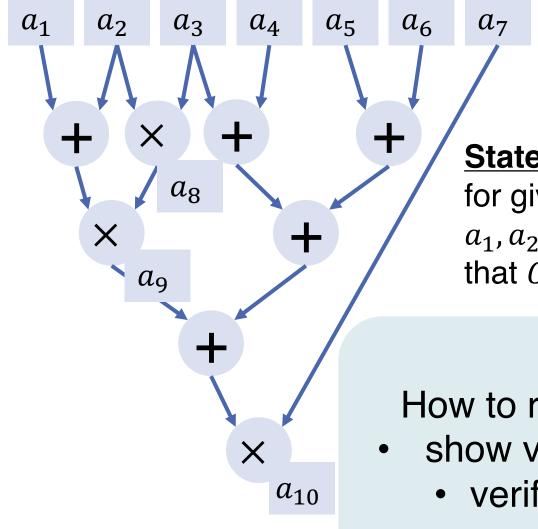
What if x' is secret?

Prove the correctness without revealing intermediate values

## How to prove correctness



## Verifiable computation



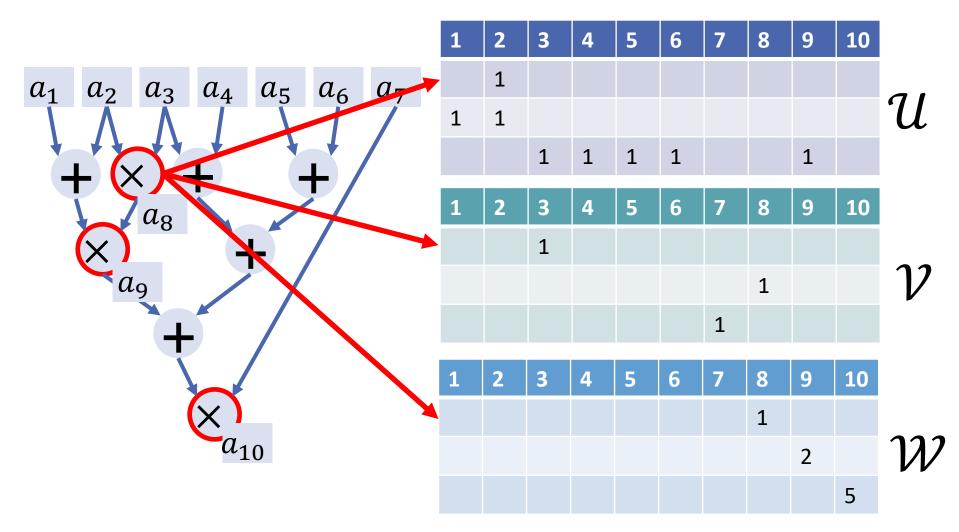
#### **Statement**

for given circuit *C* and public  $a_1, a_2 \dots a_5$ , I know  $a_6, \dots a_{10}$  such that *C* is computed correctly

How to represent circuit *C* to show validity of computation

• verify the computation

## **Circuit representation**



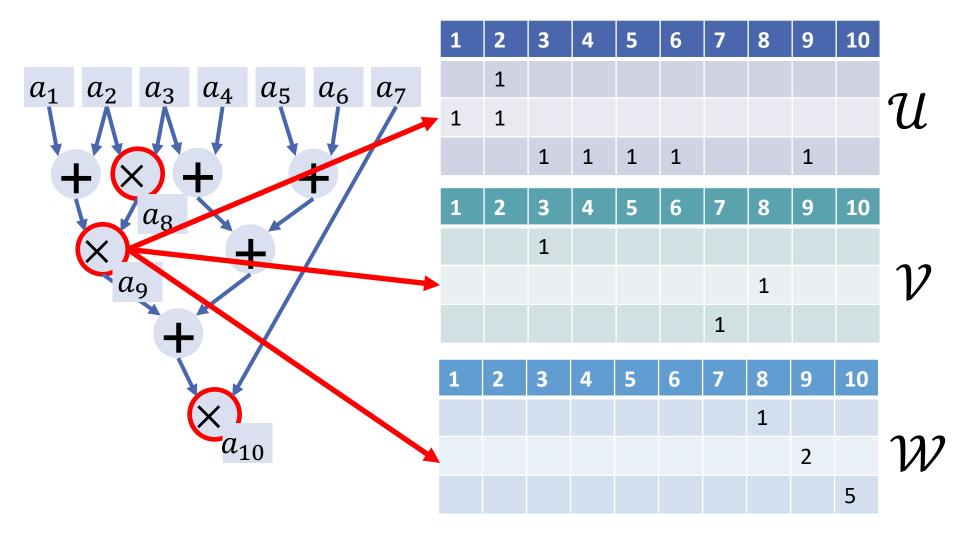
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#### **Matrix dimension**

 $n = |rows| = |MUL \ gates|$  $m = |columns| = |inputs| + |MUL \ gates|$ 

## **Circuit representation**



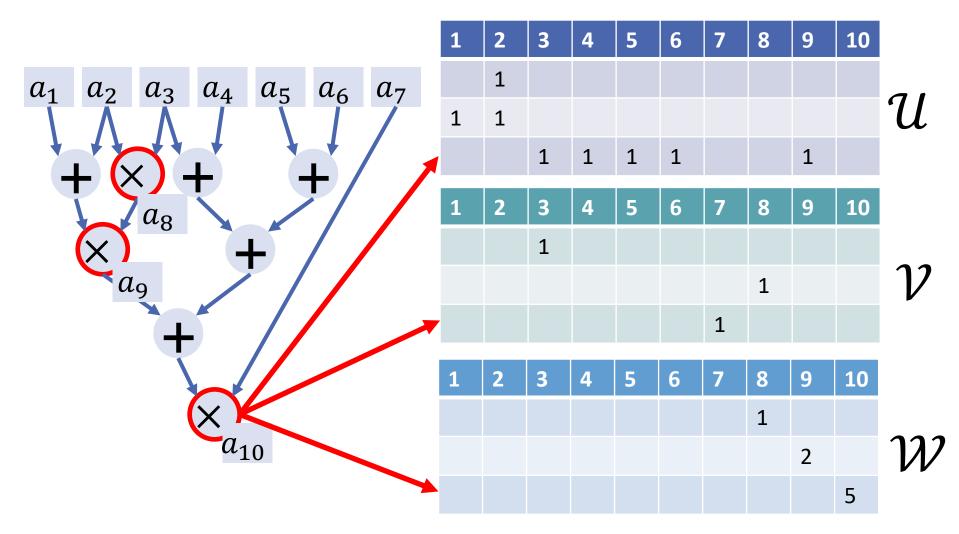
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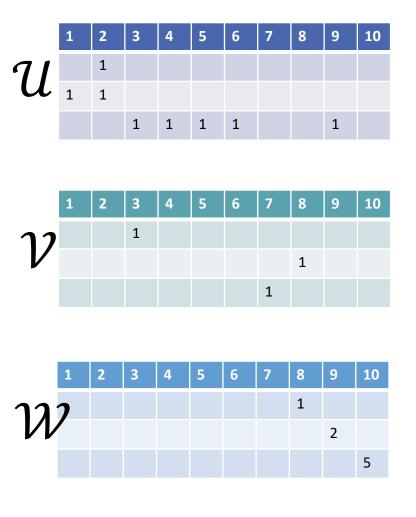
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## Matrix circuit representation



Let  $a_i$  be a circuit input or multiplication gate output,  $u_{i,q}$ ,  $v_{i,q}$ ,  $w_{i,q}$  be *i*-th element of *q*-th equation, then

$$\sum_{i=0\dots m} a_i u_{i,q} \cdot \sum_{i=0\dots m} a_i v_{i,q} = \sum_{i=0\dots m} a_i w_{i,q}$$

#### Problem:

Operations on matrices are usually very inefficient 🐵

#### Idea:

We know how to make polynomial operations efficiently!

If we only could represent the matrices as polynomials...

## **Polynomial representation**

Let  $a_i$  be a circuit input or multiplication gate output,  $u_{i,q}$ ,  $v_{i,q}$ ,  $w_{i,q}$  be *i*-th element of *q*-th equation, then

$$\sum_{i=0...m} a_i u_{i,q} \cdot \sum_{i=0..m} a_i v_{i,q} = \sum_{i=0..m} a_i w_{i,q}$$

Define polynomials  $u_i$ ,  $v_i$ ,  $w_i$  such that

• 
$$u_i(r_q) = u_{i,q}$$
,

• 
$$v_i(r_q) = v_{i,q}$$
,

• 
$$w_i(r_q) = w_{i,q}$$
,

Then the constraint above can be expressed as

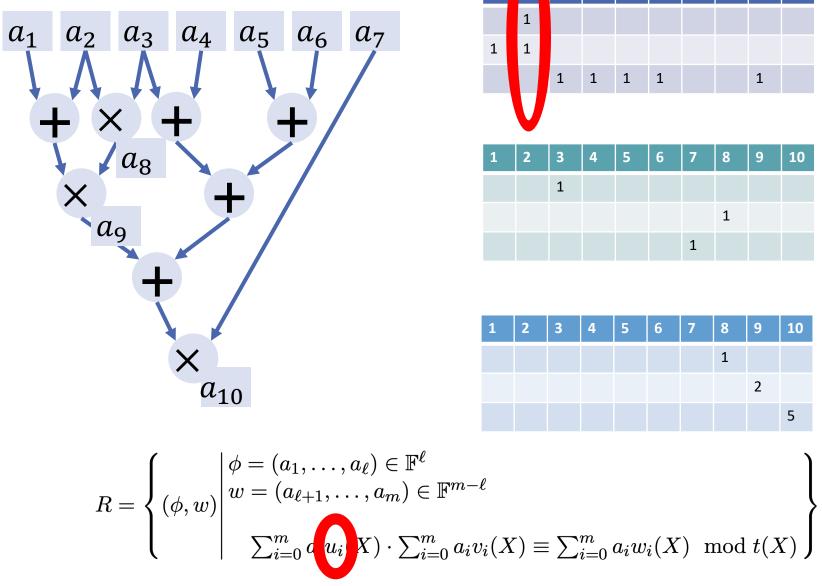
$$\sum_{i=0...m} a_i u_i(r_q) \cdot \sum_{i=0..m} a_i v_i(r_q) = \sum_{i=0..m} a_i w_i(r_q)$$

#### **Polynomial representation**

$$\sum_{i=0\dots m} a_i u_i(r_q) \cdot \sum_{i=0\dots m} a_i v_i(r_q) = \sum_{i=0\dots m} a_i w_i(r_q)$$
  
Define  $t(X) = \prod_{i=1\dots n} (X - r_q)$   
$$\sum_{i=0\dots m} a_i u_i(X) \cdot \sum_{i=0\dots m} a_i v_i(X) = \sum_{i=0\dots m} a_i w_i(X) \mod t(X)$$

$$R = \begin{cases} \left(\phi, w\right) \middle| \begin{array}{l} \phi = (a_1, \dots, a_\ell) \in \mathbb{F}^\ell \\ w = (a_{\ell+1}, \dots, a_m) \in \mathbb{F}^{m-\ell} \\ \sum_{i=0}^m a_i u_i(X) \cdot \sum_{i=0}^m a_i v_i(X) \equiv \sum_{i=0}^m a_i w_i(X) \mod t(X) \end{cases}$$

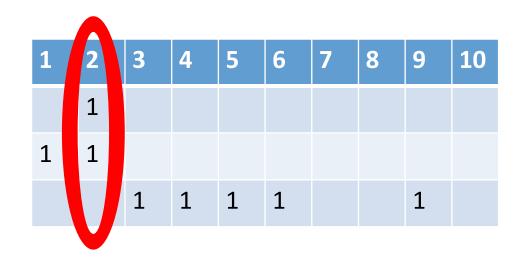
## Getting things together



1	2	3	4	5	6	7	8	9	10
	1								
1	1								
		1	1	1	1			1	
	V								
1	2	3	4	5	6	7	8	9	10
		1							
							1		
						1			
1	2	3	4	5	6	7	8	9	10
							1		
								2	

5

## One more step



#### Lagrange interpolation

- n points defines (n 1)-degree polynomial p(X)
- $(x_1, y_1) \dots (x_n, y_n)$
- Lagrange basis:

$$\ell_i(X) = \prod_{\substack{0 \le k \le n, k \ne i}} \frac{X - x_k}{x_i - x_k}$$
$$p(X) = \sum y_i \,\ell_i(X)$$

$$u_1(X) = 1 \cdot \ell_1(X) + 1 \cdot \ell_2(X) + 0 \cdot \ell_3(X)$$

#### One more trick:

Instead of using polynomials, evaluate them at random point and use Schwartz-Zippel

## **SNARK** for **QAP**

$$\mathsf{crsp} \leftarrow \left( \begin{array}{c} \left[ \alpha, \beta, \delta, \left( \frac{u_j(\chi)\beta + v_j(\chi)\alpha + w_j(\chi)}{\delta} \right)_{j=\ell+1}^m \right]_1, \\ \left[ (\chi^i \ell(\chi)/\delta)_{i=0}^{n-2}, (u_j(\chi), v_j(\chi))_{j=0}^m \right]_1, \left[ \beta, \delta, (v_j(\chi))_{j=0}^m \right]_2 \end{array} \right)$$

 $\mathsf{P}(\mathsf{R},\mathsf{z}_{\mathsf{R}},\mathsf{crs}_{\mathsf{P}},\mathsf{x}=(A_1,\ldots,A_\ell),\mathsf{w}=(A_{\ell+1},\ldots,A_m)):$ 

1. Let 
$$a^{\dagger}(X_{\chi}) \leftarrow \sum_{j=0}^{m} A_j u_j(X_{\chi}), b^{\dagger}(X_{\chi}) \leftarrow \sum_{j=0}^{m} A_j v_j(X_{\chi}), c^{\dagger}(X_{\chi}) \leftarrow \sum_{j=0}^{m} A_j w_j(X_{\chi}),$$

2. Set 
$$h(X_{\chi}) = \sum_{i=0}^{n-2} h_i X_{\chi}^i \leftarrow (a^{\dagger}(X_{\chi})b^{\dagger}(X_{\chi}) - c^{\dagger}(X_{\chi}))/\ell(X_{\chi}),$$

3. Set 
$$[h(\chi)\ell(\chi)/\delta]_1 \leftarrow \sum_{i=0}^{n-2} h_i [\chi^i \ell(\chi)/\delta]_1$$
,

4. Set 
$$r_a \leftarrow_r \mathbb{Z}_p$$
; Set  $\mathfrak{a} \leftarrow \sum_{j=0}^m A_j [u_j(\chi)]_1 + [\alpha]_1 + r_a [\delta]_1$ ,

5. Set 
$$r_b \leftarrow_r \mathbb{Z}_p$$
; Set  $\mathfrak{b} \leftarrow \sum_{j=0}^m A_j [v_j(\chi)]_2 + [\beta]_2 + r_b [\delta]_2$ ,

6. Set 
$$\mathfrak{c} \leftarrow r_b \mathfrak{a} + r_a \left( \sum_{j=0}^m A_j \left[ v_j(\chi) \right]_1 + \left[ \beta \right]_1 \right) + \sum_{j=\ell+1}^m A_j \left[ (u_j(\chi)\beta + v_j(\chi)\alpha + w_j(\chi))/\delta \right]_1 + \left[ h(\chi)\ell(\chi)/\delta \right]_1$$

7. Return 
$$\pi \leftarrow (\mathfrak{a}, \mathfrak{b}, \mathfrak{c})$$
.

 $V(\mathbf{R}, z_{\mathbf{R}}, \operatorname{crs}_{V}, x = (A_{1}, ..., A_{\ell}), \pi = (\mathfrak{a}, \mathfrak{b}, \mathfrak{c}))$ : assuming  $A_{0} = 1$ , check that

$$\mathfrak{a} \bullet \mathfrak{b} = \mathfrak{c} \bullet [\delta]_2 + \left( \sum_{j=0}^{\ell} A_j \left[ \frac{u_j(\chi)\beta + v_j(\chi)\alpha + w_j(\chi)}{\gamma} \right]_1 \right) \bullet [\gamma]_2 + [\alpha\beta]_T \quad .$$

 $[\alpha]_i = \alpha \mathfrak{g}_i$ 

#### **Common Reference String – important questions**

How to instantiate the CRS generator? What if the generator **colludes** with the prover? What if the generator **colludes** with the verifier? What security guarantees can we get then? Is it better to use Random Oracle?

#### **Philosophical question**

Is non-interactive zero-knowledge zero-knowledge?

When *P* gives proof  $\pi$  to *V*, *V* learns not only that  $x \in \mathcal{L}$ , but also a convincing proof for that

#### **<u>Key idea:</u>** Proof $\pi$ holds in respect to

- Particular CRS
- Particular Random Oracle

## Thank you!

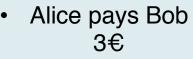
# Zero-knowledge in modern life

Part III

## Blockchain

- Alice pays Bob
   2€
  - Charlie pays Alice 3€
  - Alice pays
     Debbie 1€
  - Debbie pays Bob 3€

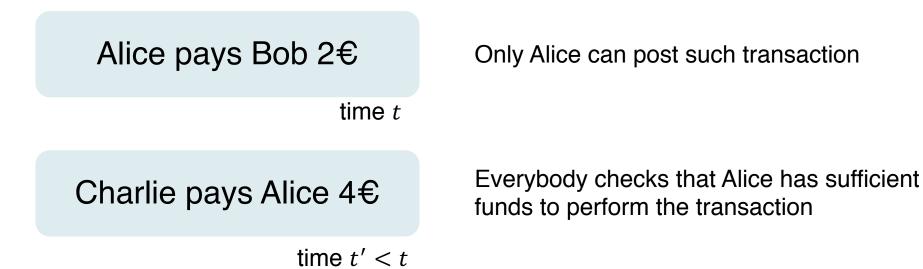
- Frank pays Bob 2€
  - Debbie pays Alice 3€
  - Robert pays Alice 4€
  - Charlie pays
     Debbie 1,5€



- Bob pays Charlie 3€
- Alice pays Bob
   2€

time

## Blockchain



Everybody knows that Charlie paid Alice, and Alice paid Bob Everybody knows the value of transactions

## **Privacy preserving blockchains**

Idea: Show in ZK that the transaction is correct

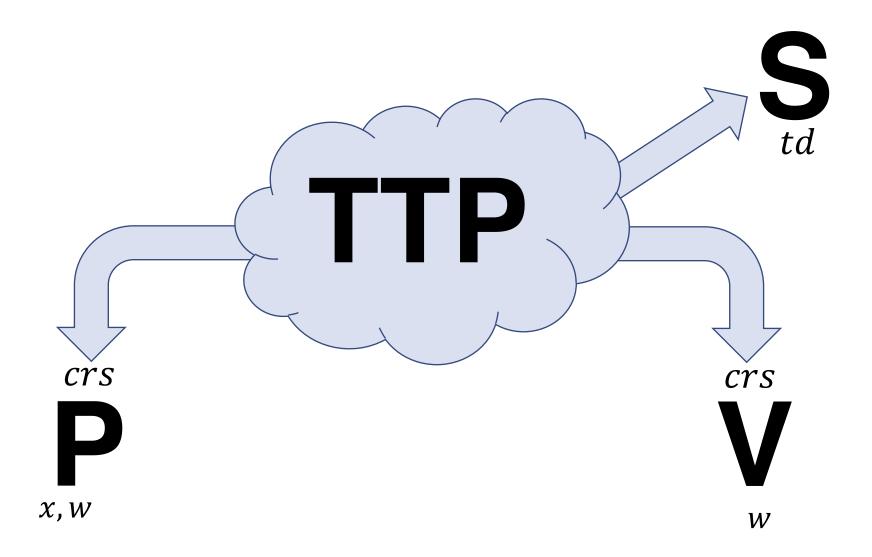
- Alice has sufficient funds
- Bob can spend the funds transferred by Alice

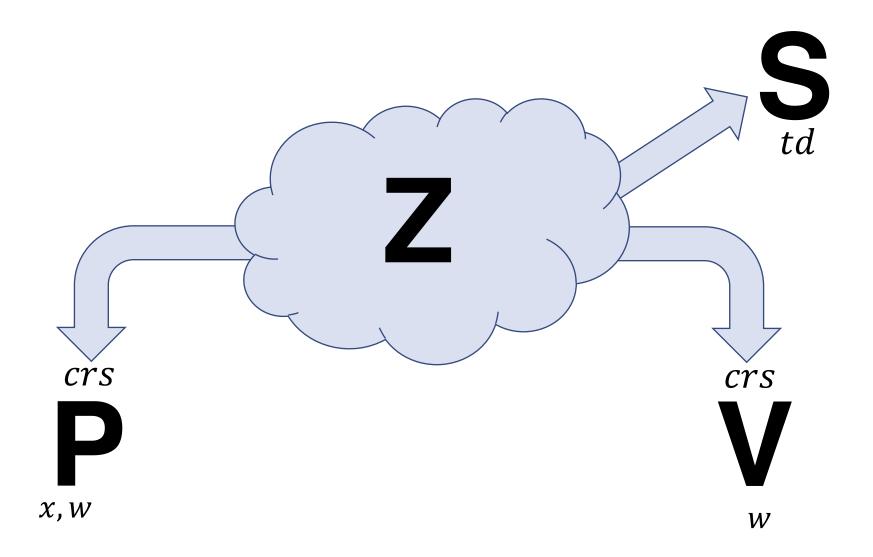
<u>**Problem:**</u> There is billions transactions on blockchain. How to show possession of funds efficiently?

Solution: Use SNARKs!



# Subversive CRS generation





 $\mathbf{P}_{x,w}$ 

CTS

#### Soundness is impossible

S

 $\overline{td}$ 

CYS

N

P colludes with Z and generate the CRS P knows trapdoor td Thus P can simulate proof for a fake statement

Crs Crs Zero-knowledge is possible V colludes with Z and generate the CRS *x*,*w* but P can check the CRS! How to assure *S* gets the trapdoor?

5

td

N

#### How to provide S with trapdoor?

#### **Diffie-Hellman knowledge assumption**

 $h, g^a, h^a \leftarrow \mathcal{A}(g; r)$  then there exists extractor *E* for  $\mathcal{A}$  that E(g; r) outputs *a* 

Idea: Use knowledge assumption on subverter Z. Intuitively: crs = f(td) and since Z produce crs it knows td

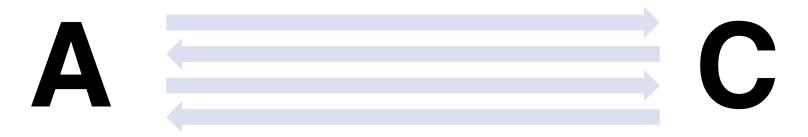
Provide *S* with the extracted tdSince now *S* can simulate  $\Rightarrow$  zero-knowledge holds

## Falsifiability in cryptography

Knowledge assumptions are not falsifiable 😕

Falsifiability defined as a **GAME** between adversary *A* and challenger *C C* setups the game and answers on *A*'s queries In the end *C* returns 0 (*A* loses) or 1 (*A* wins)

Assumption (*C*, *c*) is **falsifiable** if for all PPT *A*  $Pr[(A, C) = 1] \le c + negl$ 



Fun fact: SNARKs cannot be sound without non-falsifiable assumptions