

# MODULAR INVARIANTS OF COMPACT QUANTUM GROUPS

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based on a joint work with Piotr Sołtan

Graph algebras, Będlewo, July 2023

# Part I: introduction

## DEFINITION (COMPACT QUANTUM GROUP) [WORONOWICZ]

Compact quantum group  $\mathbb{G}$  consists of:

- a unital  $C^*$ -algebra  $\mathfrak{A}$ ,
- a unital  $\star$ -homomorphism  $\Delta: \mathfrak{A} \rightarrow \mathfrak{A} \otimes \mathfrak{A}$  such that:

$$(\Delta \otimes \text{id})\Delta = (\text{id} \otimes \Delta)\Delta,$$

$$\overline{\text{span}} \Delta(\mathfrak{A})(\mathbb{1} \otimes \mathfrak{A}) = \overline{\text{span}} \Delta(\mathfrak{A})(\mathfrak{A} \otimes \mathbb{1}) = \mathfrak{A} \otimes \mathfrak{A}.$$

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- [Woronowicz, Van Daele] There exists a unique Haar integral: state  $h \in \mathfrak{A}^*$  which is invariant:

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- Let  $(L^2(\mathbb{G}), \pi_h, \Omega_h)$  be the GNS representation for  $h$ .
- We write  $C(\mathbb{G}) = \pi_h(\mathfrak{A})$ ,  $L^\infty(\mathbb{G}) = \pi_h(\mathfrak{A})''$ ,  $L^1(\mathbb{G}) = L^\infty(\mathbb{G})_*$ .

## EXAMPLES

- Let  $G$  be a compact Hausdorff group with Haar measure  $\mu$ . Define  $C(\mathbb{G}) = C(G)$  and  $\Delta$  via

$$\Delta(f)(x, y) = f(xy) \quad (f \in C(G), x, y \in G).$$

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- Let  $\Gamma$  be a discrete group. Define  $C(\mathbb{G}) = C_r^*(\Gamma)$  and

$$\Delta: L(\Gamma) \ni \lambda_\gamma \mapsto \lambda_\gamma \otimes \lambda_\gamma \in L(\Gamma) \bar{\otimes} L(\Gamma).$$

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- With any quantum group  $\mathbb{G}$  we can associate its dual  $\widehat{\mathbb{G}}$  and  $\widehat{\widehat{\mathbb{G}}} \simeq \mathbb{G}$ . If  $\mathbb{G}$  is compact,  $\widehat{\mathbb{G}}$  is discrete.

## EXAMPLE: $\mathbb{G} = \mathrm{SU}_q(2)$ ( $0 < q < 1$ )

- $\mathrm{C}(\mathrm{SU}_q(2))$  is defined as the universal unital  $C^*$ -algebra generated by  $\alpha, \gamma \in \mathrm{C}(\mathrm{SU}_q(2))$  such that

$$\begin{bmatrix} \alpha & -q\gamma^* \\ \gamma & \alpha^* \end{bmatrix} \quad \text{is unitary.}$$

- $\Delta: \mathrm{C}(\mathrm{SU}_q(2)) \rightarrow \mathrm{C}(\mathrm{SU}_q(2)) \otimes \mathrm{C}(\mathrm{SU}_q(2))$  acts via

$$\begin{aligned}\Delta(\alpha) &= \alpha \otimes \alpha - q\gamma^* \otimes \gamma, \\ \Delta(\gamma) &= \gamma \otimes \alpha + \alpha^* \otimes \gamma.\end{aligned}$$

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- [Hong-Szymański]  $\mathrm{C}(\mathrm{SU}_q(2))$  is a graph  $C^*$ -algebra.

## MODULAR THEORY OF $\mathbb{G}$

- There is a continuous group of modular automorphisms  $\sigma_t^h \in \text{Aut}(\text{L}^\infty(\mathbb{G}))$  ( $t \in \mathbb{R}$ )

$$h(xy) = h(y\sigma_{-i}^h(x)) \quad (x, y \in \text{L}^\infty(\mathbb{G}) \text{ nice}).$$

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- There is a point- $w^*$  continuous group of scaling automorphisms  $\tau_t \in \text{Aut}(\text{L}^\infty(\mathbb{G}))$ .

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- Compact quantum groups have representation theory resembling the classical one.
- $\text{Irr}(\mathbb{G})$  – set of (classes of) irreducible representations

$$\alpha \in \text{Irr}(\mathbb{G}) \quad \leadsto \quad U^\alpha \in C(\mathbb{G}) \otimes B(H_\alpha), \quad \dim H_\alpha < +\infty.$$

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$\{\xi_i^\alpha\}_{i=1}^{\dim(\alpha)}$  orthonormal basis of  $H_\alpha \rightsquigarrow U_{i,j}^\alpha = (\text{id} \otimes \omega_{\xi_i^\alpha, \xi_j^\alpha})U^\alpha \in C(\mathbb{G}).$

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- There is a family of positive, invertible operators  $\rho_\alpha \in B(\mathsf{H}_\alpha)$ .
- Automorphisms  $\sigma_t^h, \tau_t$  can be expressed using  $\rho_\alpha$ .

$$\sigma_t^h(U_{i,j}^\alpha) = (\rho_{\alpha,i} \rho_{\alpha,j})^{it} U_{i,j}^\alpha \quad \tau_t(U_{i,j}^\alpha) = \left(\frac{\rho_{\alpha,i}}{\rho_{\alpha,j}}\right)^{it} U_{i,j}^\alpha,$$

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- $h$  is tracial  $\Leftrightarrow \forall_t \sigma_t^h = \text{id} \Leftrightarrow \forall_\alpha \rho_\alpha = \mathbb{1} \Leftrightarrow \forall_t \tau_t = \text{id}$ .  
In this case  $\mathbb{G}$  is of **Kac type**.

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- If  $\mathbb{G} = \mathrm{SU}_q(2)$  then the Haar integral  $h$  is not tracial and

$$\begin{aligned}\sigma_t^h(\alpha) &= q^{-2it}\alpha, & \sigma_t^h(\gamma) &= \gamma, \\ \tau_t(\alpha) &= \alpha, & \tau_t(\gamma) &= q^{2it}\gamma.\end{aligned}$$

## MODULAR INVARIANTS – MOTIVATION

- Fix  $0 < \lambda < 1$ . With Piotr we've constructed a family of CQGs  $\{\mathbb{K}_j\}_{j \in \mathbb{J}}$  such that  $L^\infty(\mathbb{K}_j)$  is the injective type  $\text{III}_\lambda$  factor.
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- $\{1, \alpha_j\}_{j \in \mathbb{J}}$  – basis of  $\mathbb{R}$  over  $\mathbb{Q}$ ,  $\Gamma_j = \alpha_j \frac{2\pi}{\log(\lambda)} \mathbb{Z}$ ,

$$\mathbb{K}_j = \Gamma_j \bowtie \mathbb{G} \text{ via } \Gamma_j \times L^\infty(\mathbb{G}) \ni (\gamma, x) \mapsto \tau_\gamma(x) \in L^\infty(\mathbb{G}).$$

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- $\tau_t \in \text{Inn}(L^\infty(\mathbb{K}_j))$  if and only if

$$t \in \Gamma_j + \frac{2\pi}{\log(\lambda)} \mathbb{Z} \Rightarrow \mathbb{K}_j \neq \mathbb{K}_{j'} \ (j \neq j').$$

Let  $\mathbb{G}$  be a compact quantum group.

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Define subgroups of  $\mathbb{R}$ :

$$T_{\text{Inn}}^{\tau}(\mathbb{G}) = \{t \in \mathbb{R} \mid \tau_t \in \text{Inn}(L^{\infty}(\mathbb{G}))\},$$

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And similarly  $T^\sigma(\mathbb{G}) = \{t \in \mathbb{R} \mid \sigma_t^h = \text{id}\}$ ,  $T_{\text{Inn}}^\sigma(\mathbb{G})$ ,  $T_{\overline{\text{Inn}}}^\sigma(\mathbb{G})$ .

- $T_{\text{Inn}}^\sigma(\mathbb{G}) = T(L^\infty(\mathbb{G}))$  is the Connes'  $T$ -invariant.

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$\text{Mod}(\mathbb{G}) = \{t \in \mathbb{R} \mid \delta^{it} = \mathbb{1}\}$  where  $\delta \eta L^\infty(\mathbb{G})$  is the modular element.

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- $T_{\text{Inn}}^\sigma(\mathbb{G}) = T(L^\infty(\mathbb{G}))$  is the Connes'  $T$ -invariant.
- All these sets depends only on the isomorphism class of  $\mathbb{G}$ .

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## MODULAR INVARIANTS

- A priori we obtain 14 subgroups of  $\mathbb{R}$ :

$$T^\tau, T_{\text{Inn}}^\tau, T_{\overline{\text{Inn}}}^\tau, T^\sigma, T_{\text{Inn}}^\sigma, T_{\overline{\text{Inn}}}^\sigma \text{ and Mod for } \mathbb{G}, \widehat{\mathbb{G}}.$$

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- There are easy reductions:

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- $(\tau_t \otimes \widehat{\tau}_t)W^{\mathbb{G}} = W^{\mathbb{G}}$ ,
- $\nabla_\psi^{it} = \widehat{\delta}^{-it} P^{-it}$  ( $P^{it}$  implements  $\tau_t$  and  $\widehat{\tau}_t$ ).

## MODULAR INVARIANTS

- If  $\mathbb{G}$  is compact then  $\delta = \mathbb{1}, \ell^\infty(\widehat{\mathbb{G}}) = \prod_{\alpha \in \text{Irr}(\mathbb{G})} B(H_\alpha)$  so we are left with 6 invariants

$$T^\tau(\mathbb{G}), T_{\text{Inn}}^\tau(\mathbb{G}), T_{\overline{\text{Inn}}}^\tau(\mathbb{G}), T_{\text{Inn}}^\sigma(\mathbb{G}), T_{\overline{\text{Inn}}}^\sigma(\mathbb{G}), \text{Mod}(\widehat{\mathbb{G}}).$$

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- If additionally  $L^\infty(\mathbb{G})$  is semifinite, then  $T_{\text{Inn}}^\sigma(\mathbb{G}) = T_{\overline{\text{Inn}}}^\sigma(\mathbb{G}) = \mathbb{R}$  and there are 4 possibly non-trivial invariants. This is the case for  $G_q$ .

## QUESTION

Let  $\mathbb{G}$  be a second countable compact quantum group. Assume  $T_{\text{Inn}}^\tau(\mathbb{G}) = \mathbb{R}$ . Is  $\mathbb{G}$  of Kac type?

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- Equivalently:  $\mathbb{G}$  second countable, not of Kac type. Do we have  $T_{\text{Inn}}^\tau(\mathbb{G}) \neq \mathbb{R}$ ?
- [K., Sołtan] The answer is affirmative in special cases:
  - there is a unitary representation  $U$  with  $2 = \dim(U) < \dim_q(U)$ ,
  - $C^u(\mathbb{G})$  is type I, in particular  $\mathbb{G} = G_q$ ,
  - $\mathbb{G} = U_F^+$ ,
  - $\widehat{\mathbb{G}}$  satisfies an ICC-type condition.

## Part II: $q$ -deformations

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For  $SU(n+1)$ :  $r = n$ ,  $W = S_{n+1}$ ,

$$\mathbf{P} = \{(\lambda_1, \dots, \lambda_{n+1}) \mid \lambda_i - \lambda_j \in \mathbb{Z}\} / \mathbb{R}(1, \dots, 1) \simeq \mathbb{Z}^n,$$

$$\mathbf{Q} = \{(\lambda_1, \dots, \lambda_{n+1}) \mid \lambda_i \in \mathbb{Z}, \sum_{i=1}^{n+1} \lambda_i = 0\} / \mathbb{R}(1, \dots, 1), \quad \mathbf{P}/\mathbf{Q} \simeq \mathbb{Z}_{n+1},$$

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Fix  $0 < q < 1$ .

## $q$ -DEFORMED ENVELOPING ALGEBRA OF $\mathfrak{g}$

- $U_q\mathfrak{g}$  is the unital algebra generated by  $E_i, F_i, K_i, K_i^{-1}$  ( $1 \leq i \leq r$ ) satisfying certain relations.
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- $\text{Pol}(G_q) = \{\text{matrix coefficients of } \pi_\varpi \text{ as above}\} \subseteq (U_q\mathfrak{g})^*$ .

## COMPACT QUANTUM GROUP $G_q$

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- Pairing  $U_q\mathfrak{g} \times \text{Pol}(G_q) \rightarrow \mathbb{C}$  is given by

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- [Giselsson]  $C(\text{SU}_q(3))$  is a higher-rank graph  $C^*$ -algebra.

## AUTOMORPHISMS FOR $G_q$

- $\sigma_t^h(U^\varpi(\xi, \eta)) = q^{\langle 2\rho | \text{wt}(\xi) + \text{wt}(\eta) \rangle it} U^\varpi(\xi, \eta),$
- $\tau_t(U^\varpi(\xi, \eta)) = q^{\langle 2\rho | \text{wt}(\xi) - \text{wt}(\eta) \rangle it} U^\varpi(\xi, \eta).$

where  $\rho \in \mathbf{P}^+$  is the Weyl vector and  $\langle \cdot | \cdot \rangle$  is  $W$ -invariant scalar product on  $\mathfrak{h}^*$ .

## C\*-ALGEBRA $C(G_q)$

- [Soibelman] Irreducible representations of  $C(G_q)$  are (up to equivalence) precisely

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- Desmedt's theorem: we obtain:
  - unitary  $\mathcal{Q}_L: L^2(G_q) \rightarrow \int_T^\oplus \mathrm{HS}(\mathcal{H}_\lambda) \, d\lambda$  such that
  - $\mathcal{Q}_L L^\infty(G_q) \mathcal{Q}_L^* = \int_T^\oplus \mathrm{B}(\mathcal{H}_\lambda) \otimes \mathbb{1}_{\overline{\mathcal{H}_\lambda}} \, d\lambda.$

## SCALING GROUP

[K., Sołtan] For  $t \in \mathbb{R}$ ,  $x = \int_T^\oplus x_\lambda \otimes \mathbb{1}_{\overline{\mathcal{H}_\lambda}} d\lambda \in L^\infty(G_q)$  we have

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- Corollary:  $T_{\text{Inn}}^\tau(G_q) = T_{\overline{\text{Inn}}}^\tau(G_q)$ .

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- $\{\langle 2\rho|\alpha \rangle \mid \alpha \in \mathbf{Q}\} = 2\mathbb{Z}$ ,
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## THEOREM [K., SOŁTAN]

Modular invariants for  $G_q$  are given by

$$T^\tau(G_q) = \frac{\pi}{\log(q)}\mathbb{Z}, \quad T_{\text{Inn}}^\tau(G_q) = T_{\overline{\text{Inn}}}^\tau(G_q) = \text{Mod}(\widehat{G}_q) = \frac{\pi}{\Upsilon_w \log(q)}\mathbb{Z}.$$

## IRREDUCIBLE ROOT SYSTEMS

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- $\Upsilon_w = \gcd(\Upsilon_w^{(1)}, \dots, \Upsilon_w^{(l)})$ .
- Irreducible root systems are classified:
  - type  $A_n$  ( $n \geq 1$ ),  $G = \mathrm{SU}(n+1)$ ,
  - type  $B_n$  ( $n \geq 2$ ),  $G = \mathrm{Spin}(2n+1)$ ,
  - type  $C_n$  ( $n \geq 3$ ),  $G = \mathrm{Sp}(2n)$ ,
  - type  $D_n$  ( $n \geq 4$ ),  $G = \mathrm{Spin}(2n)$ ,
  - exceptional: types  $E_6, E_7, E_8, F_4, G_2$ .

$$T^\tau(G_q) = \frac{\pi}{\log(q)} \mathbb{Z}, \quad T_{\text{Inn}}^\tau(G_q) = \frac{\pi}{\Upsilon_w \log(q)} \mathbb{Z}.$$

## [K., SOŁTAN] INVARIANTS IN SIMPLE CASE

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$\dim(\varpi) \geq 2$  for non-trivial  $\varpi \in \mathbf{P}^+$ .

## COROLLARY

If  $\Upsilon_w \geq 2$ , then  $G_q$  has non-trivial, inner scaling automorphisms not implemented by a group-like unitary.

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- $D_n (n \geq 4)$ :  $\Upsilon_w = 2$  ( $n \in 4\mathbb{N} + \{0, 1\}$ ),  $\Upsilon_w = 1$  ( $n \in 4\mathbb{N} + \{2, 3\}$ ).
- For  $E_6, E_7, E_8, F_4, G_2$  number  $\Upsilon_w$  is equal to 2, 1, 2, 2, 2.

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  - Grasp on  $\pi_{\lambda, w_\circ}(b_\rho) \in B(\ell^2(\mathbb{Z}_+)^{\ell(w_\circ)})$  – work in progress.

## THEOREM [K., SOŁTAN]

Let  $\mathbb{G}$  be a second countable compact quantum group, assume:

- there is a finite dimensional unitary representation  $U$  with  $2 = \dim(U) < \dim_q(U)$ .

Then  $T_{\text{Inn}}^\tau(\mathbb{G}) \neq \mathbb{R}$ .

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  - $\inf_{n \in \mathbb{N}} \frac{\Gamma(U^n)}{\dim_q(U^n)} > 0$ .

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$$\varepsilon_t \xrightarrow[t \rightarrow 0^+]{ } 0, \quad \Gamma(U^n) \xrightarrow[n \rightarrow \infty]{ } +\infty \rightsquigarrow \text{contradiction.}$$