Dynamics of complex continued fractions via partitions

Adam Abrams

26 August 2020

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On geometric complexity of Julia sets II

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• Minus continued fractions are of the form

$$a_0 - \frac{1}{a_1 - \frac{1}{a_2 - \frac{1}{.}}}$$

Continued fractions

with

- a_i ∈ Z for Real CF.
 a_i ∈ Z[i] = Z + Zi for Complex CF.
- There are multiple algorithms to generate a digit sequence {a_i} for a given x ∈ ℝ or z ∈ ℂ.

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References/timeline

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- 2019 Ei, Ito, Nakada, Natsui. "On the construction of the natural extension of the Hurwitz complex continued fraction map." *Monatshefte für Mathematik*.
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Choice functions

A choice function¹ is a function $\lfloor \cdot \rceil : \mathbb{C} \to \mathbb{Z}[\mathbf{i}]$ such that z and $\lfloor z \rceil$ are at most 1 apart.

¹ Dani and Nogueira, 2013.

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Choice functions

A choice function¹ is a function $\lfloor \cdot \rceil : \mathbb{C} \to \mathbb{Z}[\mathbf{i}]$ such that z and $\lfloor z \rceil$ are at most 1 apart.

• *Non*-example: floor $\lfloor z \rfloor = \lfloor \operatorname{Re} z \rfloor + \lfloor \operatorname{Im} z \rfloor \mathbf{i}$ does not work since $|0.9 + 0.9\mathbf{i}| = 0$ is distance 1.273 from $0.9 + 0.9\mathbf{i}$.

¹ Dani and Nogueira, 2013.

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-3+3 i	-2+3 i	-1+3 i	31		1+3 i	2+3 i	3+3 i
-3+2i	-2+2 i	-1+2 i	2 i		$1\!+\!2\mathbf{i}$	2+2 i	3+2 i
-3+ i	-2+ i	-1+i	i		(1+i	2+ i	3+ i
-3	-2	$-1_t'$		0	1	2	3
					1		
-3- i	-2-i	-1- i	`-i		1- i	2- i	3- i
-3-2 i	-2-2 i	-1-2 i	-2 i		$1-2\mathbf{i}$	2-2 i	3-2 i
-3-3 i	-2-3 i	-1-3 i	-3 i		1 - 3i	2-3 i	3-3 i

Nearest integer or Hurwitz algorithm²

¹ Dani and Nogueira, 2013. ² Adolf Hurwitz, 1887.

Examples

Partitions and \mathbb{C} continued fractions

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- Natural

-3+3 i	-2+3 i	-1+3 i	31		1+3 i	2+3 i	3+3 i
-3+2i	-2+2 i	-1+2 i	2 i		$1\!+\!2\mathbf{i}$	2 + 2i	3+2 i
-3+ i	-2+ i	-1+i	i		, ¹⁺ i	2+ i	3+ i
$^{-3}$	-2	$-1_t'$		0	1	2	3
		N.			- ;		
-3- i	-2- i	-1- i	`-i		1- i	2- i	3- i
-3-2 i	-2-2 i	-1-2 i	-2 i		1 - 2i	2-2 i	3-2 i
-3-3 i	-2-3 i	-1-3 i	-3 i		1 - 3i	2 - 3i	3-3 i

Nearest integer or Hurwitz algorithm²

-2-i - 11-1 -1 - i2-1 3-1 -3 - 2i - 2 - 2i - 1 - 2i-2i 1-2i 2-2i 3-2i -3-3i -2-3i -1-3i -31 1-3i 2-3i 3-3i Shifted Hurwitz¹

3:

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- 1+i 2+1 3+1

1+31 2+31 3+31

1+2i 2+2i 3+2i

algorithm

¹ Dani and Nogueira, 2013. ² Adolf Hurwitz, 1887.

Examples

-3+3i -2+3i -1+3i

-3+2i -2+2i -1+2i

-2+i -1+i

-2

-3+i

-3-i

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Examples



• For both, digits are in $\{x + y\mathbf{i} \in \mathbb{Z}[\mathbf{i}] : x + y \text{ even }\}.$

² Julius Hurwitz, 1902.
 ³ Shigeru Tanaka, 1985.

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-2i 1-2i 2-2i 3-2i -3i 1-2i 2-2i 3-2i Diamond algorithm

-1-1 -1

3i 1+3i 2+3i 3+3i

2**i**

1+2i 2+2i 3+2i

1+i

1-i

2+1 3+1

2

2-i

3

3-i

Examples

-3+i/-2+i/-1+i

-3 / -2

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Gauss maps

The fundamental set for an algorithm is

$$K = \overline{\{ z - \lfloor z \rceil : z \in \mathbb{C} \}}.$$

- Example: For the nearest integer algorithm, K is the unit square centered at the origin.
- Note $K \subseteq \overline{B(0,1)}$ by the definition of a choice function.

The Gauss map $g: K \to K$ is given by g(0) = 0 and

$$g(z) = \frac{-1}{z} - \left\lfloor \frac{-1}{z} \right\rfloor.$$

Gauss maps

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Real-valued Gauss maps:



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Gauss maps

Theorem (Dani-Nogueira for + c.f.) Let $\lfloor \cdot \rfloor$ be such that $K \subset B(0, 1)$. For any $z \in \mathbb{C} \setminus \mathbb{Q}[\mathbf{i}]$, set

$$a_0 = \lfloor z \rceil$$
 and $a_n = \left\lfloor \frac{-1}{g^{n-1}(z-a_0)} \right\rceil \quad \forall \ n \ge 1.$

Then the value of

$$a_0 - rac{1}{a_1 - rac{1}{a_2 - rac{1}{\ddots - rac{1}{a_n}}}}$$

approaches z as $n \to \infty$.

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Then the value of

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approaches z as $n \to \infty$.

Sometimes it is easier to use the map $w \mapsto \frac{-1}{w - \lfloor w \rceil}$ instead of $g: z \mapsto \frac{-1}{z} - \lfloor \frac{-1}{z} \rceil$.

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$$w_0 = z,$$
 $a_n = \lfloor w_n \rceil,$ $w_{n+1} = \frac{-1}{w_n - a_n}$

Then the value of

$$a_0 - rac{1}{a_1 - rac{1}{a_2 - rac{1}{\ddots - rac{1}{a_n}}}}$$

approaches z as $n \to \infty$.

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Gauss maps

The natural extension of the Gauss map

$$g(z) = \frac{-1}{z} - \left\lfloor \frac{-1}{z} \right\rceil$$

is the function

$$F(u,v) = \left(\frac{-1}{u} - a, \frac{-1}{v} - a\right)$$
 where $a = \left\lfloor \frac{-1}{v} \right\rfloor$

or, after change of variables $(\boldsymbol{z},\boldsymbol{w})=(\boldsymbol{v},-1/\boldsymbol{u})$,

$$G(z,w) = \left(\frac{-1}{z} - a, \frac{-1}{w-a}\right) \quad \text{where } a = \left\lfloor \frac{-1}{z} \right
ceil$$

The real-valued version of G has an attracting set with a "finite rectangular structure."

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Modular and Fuchsian results⁴

Real (a, b)-continued fractions:

- Family of algorithms with parameters $a, b \in \mathbb{R}$.
- Natural extension is G(x, y) = (⁻¹/_x n, ⁻¹/_{y-n}) where n is the "(a, b)-generalized integer part" of x.

Co-compact Fuchsian setting:

- Instead of $PSL(2,\mathbb{Z})$ we use $\Gamma = \langle T_1, \ldots, T_m \rangle$.
- Natural extension is $F(\boldsymbol{x},\boldsymbol{y}) = (\boldsymbol{T}_i(\boldsymbol{x}),\boldsymbol{T}_i(\boldsymbol{y}))$

where i depends only on x.

⁴ Katok and Ugarcovici, 2010 and 2017.

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- Natural extension is $G(x, y) = (\frac{-1}{x} n, \frac{-1}{y-n})$ where *n* is the "(*a*, *b*)-generalized integer part" of *x*.

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Philosophy

For classical $(X = \mathbb{R})$ and Fuchsian (with $X = S^1$), we have 1 One-variable $f : X \to X$ is not injective.

2 Two-variable
$$F: X \times X \to X \times X$$
 of the form
 $F(x, y) = (\rho_x(x), \rho_x(y))$
is not injective on $X \times X$, but

- **3** restricting F to its global attractor $\Omega \subset X \times X$ does give an a.e. bijective function.
- 4 The set $\Omega \subset X \times X$ has finite rectangular structure.
- **5** This is a result of the cycle property of $f: X \to X$.

The goal is to recreate this for complex c. f.

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Finite product structure

What is a good analogue in $\overline{\mathbb{C}} \times \overline{\mathbb{C}}$ for the 2-real-dimensional finite rectangular structure?

Definition

A set $\Omega \subset \overline{\mathbb{C}} \times \overline{\mathbb{C}}$ has finite product structure if there exist $N \in \mathbb{N}$ and sets $K_1, \ldots, K_N \subset \overline{\mathbb{C}}$ and $L_1, \ldots, L_N \subset \overline{\mathbb{C}}$ each connected on the Reimann sphere such that

$$\Omega = \bigcup_{i=1}^{N} K_i \times L_i.$$

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Cycle property: the orbits of $\frac{-1}{a}$ and a + 1 under $f : \mathbb{R} \to \mathbb{R}$ intersect, and the orbits of $\frac{-1}{b}$ and b - 1 also intersect.



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Cycle property



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Finite building property

The following replaces the cycle property:

Definitions

- Let C be a collection of sets. A set is called buildable from C if it is equal to some union of elements of C.
- A continued fraction algorithm with Gauss map g: K → K satisfies the finite building property if there exists a finite partition P = {K₁,...,K_N} with N > 1 such that each g(K_i) is buildable from P.

"Partition" here means the elements of $\ensuremath{\mathcal{P}}$ have disjoint interiors.

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Finite building property

We want each $g(K_i)$ to be some $\bigcup_j K_j$.

Define the maps

$$\begin{split} S(z) &:= -1/z \\ T^a(z) &:= z + a \qquad \text{for any } a \in \mathbb{Z}[\mathbf{i}] \end{split}$$

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• For an individual point,

$$g(z) = T^{-a}Sz$$
 where $a = \lfloor Sz \rceil$,

but for $X \subset \mathbb{C}$, g(X) might NOT be of the form $T^{-a}SX$ because $\lfloor \cdot \rceil$ will not generally be constant on SX.

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but for $X \subset \mathbb{C}$, g(X) might NOT be of the form $T^{-a}SX$ because $\lfloor \cdot \rceil$ will not generally be constant on SX.

• In practice, we need to decompose K_i further into sets for which g acts the same.

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Cells

Some new notation will be useful.

• For $a \in \mathbb{Z}[\mathbf{i}]$, we have the cell

$$\langle a \rangle := \left\{ \, z \in K \, : \, \lfloor -1/z \rceil = a \, \right\}.$$

Note that
$$g|_{\langle a \rangle}$$
 is exactly $T^{-a}S$.



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Cells

Some new notation will be useful.

• For $a \in \mathbb{Z}[\mathbf{i}]$, we have the cell

$$\langle a \rangle := \left\{ \, z \in K \, : \, \lfloor -1/z \rceil = a \, \right\}.$$

Note that $g|_{\langle a \rangle}$ is exactly $T^{-a}S$.

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• Denote $K_{i,a} := K_i \cap \langle a \rangle$. Then we have

$$K_i = \bigcup_{a \in \mathbb{Z}[\mathbf{i}]} K_{i,a}$$

and

$$g(K_i) = \bigcup_{a \in \mathbb{Z}[\mathbf{i}]} T^{-a} S K_{i,a}.$$

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Then we have

Some $K_{i,a}$ will be empty.

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Lemma (A.)

If all $g(K_{i,a})$ are buildable from $\{K_1, \ldots, K_N\}$, then the algorithm satisfies the finite building property.

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Then

$$g(K_i) = g\left(\bigcup_{a \in \mathbb{Z}[\mathbf{i}]} K_{i,a}\right) = \bigcup_{a \in \mathbb{Z}[\mathbf{i}]} g(K_{i,a})$$
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where $J(i) = \bigcup_{a \in \mathbb{Z}[i]} J(i, a)$. Thus all $g(K_i)$ are buildable from \mathcal{P} . \Box

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Partitions

- We actually have three partitions of ${\boldsymbol{K}}$ now.
 - 1 $\{\langle a \rangle\}$ indexed by $a \in \lfloor \mathbb{C} \rceil \subset \mathbb{Z}[\mathbf{i}]$.
 - **2** $\{K_i\}$ indexed by $i \in \{1, ..., N\}$.
 - **3** { $K_{i,a}$ } indexed by (i, a) for which $K_i \cap \langle a \rangle \neq \emptyset$.
- The partition into $\langle a \rangle$ is based on the algorithm only.
- The partition $\mathcal{P} = \{K_1, \dots, K_N\}$ is finite. How do we construct these sets?

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Progress

The partitions $\mathcal{P} = \{K_1, \ldots, K_N\}$ just shown for the nearest even and nearest integer algorithms are constructed to satisfy

$$g(\langle a \rangle) = T^{-a} S \langle a \rangle = \bigcup_{j \in J(a)} K_j$$
 for some $J(a)$

but the finite building property requires that that all $g(K_i)$ are buildable from \mathcal{P} , not that all $g(\langle a \rangle)$ are buildable.

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but the finite building property requires that that all $g(K_i)$ are buildable from \mathcal{P} , not that all $g(\langle a \rangle)$ are buildable.

• Recall the lemma: if all $g(K_{i,a})$ are buildable from \mathcal{P} , then the finite building property is satisfied.

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Sufficient conditions

Lemma (A.)

If each $\langle a \rangle$ is contained in some K_i and each $S(\langle a \rangle)$ can be written as a union of sets of the form $a + K_j$, then the algorithm satisfies the finite building property.

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• For the nearest even algorithm we have sets K_1, \ldots, K_8 such that

- each $\langle a \rangle$ is contained in some K_i ,
- each $S\langle a \rangle$ is some $\bigcup_j (a + K_j)$.
- Thus the finite building property is satisfied for the nearest even algorithm.
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Hurwitz



 $\langle 1+2\mathbf{i}\rangle$



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Hurwitz





It is not true that each $\langle a \rangle$ is containing in some K_i , so the second lemma cannot be used with this algorithm.

We must use the earlier lemma and show that each $K_{i,a}$ is buildable.

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Additional properties

• Some algorithms have additional properties that can help make proofs easier.

		Each $\langle a \rangle$ is
	Z-translates	contained
	of K tile $\mathbb C$	in some K_i
Nearest integer	Yes, $Z = \mathbb{Z}[\mathbf{i}]$	No
Nearest even	Yes, $Z = evens$	Yes
Diamond algorithm	No	No
Disk algorithm	No	Yes
Shifted Hurwitz	Yes, $Z=\mathbb{Z}[\mathbf{i}]$	No

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Proposition (A.) The following algorithms satisfy the finite building property:

Nearest even

Diamond

Examples

Disk algorithm



- Nearest integer
- Shifted Hurwitz



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Finite product structure

Define $G: \mathbb{C} \times \mathbb{C} \to \mathbb{C} \times \mathbb{C}$ by

$$G(z,w) = \left(\frac{-1}{z} - \left\lfloor \frac{-1}{z} \right\rceil, \frac{-1}{w - \left\lfloor \frac{-1}{z} \right\rceil}\right)$$

i=1

$$= (T^{-a}Sz, ST^{-a}w), \quad a = \lfloor Sz \rceil$$

• One motivation for partitioning
$$K$$
 by
 $\mathcal{P} = \{K_1, K_2, \dots, K_N\}$
is that we want to find a set
 $\bigcup_{i=1}^{N} K_i \times L_i$

that is a bijectivity domain for G.

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$$= \left(\left[T^{-a}S\right]z, \left[ST^{-a}w\right], \quad \left[a = \lfloor Sz \rfloor\right]\right)$$

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• For each $1 \le i \le N$, define $\mathcal{A}_i \subset \{1, \dots, N\} \times \mathbb{Z}[\mathbf{i}]$ as

$$\begin{aligned} A_i &= \{ (j,a) : K_i \subset g(K_{j,a}) \} \\ &= \{ (j,a) : K_i \subset T^{-a}S(K_{j,a}) \} \\ &= \{ (j,a) : ST^a(K_i) \subset K_{j,a} \}. \end{aligned}$$

Theorem (A.)

Suppose an algorithm satisfies the finite building property with partition $\{K_1, \ldots, K_N\}$, and let L_1, \ldots, L_N be arbitrary complex sets. The map G is bijective a.e. on $\bigcup_{i=1}^N K_i \times L_i$ if and only if the following system of equalities holds:

$$S(L_i) = \bigcup_{(j,a)\in\mathcal{A}_i} T^{-a} L_j, \qquad 1 \le i \le N.$$

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• For each $1 \le i \le N$, define $\mathcal{A}_i \subset \{1, \dots, N\} \times \mathbb{Z}[\mathbf{i}]$ as $\mathcal{A}_i = \left\{ (i, q) : K \in \mathcal{A}(K_i) \right\}$

$$A_{i} = \{ (j, a) : K_{i} \subset g(K_{j,a}) \} \\ = \{ (j, a) : K_{i} \subset T^{-a}S(K_{j,a}) \} \\ = \{ (j, a) : ST^{a}(K_{i}) \subset K_{j,a} \}.$$

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Proof that G bijective on $\bigcup K_i \times L_i$ implies $S(L_i) = \bigcup_{(j,a) \in \mathcal{A}_i} T^{-a} L_j$.

 $G\left(\bigcup_{i=1}^{N} K_{i} \times L_{i}\right) = G\left(\bigcup_{\substack{1 \le j \le N \\ c = (i)}} K_{j,a} \times L_{j}\right)$ $(T^{-a}SK_{i,a} \times ST^{-a}L_i)$ $1 \le j \le N$ $a \in \mathbb{Z}[\mathbf{i}]$ $= \bigcup_{\substack{1 \le j \le N \\ a \in \mathbb{Z}[\mathbf{i}]}} \left(\left(\bigcup_{\substack{i \text{ s.t.} \\ (j,a) \in A}} K_i \right) \times ST^{-a} L_j \right)$ $(K_i \times ST^{-a}L_i)$ $\substack{1 \le j \le N \\ a \in \mathbb{Z}[\mathbf{i}]}$

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$$\begin{pmatrix} \bigvee_{i=1}^{N} K_{i} \times L_{i} \end{pmatrix} = \cdots$$
$$= \bigcup_{\substack{1 \le j \le N \\ a \in \mathbb{Z}[\mathbf{i}]}} \bigcup_{\substack{i \text{ s.t.} \\ (j,a) \in \mathcal{A}_{i}}} \left(K_{i} \times ST^{-a}L_{j} \right)$$
$$= \bigcup_{i=1}^{N} \bigcup_{(j,a) \in \mathcal{A}_{i}} \left(K_{i} \times ST^{-a}L_{j} \right)$$
$$= \bigcup_{i=1}^{N} \left(K_{i} \times \left(\bigcup_{(j,a) \in \mathcal{A}_{i}} ST^{-a}L_{j} \right) \right)$$

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In order for $G(\Omega)$ to equal Ω , it must be that

$$L_i = \bigcup_{(j,a)\in\mathcal{A}_i} ST^{-a}L_j$$

for i = 1, ..., N.

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Computer simulation

In practice, the system of union equations is not useful for constructing L_i .

• Instead, we can generate a scatter plot of points in L_i using a computer, then verify that a potential collection L_1, \ldots, L_N satisfies the system.

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1.00.8Natural 0.60.40.20 Results -0.2-0.4Experimentation -0.6-0.8-1.0 $^{-1}$

Computer simulation

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Computer simulation

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Computer simulation

 L_4 appears to be $\left[\frac{-1}{3}, \frac{2}{3}\right]$.

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Exact descriptions of L_i are known for

- Disk algorithm
- Diamond algorithm

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 L_4





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System of equations

Recall the theorem that G is bijective a.e. on $\bigcup_{i=1}^{N} K_i \times L_i$ if and only if

$$S(L_i) = \bigcup_{(j,a)\in\mathcal{A}_i} T^{-a}L_j, \qquad 1 \le i \le N,$$

where

$$\mathcal{A}_{i} = \left\{ (j, a) : K_{i} \subset g(K_{j,a}) \right\}$$
$$= \left\{ (j, a) : K_{i} \subset T^{-a}S(K_{j,a}) \right\}$$
$$= \left\{ (j, a) : ST^{a}(K_{i}) \subset K_{j,a} \right\}.$$

• What does this look like visually?

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Goal:
$$S(L_1) = \bigcup_{(j,a) \in \mathcal{A}_1} T^{-a} L_j$$

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Nearest even algorithm (N = 8) Goal: $S(L_1) = \bigcup_{(j,a) \in A_1} T^{-a} L_j$



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Nearest even algorithm (N = 8) Goal: $S(L_1) = \bigcup_{(j,a) \in A_1} T^{-a}L_j$



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Nearest even algorithm (N = 8)



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Nearest even algorithm (N = 8)



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Nearest even algorithm (N = 8)



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Nearest even algorithm (N = 8)



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Finite product structures

For each algorithm, the set

$$\Omega = \bigcup_{i=1}^{N} K_i \times L_i$$

is a bijectivity domain for $G : \mathbb{C} \times \mathbb{C} \to \mathbb{C} \times \mathbb{C}$, and $G|_{\Omega}$ is the natural extension of the Gauss map $g : K \to K$.

As a conclusion, here are images of K_i and L_i for various algorithms.

• In many cases only a few sets are shown (not all N) because the rest are rotations or reflections.

Partitions and ${\mathbb C}$ continued fractions

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etc.



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Diamond algorithm (N = 12)





 L_2

etc.

etc.

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etc.

Disk algorithm (N = 5)

Partitions and $\mathbb C$ continued fractions

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etc.

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Hurwitz algorithm (N = 12)

etc.

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Hurwitz algorithm (N = 12)



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One last choice function



Dual-Hurwitz algorithm⁵

⁵ Hiromi Ei, Shunji Ito, Hitoshi Nakada, Rie Natsui, 2019.

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Dual-Hurwitz algorithm⁵

⁵ Hiromi Ei, Shunji Ito, Hitoshi Nakada, Rie Natsui, 2019.

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Dual-Hurwitz algorithm⁵



⁵ Hiromi Ei, Shunji Ito, Hitoshi Nakada, Rie Natsui, 2019.

Thank you!